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# Atomic force microscopy – what is it all about, and what does it tell us about the microstructure of metals?

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# Outline

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## ■ Motivation

## ■ Atomic Force Microscopy (AFM)

- Principle, conventional (quasi-static) operation modes

- AFM sensors

- Dynamic operation modes, especially in the ultrasonic frequency range

## ■ Application to metals

- Nanostructured (nanocrystalline) nickel

- TWIP (twinning induced plasticity) and unalloyed steels

## ■ Summary

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# Motivation

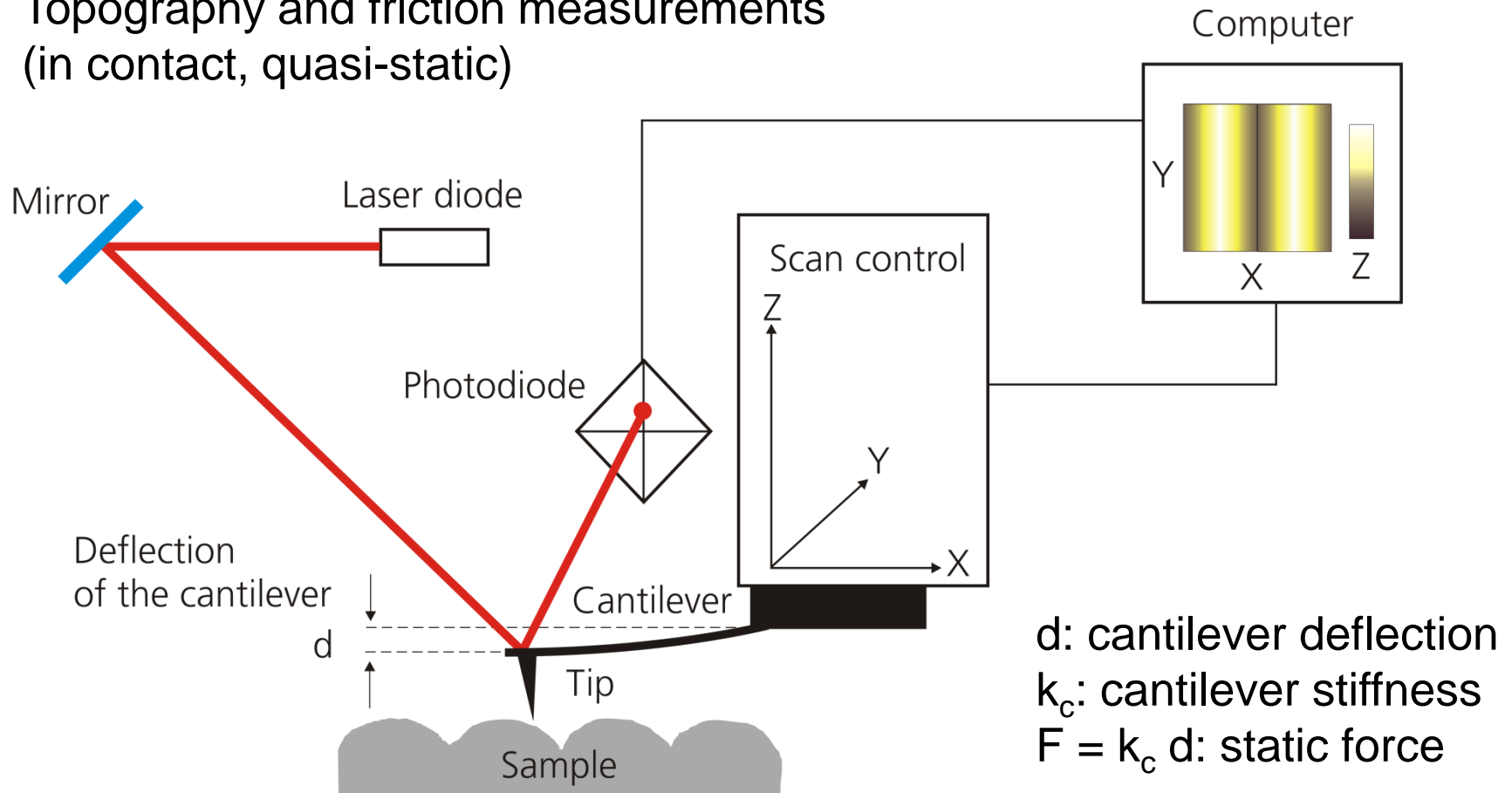
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- Different applications require different materials (properties)
- Macroscopic elastic and plastic properties of materials (metals) depend on their microstructure, i.e. good prospects for materials design by selective adjustment of microstructure
- Nanocrystalline and/or interface dominated materials
  - Grain size and shape and their distributions
  - Secondary phase inclusions and/or precipitates and their size and shape distributions
- The development of new steel grades:

Common optimization of contradicting properties as e.g. weight reduction and formability versus high stiffness and strength
- Nondestructive characterization techniques to image structures and probe material properties on the micro- and nanoscale

# Principle of Atomic Force Microscopy (AFM)

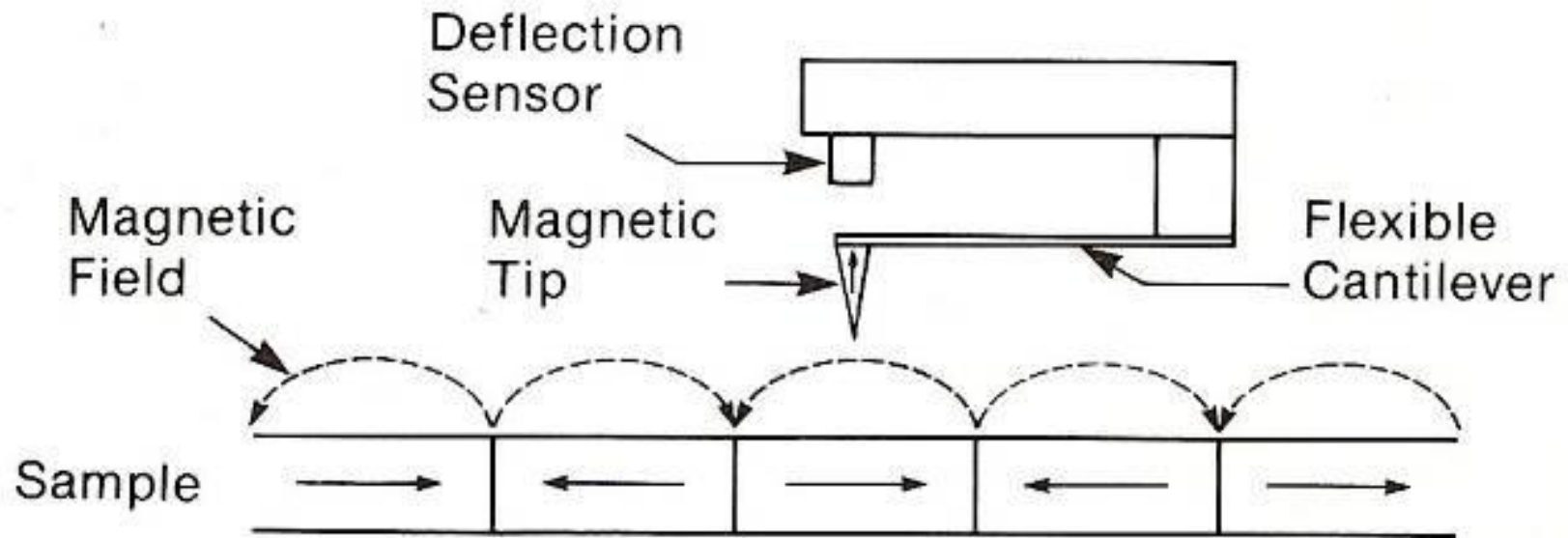
Topography and friction measurements  
(in contact, quasi-static)



G. Binnig, C.F. Quate, and C. Gerber, Phys. Rev. Lett. 56, p. 930 (1986)

# Principle of Magnetic Force Microscopy (MFM)

Magnetic sample, cantilever tip coated with a ferromagnetic material  
⇒ Mapping of magnetic domain structures at the sample surface by recording the force gradient between sample and tip  
(in force contact, quasi-static)



Y. Martin and H.K. Wickramasinghe, Appl. Phys. Lett. 50 (1987) 1455

P. Grütter et al. in: Scanning Tunneling Microscopy II, 1992

# Sensors of commercial atomic force microscopes (AFM)

Small elastic beams:

Length: a few 100  $\mu\text{m}$ , width: a few 10  $\mu\text{m}$ , thickness: a few  $\mu\text{m}$ ,

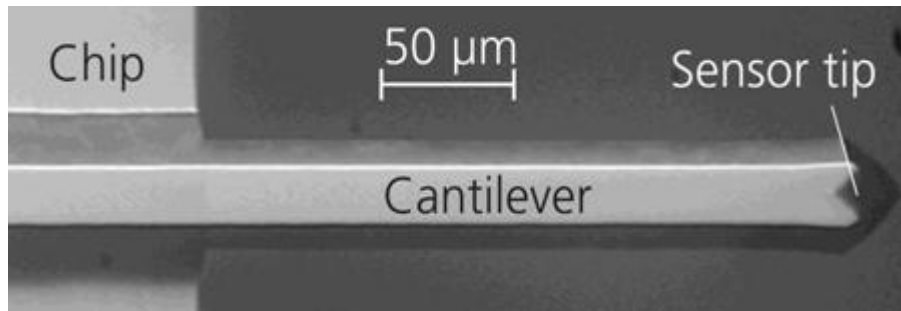
Sensor tip: Radius of a few nm up to of a few 100 nm,

Material: Silicon single crystal (cantilever and chip one piece),

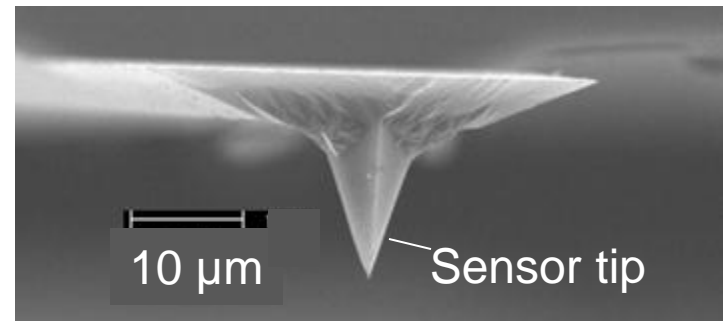
Vibration resonances in the ultrasonic frequency range,

i.e. usable as a near field ultrasonic probe.

Optical microscopy image



SEM image



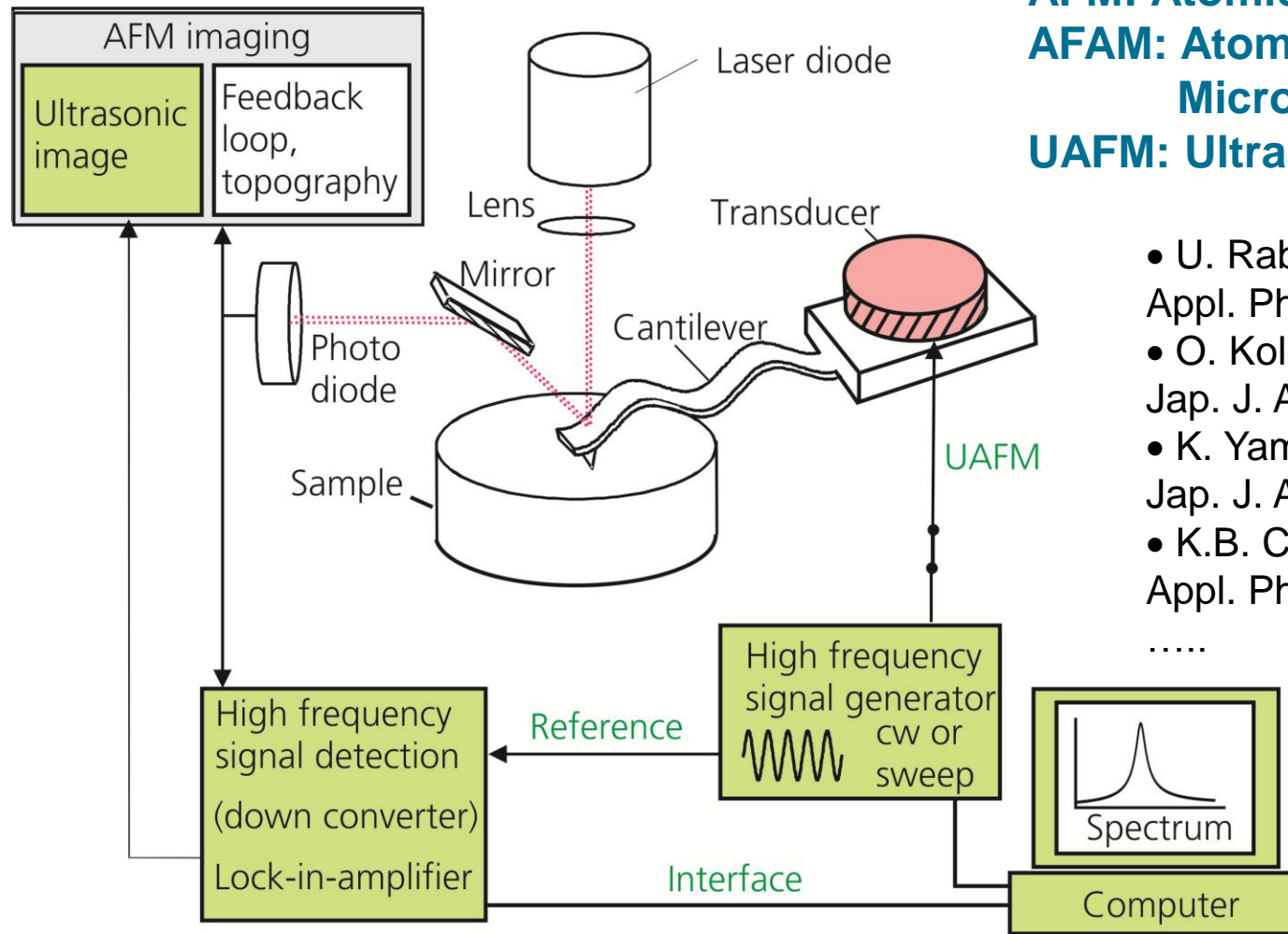
Typical values:

1. Free bending resonance frequency: 10 - 300 kHz

Spring constant: ca. 0.1 - 60 N/m

# AFAM and UAFM set-up

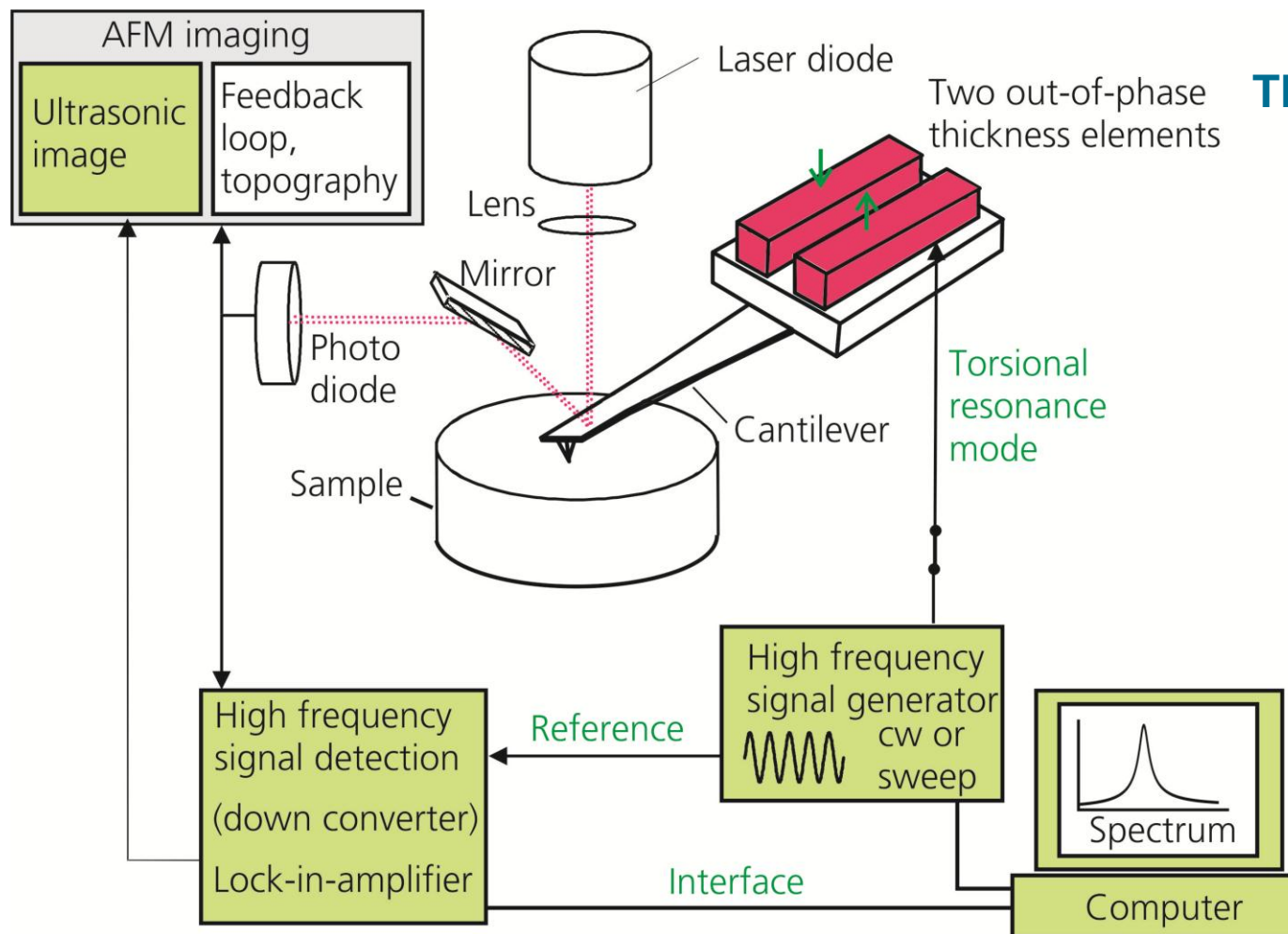
**AFM: Atomic Force Microscopy**  
**AFAM: Atomic Force Acoustic Microscopy**  
**UAFM: Ultrasonic AFM**



- U. Rabe and W. Arnold, Appl. Phys. Lett. 64 (1994) 1493
- O. Kolosov and K. Yamanaka, Jap. J. Appl. Phys. 32 (1993) 22
- K. Yamanaka and S. Nakano, Jap. J. Appl. Phys. 35 (1996) 3787
- K.B. Crozier et al. Appl. Phys. Lett. 76 (2000) 1950
- ....

# Lateral AFAM and TR-mode set-up

## AFAM: Atomic Force Acoustic Microscopy TR: Torsional resonance

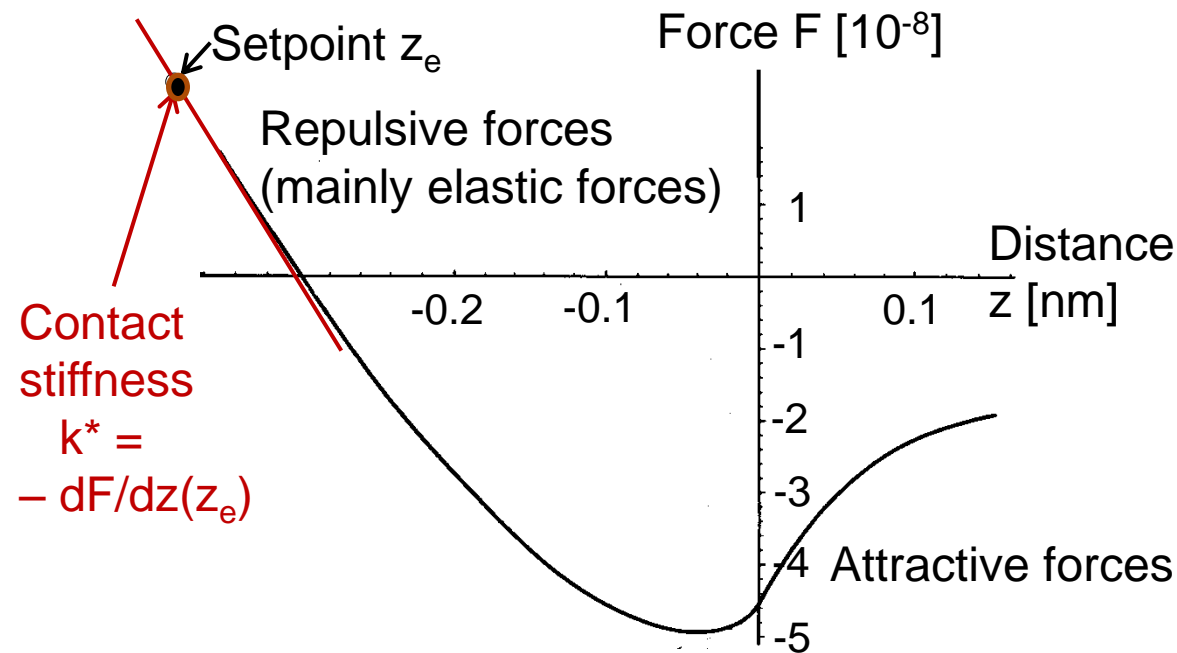


- A. Caron, U. Rabe, M. Reinstädler, J.A. Turner, W. Arnold, Appl. Phys. Lett. 85 (2004) 6398
- M. Reinstädler, T. Kasai, U. Rabe, B. Bhushan, W. Arnold, J. Phys. D: Appl. Phys. 38 (2005) R269
- V. Scherer, M. Reinstaedtler, W. Arnold, Eds. B. Bhushan et al., Applied Scanning Probe Methods (2003) 75-115

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# Quasi-static vertical tip – sample interaction force curve



Chromium sample,  
Silicon cantilever;

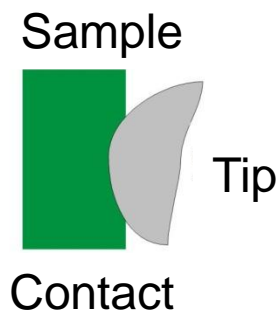
Force curve calculated  
from a measured AFM -  
force - distance curve

AFM operation modes,  
quasi-static or dynamic:

- Contact
- Intermediate contact
- Non-contact (at least  
force contact)

Dynamic:

- Intermittent contact



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# Dynamic AFM operation modes, non-resonant

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- Force modulation mode, contact, operation frequencies below the cantilever resonances, small amplitude excitation at the cantilever chip, evaluation of changes in the cantilever deflection amplitude by the local sample stiffness
  - Pulsed force mode (PFM), intermediate-contact, operation frequencies below the cantilever resonances, large amplitude excitation at the cantilever chip covering the complete force-distance-curve
  - Piezo mode (PM), contact, operation frequencies below the cantilever resonances, conducting cantilever and tip, piezoelectric sample, exploits locally the piezoelectric effect
  - Scanning local acceleration microscopy, contact, ultrasonic operation frequency not in cantilever resonance, evaluation of changes in quasi-static cantilever deflection, excitation of the sample
  - Ultrasonic force microscopy (UFM), contact, ultrasonic operation frequency not in cantilever resonance, evaluation of changes in quasi-static cantilever deflection, excitation at the cantilever chip
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# Dynamic AFM operation modes, resonant

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- Tapping mode (TP), intermittent contact, ultrasonic operation frequencies at a free cantilever resonance, excitation at the cantilever chip, evaluation of changes in the cantilever vibration amplitude or phase caused by the short contact of the tip with the sample during each vibration cycle, measurement of topography
- Contact resonance AFM, ultrasonic operation frequencies at or close to a contact resonance of the cantilever

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# Contact resonance AFM

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- Atomic Force Acoustic Microscopy (AFAM), vertical and lateral
- Ultrasonic Atomic Force Microscopy (UAFM)
- Torsional resonance (TR) mode
- Ultrasonic Friction Force Microscopy (UFFM)
- Ultrasonic Piezo-Mode (UPM), piezoelectric samples exploit flexural or torsional vibration resonances of AFM cantilevers while its sensor tip is in sample surface contact; lateral resolution: nm range depending on the contact radius

Imaging of sample surfaces:

- Amplitude

- Phase

- Contact resonance frequency

Spectroscopy:

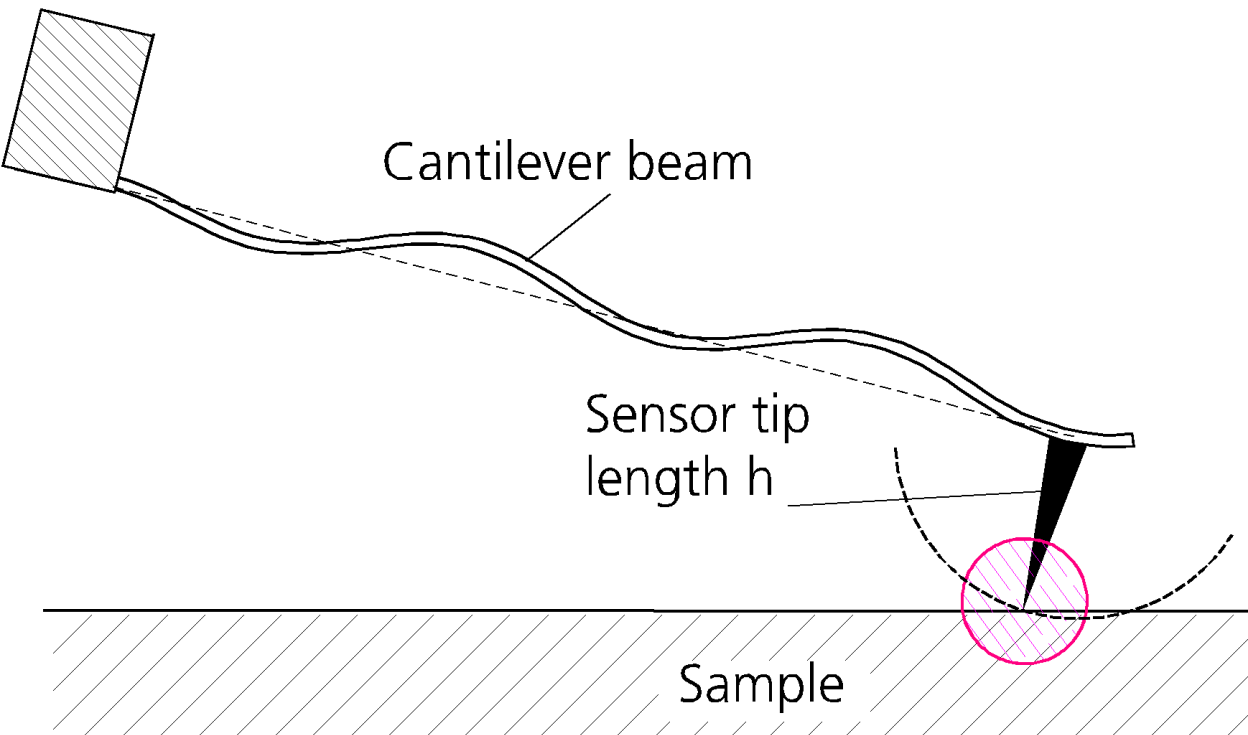
Contact resonance spectroscopy

(Quantitative determination of

local material parameters)

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# Flexural vibrations of a beam of constant cross section



Equation of motion of flexural vibrations in a bar:

$$EI \frac{\partial^4 y}{\partial x^4} + \gamma \frac{\partial y}{\partial t} + \rho A \frac{\partial^2 y}{\partial t^2} = 0$$

E: Young's modulus

$\rho$ : Mass density

$\gamma$ : Damping constant

A: Cross section area

I: Area moment of inertia

$k_C$ : Static spring constant of the AFM cantilever beam

Boundary conditions:

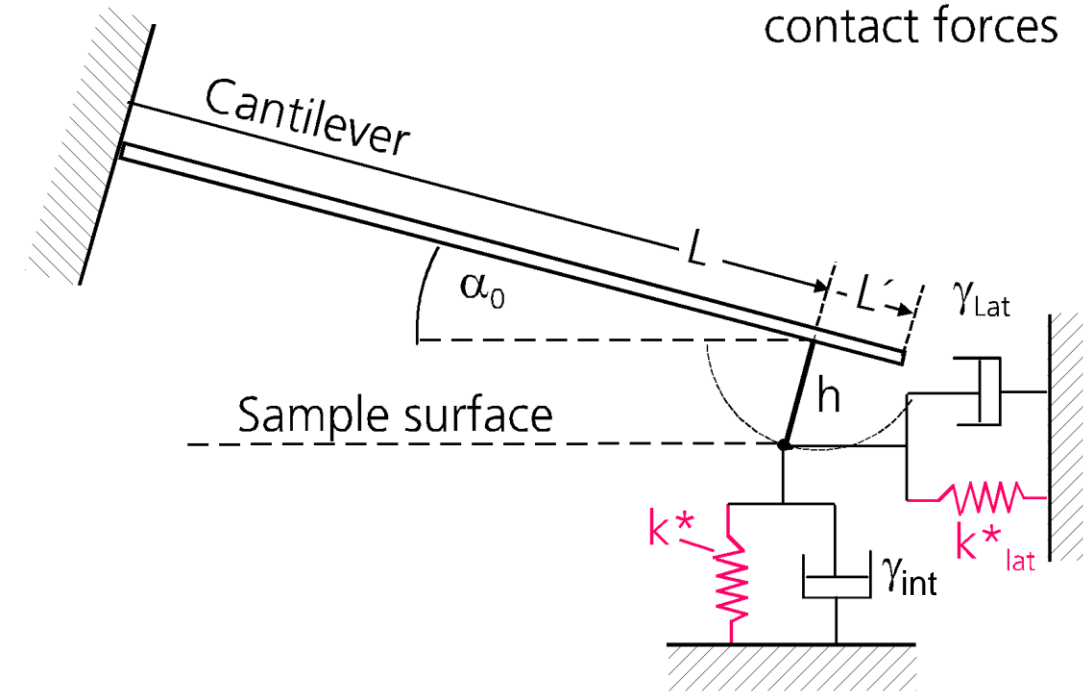
Clamped – free beam  $\Rightarrow$  solutions from textbooks

Clamped – surface coupled beam  $\Rightarrow$  nonlinear force curve

# Cantilever beam and contact model

Clamped end

Lateral and vertical  
contact forces



$k_C$ : Static spring constant of the AFM cantilever beam

$k^*$ : Contact stiffness

$k^*_{lat}$ : Lateral contact stiffness

$\gamma_{int}$ : Interaction damping

$\gamma_{lat}$ : Lateral interaction damping

$L$ : Cantilever length, clamped end - tip

$L' + L$ : Total cantilever length

$h$ : Sensor tip height

$\alpha_0$ : Angle between cantilever and sample surface

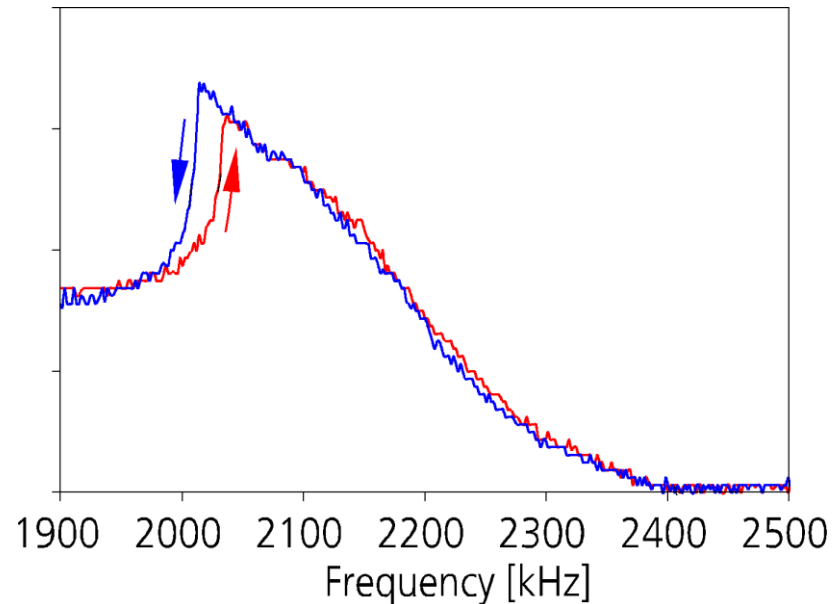
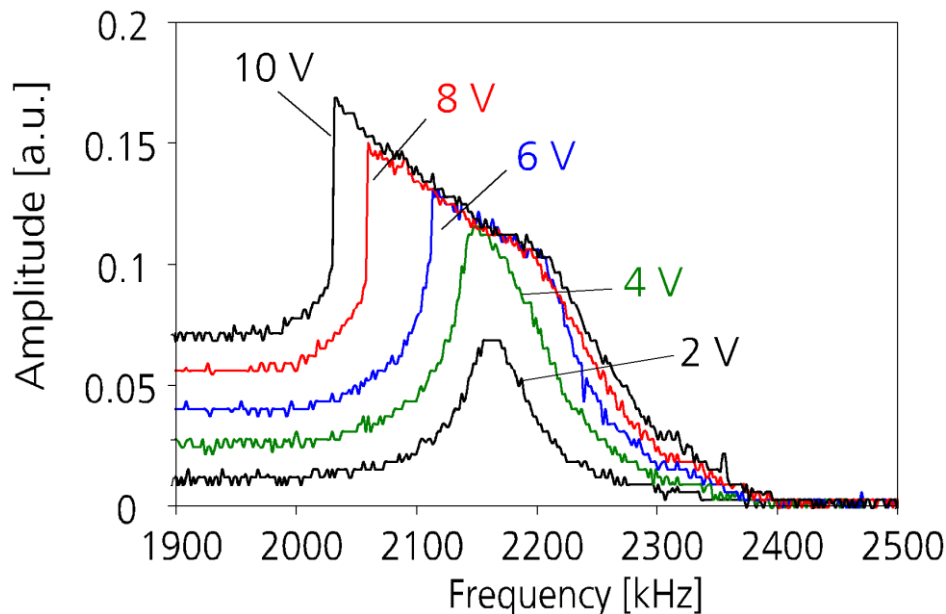
Repulsive region: Hertzian model of the contact forces

⇒ Relation between the contact stiffness  $k^*$  and the local indentation modulus of the sample surface

Equivalent for shear forces and torsional resonances ⇒ shear modulus

# AFAM contact resonance spectra, vertical

## Linear and nonlinear contact forces



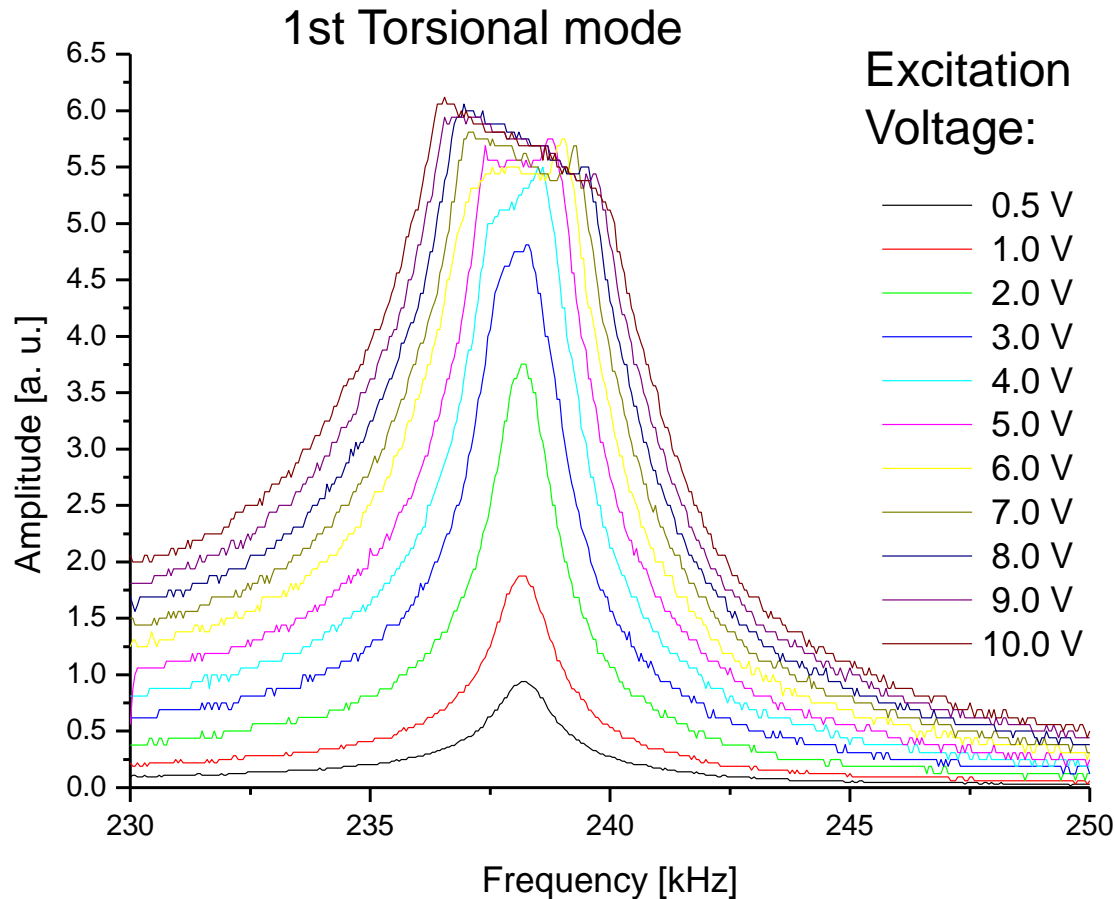
Increase of the excitation amplitude:  
softening effect, asymmetric shape of  
the resonance peak, amplitude jump

Inversion of the direction of the  
frequency scan: hysteresis

Si-sample; Si-cantilever, 2nd cantilever contact bending mode

# AFAM contact resonance spectra, lateral

## Linear and nonlinear contact forces



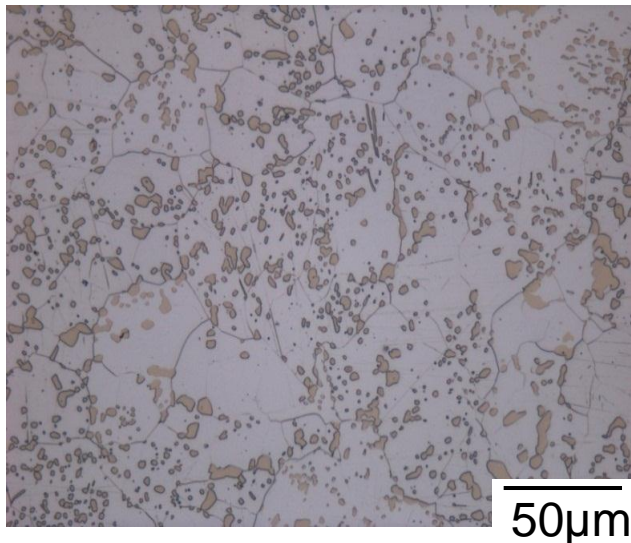
Si-sample, Si-cantilever  
 $F_N = 15$  nN (static load)  
 $F_0 = 47$  nN (pull-off force)  
 $k_c = 0.1$  N/m  
(cantilever spring constant)  
 $f_1 = 200$  kHz  
 $f_2 = 600$  kHz  
(1st and 2nd free torsional resonance)

Lateral contact stiffness in the linear range of the tip-sample interactions:  
 $k_{lat}^* = 8aG^*$ .  
 $a$  = contact radius  
 $G^*$  = reduced shear modulus of the contact.

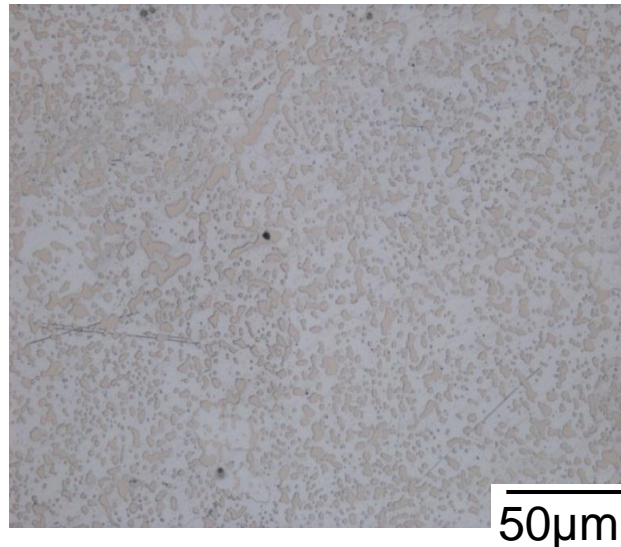


# Unalloyed steels: Fe-C systems (Fe - Fe<sub>3</sub>C)

- Matrix: Ferrite ( $\alpha$ -Fe), cubic single crystal symmetry
- Precipitates: Cementite (Fe<sub>3</sub>C), orthorhombic single crystal symmetry
- Macroscopic properties: dominated by the material properties as well as the size and shape of the Fe<sub>3</sub>C-phase inclusions
- Large differences in the literature values of the material properties of cementite, probably differences between bulk and precipitate properties



Fe-0.8%C (C80)

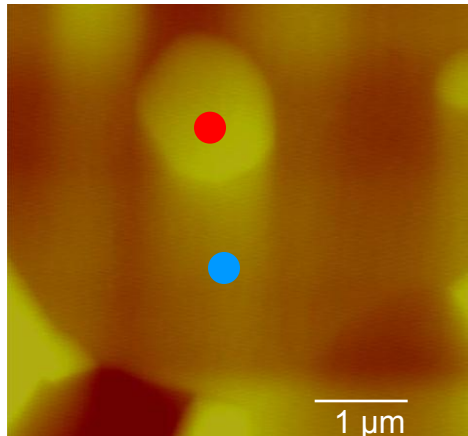


Fe-1.5%C (C150)

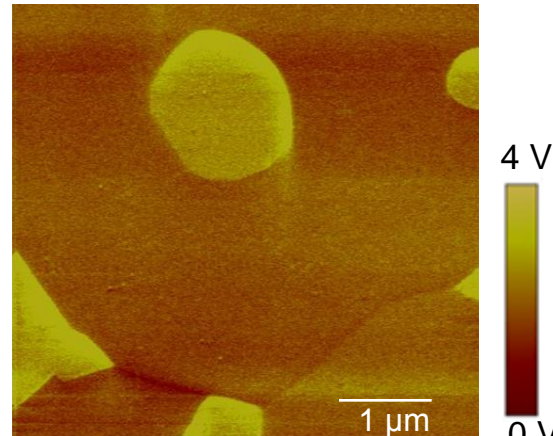
Microsections of two Fe-C-samples with a C-content of 0.8 and 1.5%, respectively, spheroidized precipitates

(Different contrast because of different edging procedures)

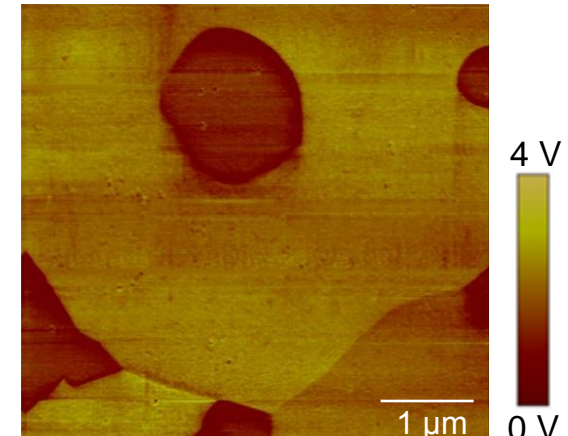
# Unalloyed steel (Fe-1.5%C), elastic stiffness mapping



AFM contact mode  
Topography

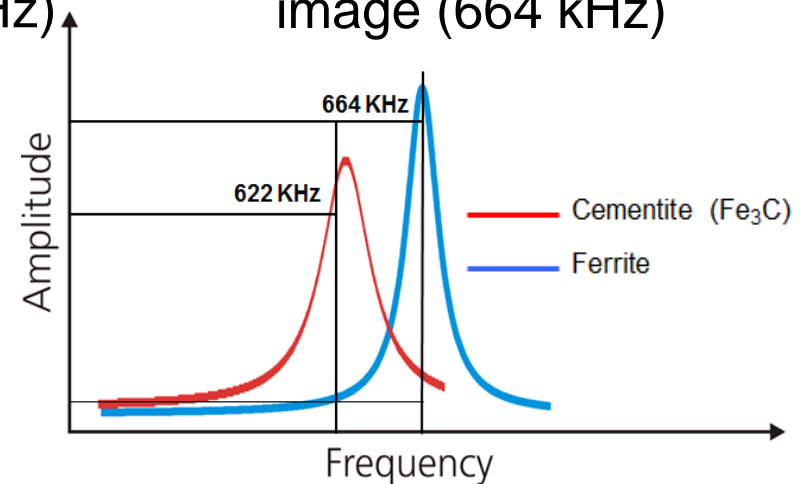


AFAM amplitude  
image (622 kHz)



AFAM amplitude  
image (664 kHz)

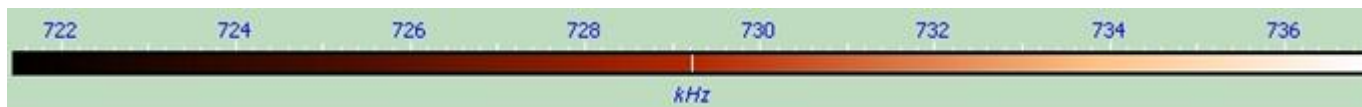
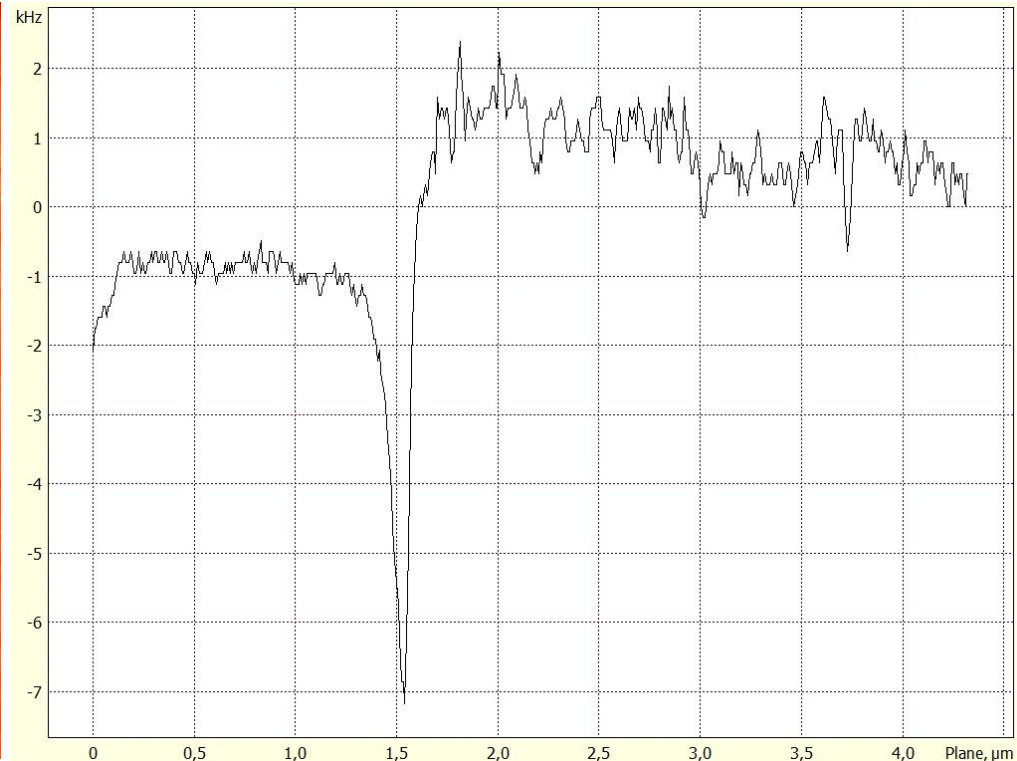
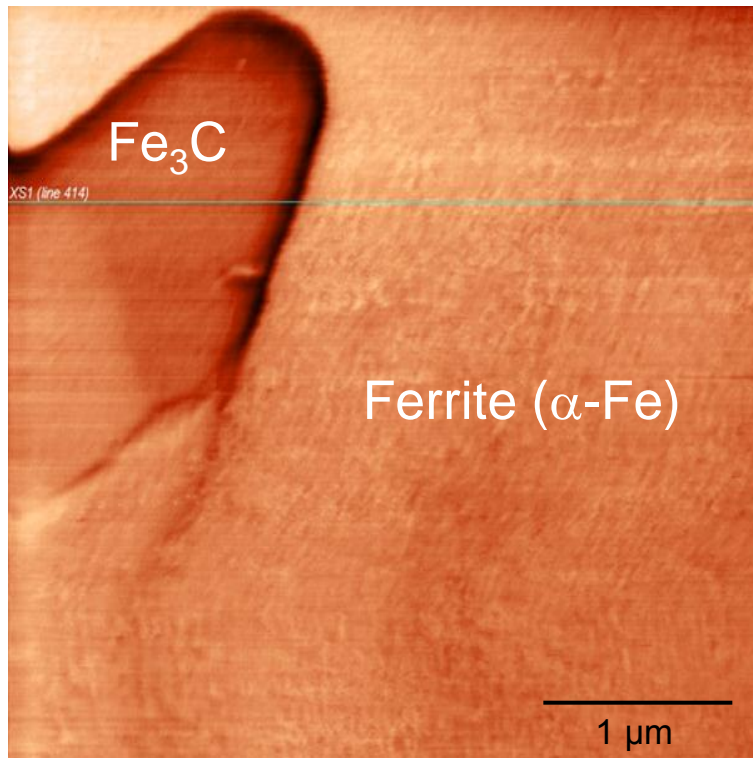
Frequencies close to the first contact bending resonance:  
Higher contact resonances (i.e. higher stiffness) on ferrite ( $\alpha$ -Fe) than on cementite ( $\text{Fe}_3\text{C}$ );  
Crystalline orientation??



# Unalloyed steel (Fe-1.5%C), elastic stiffness mapping

AFAM (1<sup>st</sup> contact resonance)

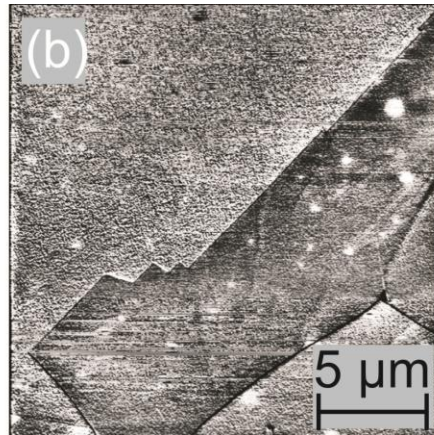
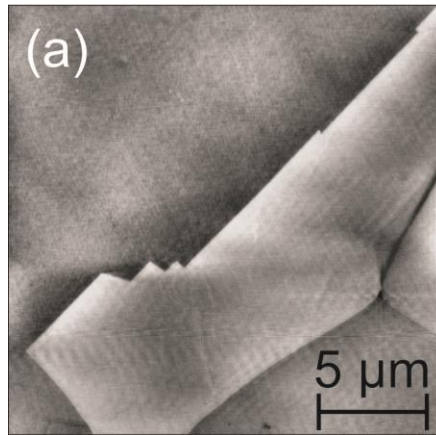
Section analysis



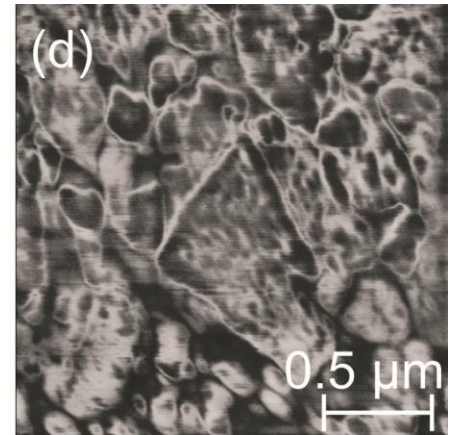
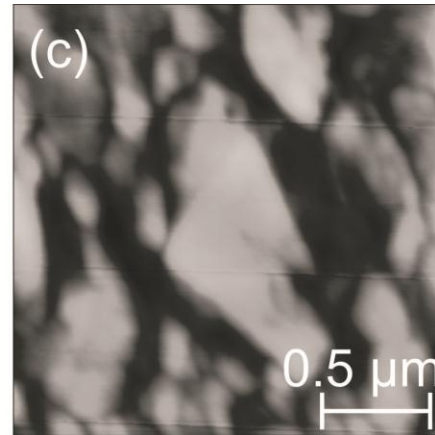


# AFM images of polycrystalline and nanocrystalline nickel

## Polycrystalline nickel



## Nanocrystalline (nc) nickel grain size 30 nm



Topography  
height scale 20 nm

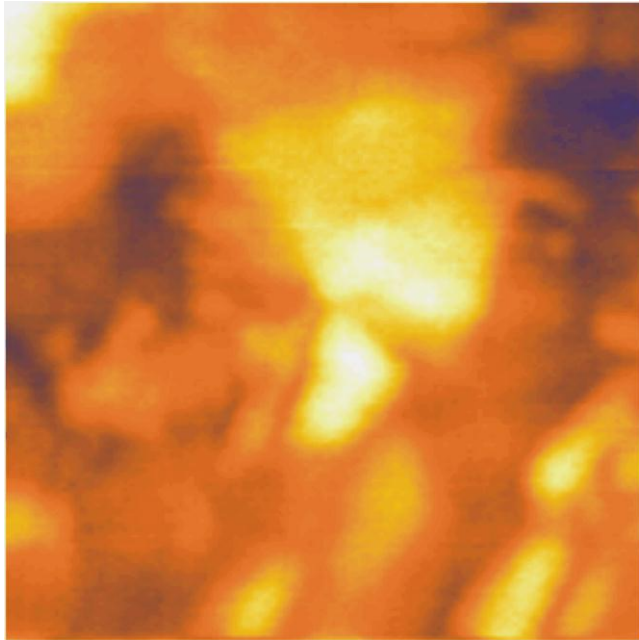
AFAM

Topography  
height scale 20 nm

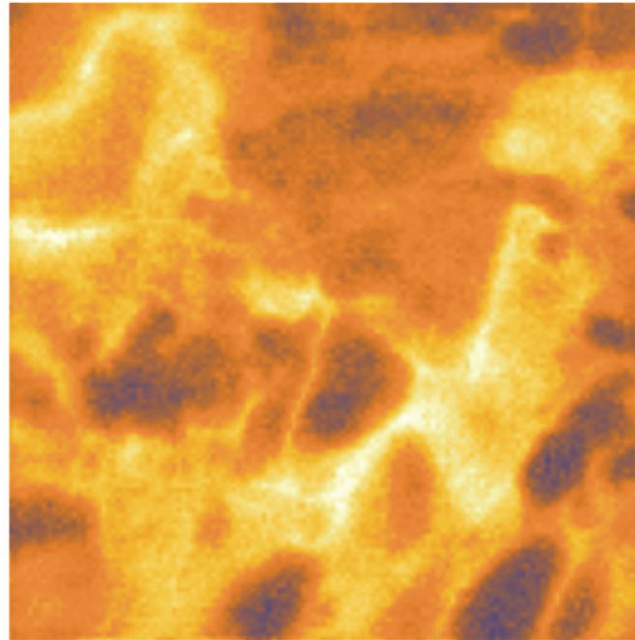
AFAM

AFAM: Amplitude images, first flexural contact resonance,  
frequency of operation close to 700 kHz

# Topography and AFAM image of nanocrystalline nickel



Topography image,  
Height scale 10 nm  
Image size: 1.5 x 1.5  $\mu\text{m}^2$



Contact resonance frequency  
AFAM image, frequency scale:  
730 – 750 kHz

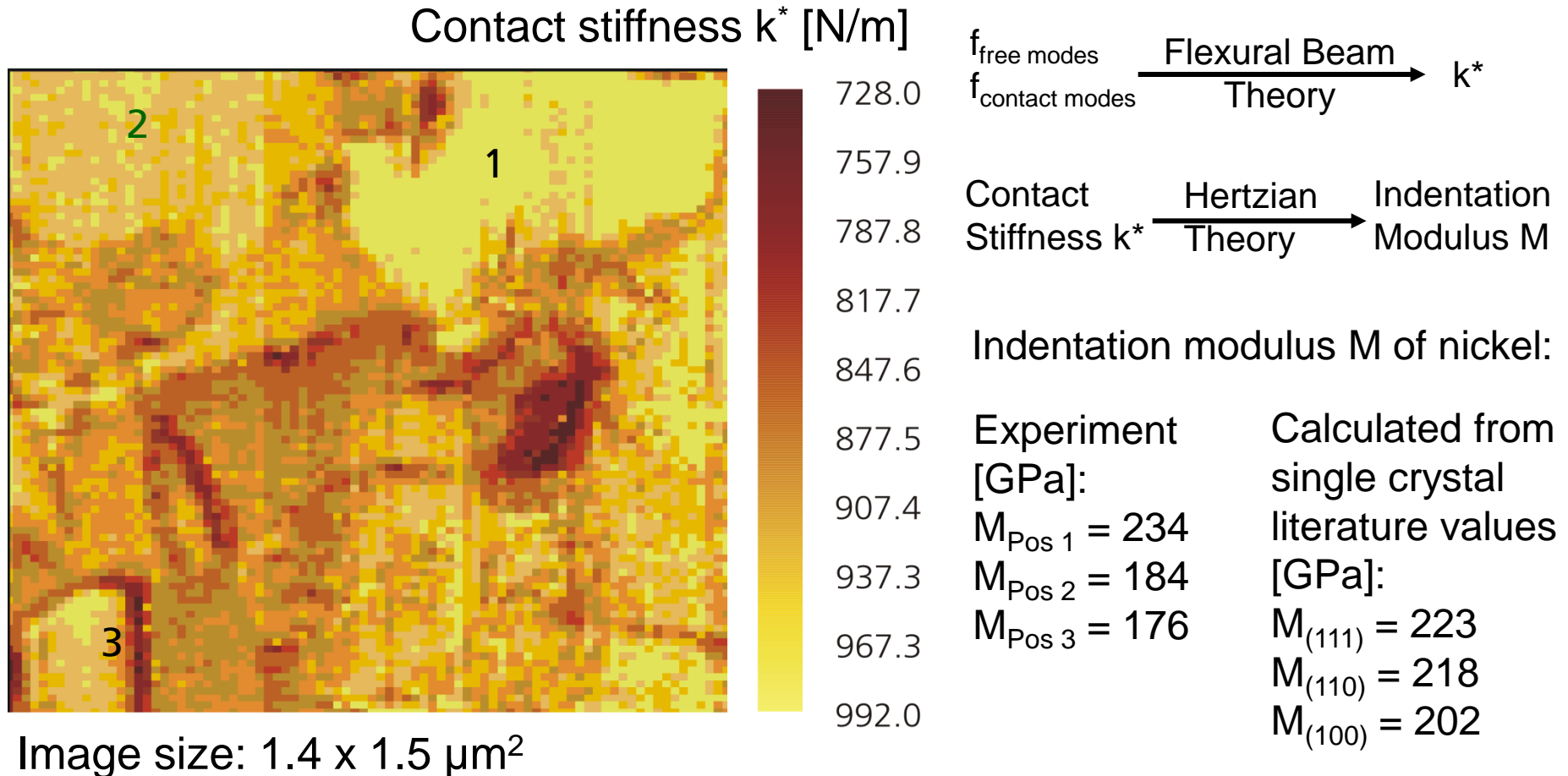
Cantilever  
spring constant:  
 $k_c = 48 \text{ N/m}$ ,

Free bending  
resonances:  
 $f_1 = 166 \text{ kHz}$   
 $f_2 = 1031 \text{ kHz}$

Nanocrystalline  
nickel sample,  
grain size: 167 nm

U. Rabe, et al., Surface and Interface Analysis, Vol. 33 (2002) 65-70.

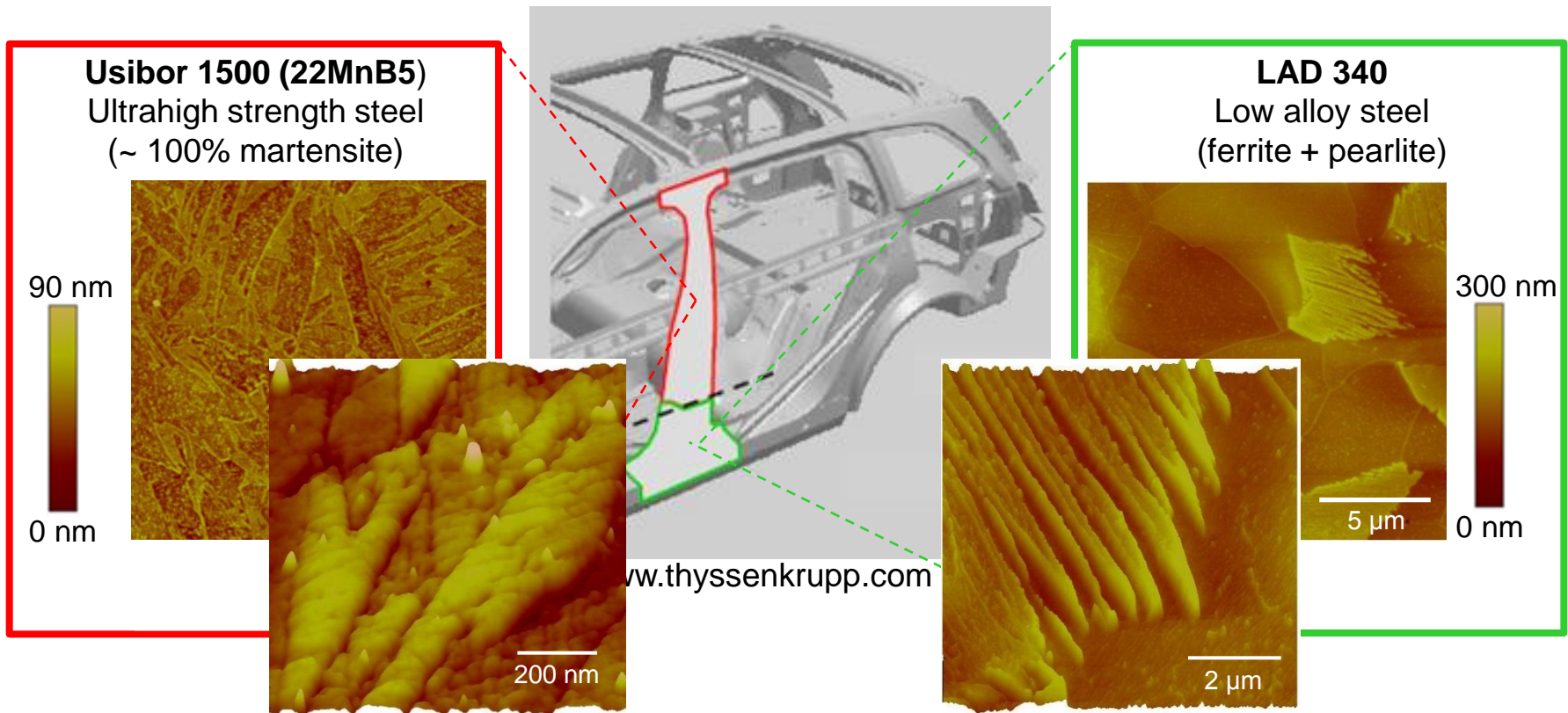
# AFAM contact stiffness image of nanocrystalline nickel



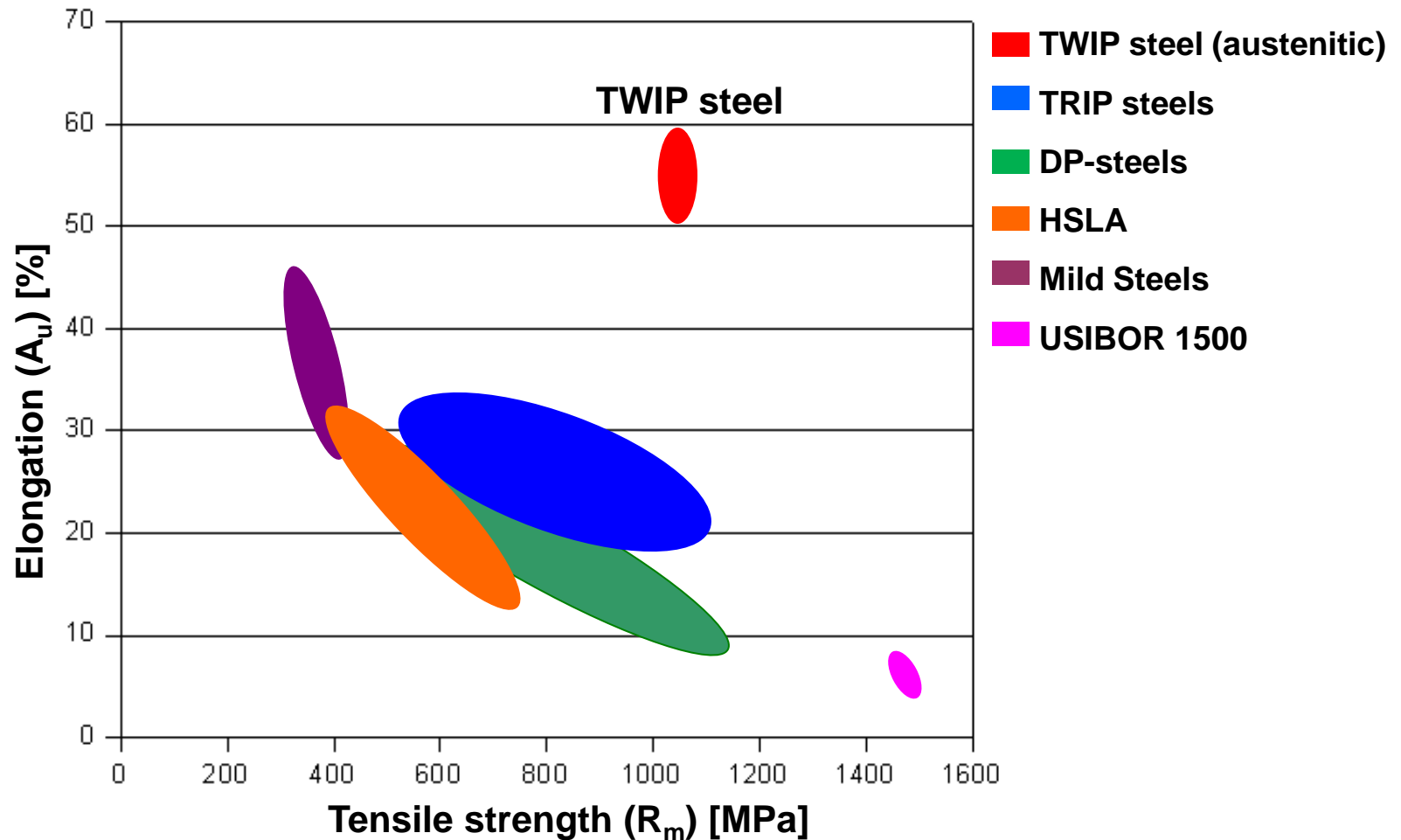
U. Rabe, et al., Surface and Interface Analysis, Vol. 33 (2002) 65-70

# Requirements to the crash behavior

- Maximum strength where crash deformation resistance is required;
- Good formability where high deformation takes place;
- Light weight steel design for low fuel consumption.



# Elongation and tensile strength of different steels



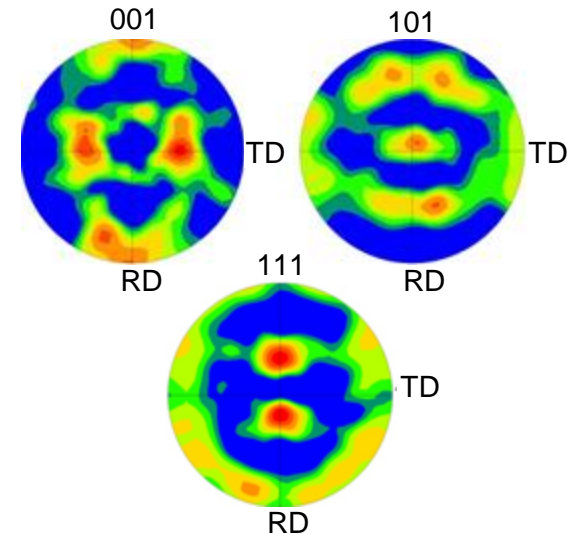
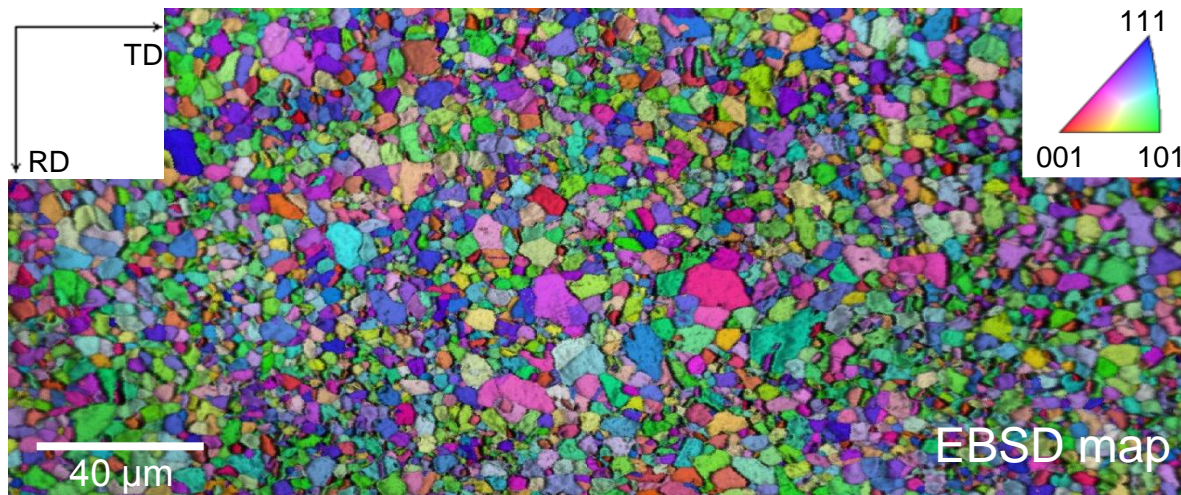
D. Cornette et al., *SAE Technical papers: 2005-01-1327 (modified)*.



# TWIP steel, cold rolled and annealed

Chemical composition: Fe-22Mn-0.6C-0.2V (wt%);

Mechanical properties at room temperature: Yield strength ( $R_{p0.2}$ ) > 600MPa, tensile strength ( $R_m$ ) > 1000 MPa, uniform elongation ( $A_u$ ) > 50%



Weak texture, elastic properties measured by ultrasonic methods:

Young's modulus:  $E_{TD} = 186$  GPa,  $E_{RD} = 179$  GPa;

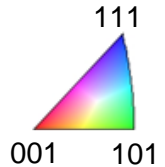
Shear modulus:  $G_{TD} = 72$  GPa,  $G_{RD} = 68$  GPa;

Bulk modulus:  $B_{TD} = 149$  GPa,  $B_{RD} = 162$  GPa;

Poisson ratio:  $\nu_{TD} = 0.29$ ,  $\nu_{RD} = 0.31$ .

RD: rolling direction  
TD: transverse direction

# TWIP steel, EBSD and AFM topography image



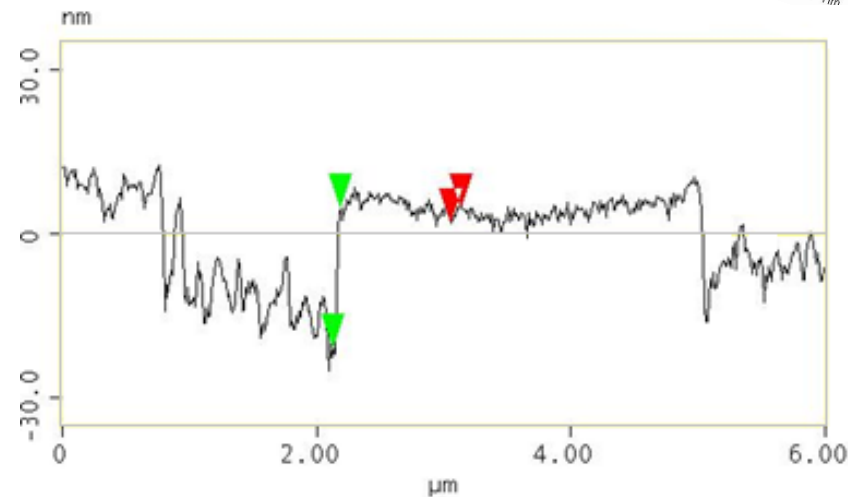
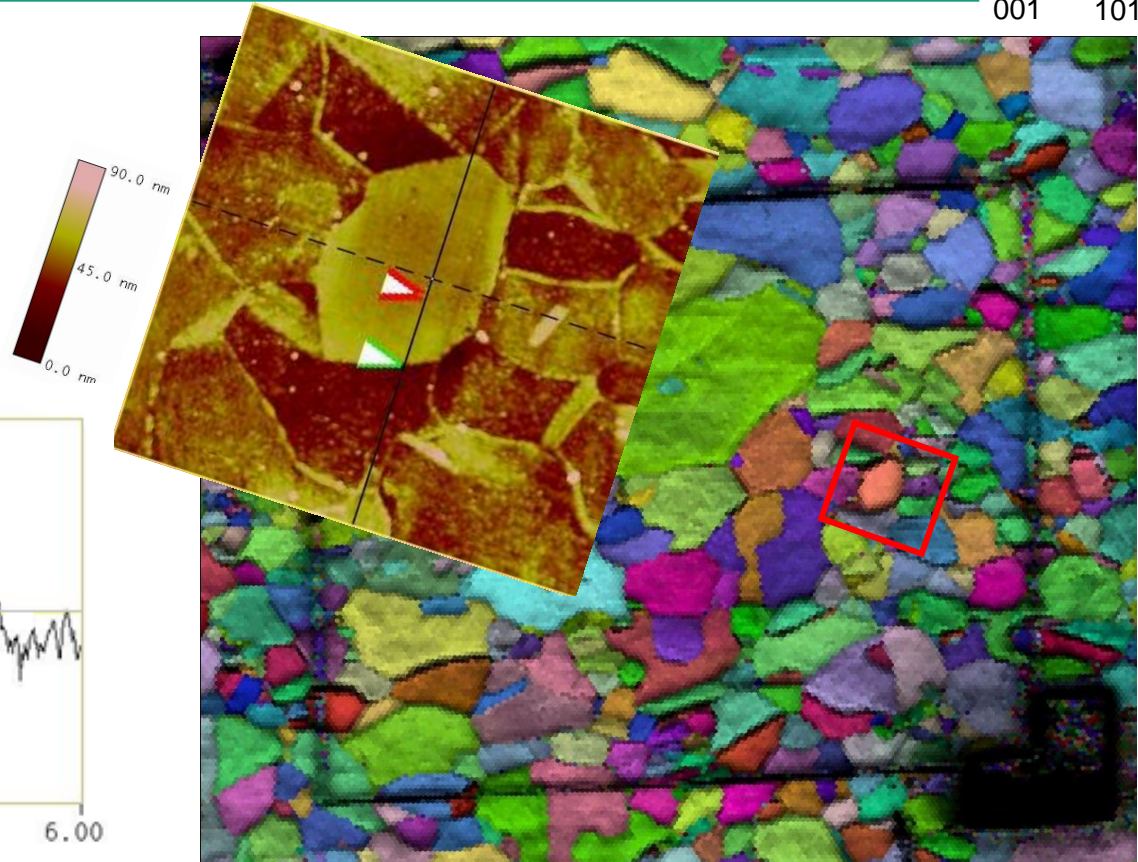
Height profile:

Horizontal distance: 82.031 nm

Vertical distance: 2.690 nm

Horizontal distance: 58.594 nm

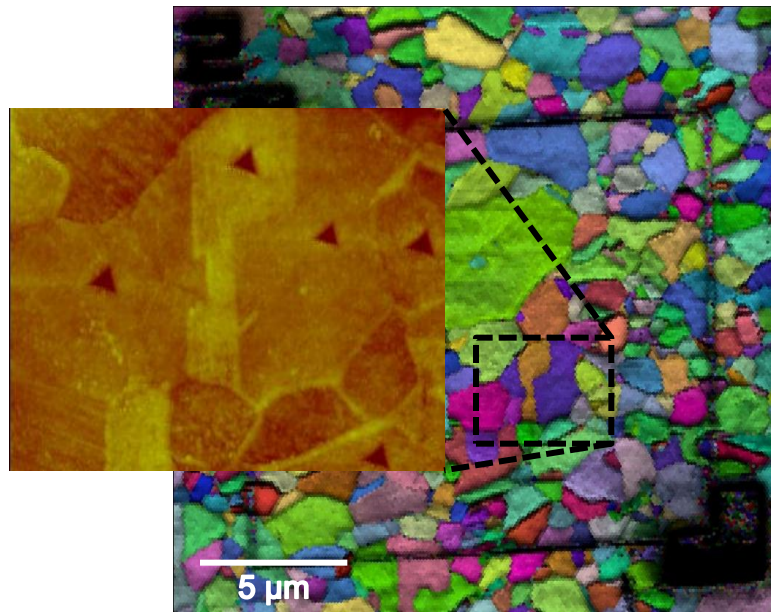
Vertical distance: 25.752 nm



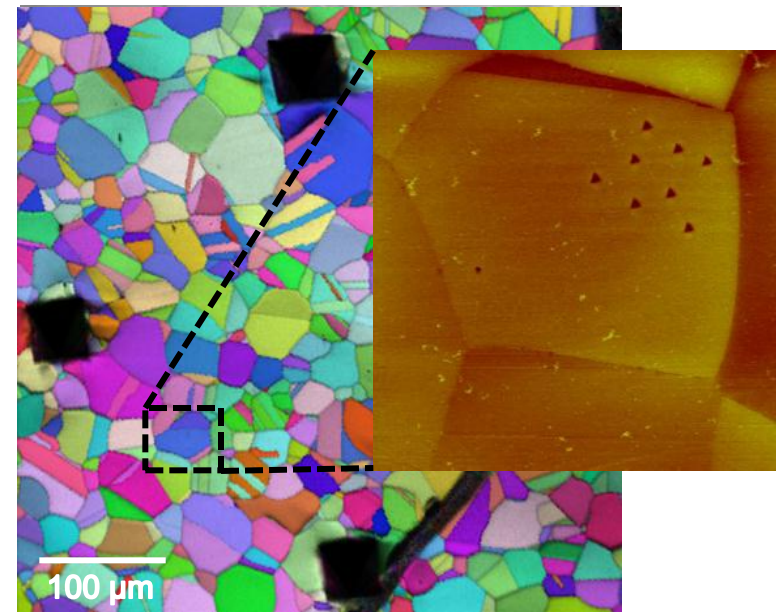
Austenite, average grain size 4 μm; EBSD map, AFM tapping-mode image; height profile along a line in the AFM image: on electrochemically polished surfaces largest height and lowest surface roughness for grains in/around [001] orientation



# TWIP steels – Indentation moduli [GPa]



FeMnC (V)



FeMnC (AlSi)

Nanoindentation

	(111)	(101)	(001)
FeMnC (V)	218	207	197
FeMnC (AlSi)	160	134	131

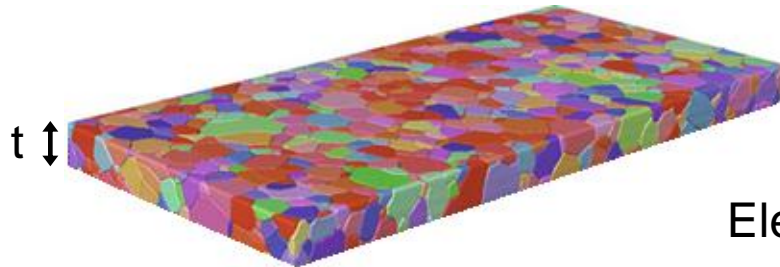
Quantitative AFAM

	(111)	(101)	(001)
FeMnC (V)	179	167	139
FeMnC (AlSi)	171	142	113

# TWIP steel: Tensile specimen preparation for in-situ AFM

Fe-22Mn-0.6C-0.2V (wt%), grain size 2  $\mu\text{m}$

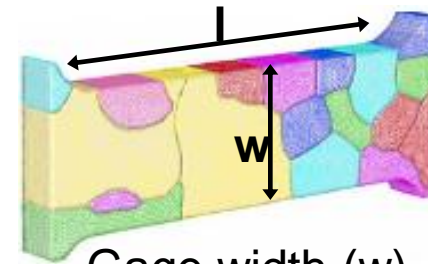
Industrial steel plate (cold-rolled and annealed condition)



thickness ( $t$ ) = 1.2 mm

Electroerosion cutting

Bone-shaped tensile sample



Gage width ( $w$ ) = 0.5 cm  
Gage length ( $l$ ) = 1.5 cm

Mechanical and electrolytic polishing

Atomic Force  
Microscope (AFM)  
observation

Tensile machine

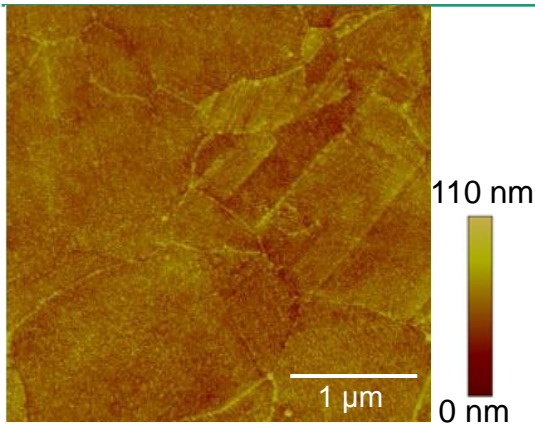


Deformed in tension at room temperature to different strains

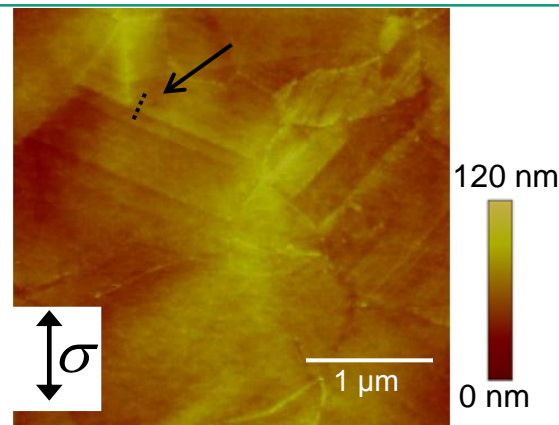
Microindentation mark  
Grain orientation  
determination (EBSD)

Objective: Observation of the formation of twins with increasing deformation

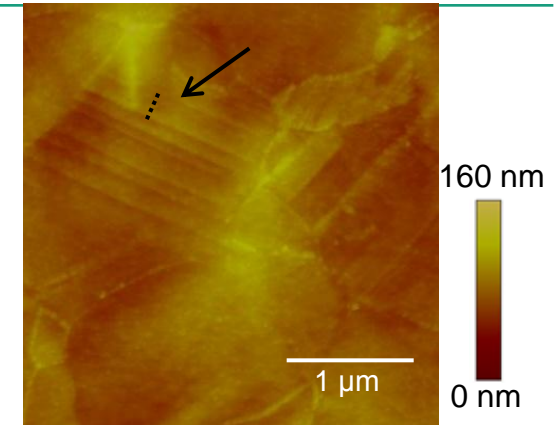
# Plastic deformation of TWIP steel, AFM and EBSD studies



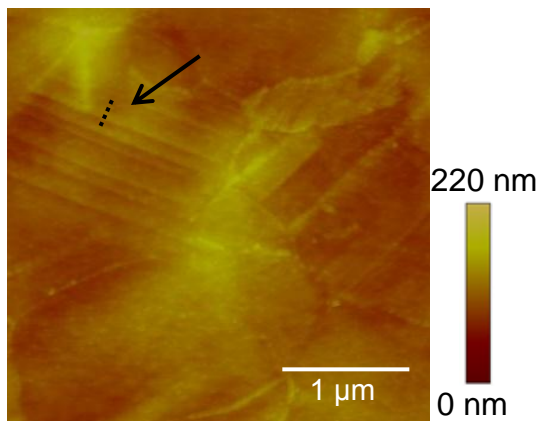
AFM tapping mode, topography cold-rolled and annealed



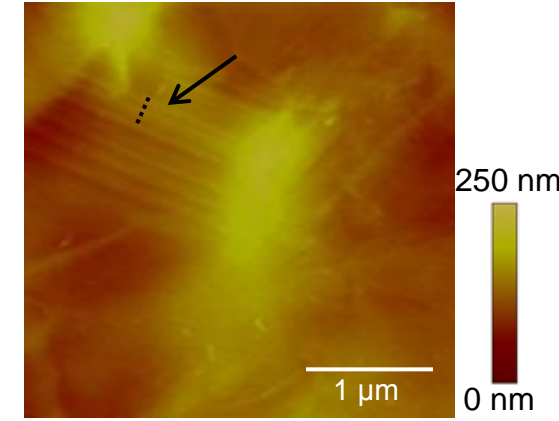
AFM contact mode, topography 3% plastic deformation



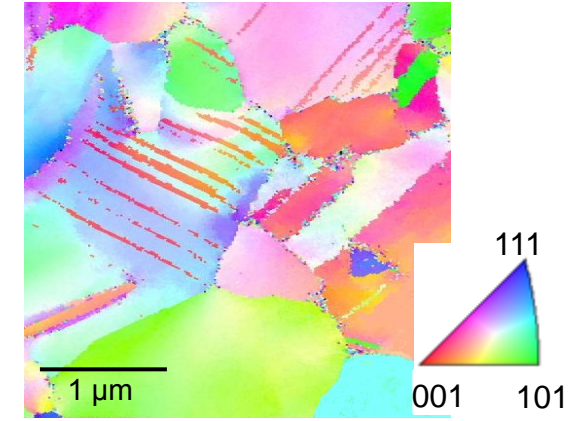
AFM contact mode, topography 6% plastic deformation



AFM contact mode, topography 10% plastic deformation



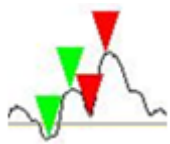
AFM contact mode, topography 15% plastic deformation



EBSD map 15% plastic deformation

# Plastic deformation of TWIP steel, AFM section analysis

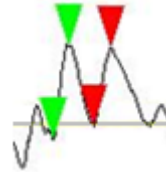
## Topography



3% plastic deformation

Vertical distance: 10.889 nm

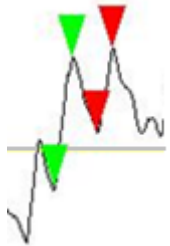
Vertical distance: 8.409 nm



6% plastic deformation

Vertical distance: 13.667 nm

Vertical distance: 16.336 nm



10% plastic deformation

Vertical distance: 14.567 nm

Vertical distance: 22.338 nm



15% plastic deformation

Vertical distance: 17.393 nm

Vertical distance: 29.541 nm

Strong influence of grain orientation on deformation twinning:

- For low strain: Grains with  $\langle 111 \rangle$  orientation parallel or close to the tensile axis were twinning deformed first.
- For larger deformation: Grains with unfavorable  $\langle 001 \rangle$  orientation parallel or close to the tensile axis were twinning deformed also.



# Unalloyed steels: Fe-C systems (Fe - Fe<sub>3</sub>C)

Matrix: Ferrite ( $\alpha$ -Fe); Precipitates: Cementite (Fe<sub>3</sub>C)

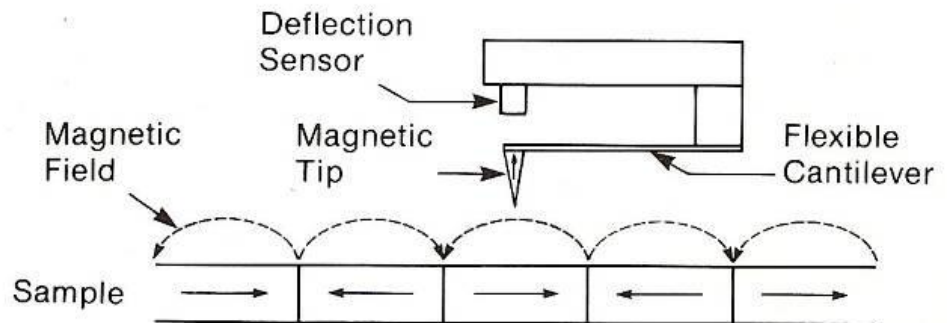
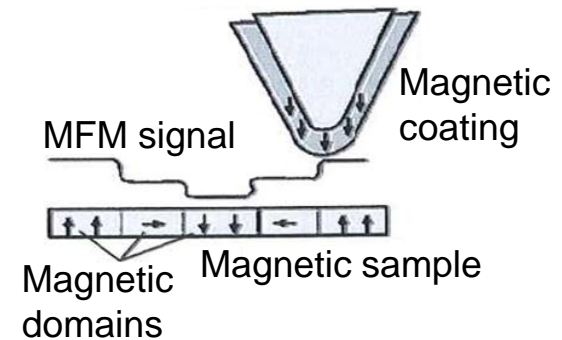
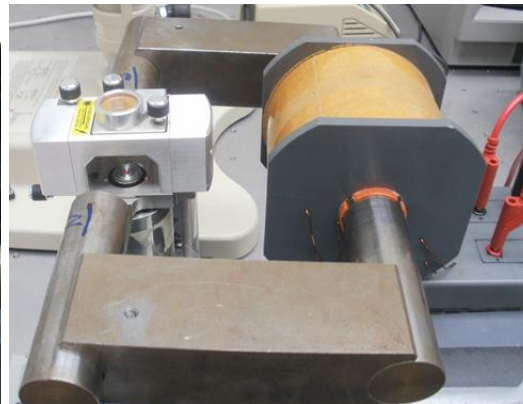
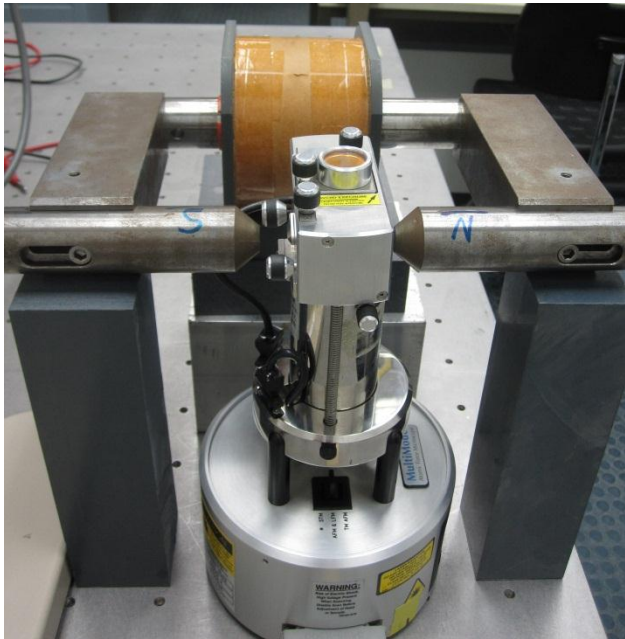
- Macroscopic properties: dominated by the material properties as well as the size and shape of the Fe<sub>3</sub>C-phase inclusions
- Interdependence between mechanic and magnetic properties
- Interactions of magnetic domains with the microstructure influence the steel properties

	$\alpha$ -Fe	Fe <sub>3</sub> C
Crystalline structure	body-centered cubic (BCC)	orthorhombic
Mechanical properties	soft & ductile	hard & brittle
Magnetic properties (MD: magnetic direction)	$T_C \approx 766^\circ\text{C}$	$T_C \approx 215^\circ\text{C}$
	$E_{MD}: [100], [010], [001]$	$E_{MD}: [001]$
	$H_{MD}: [111]$	$H_{MD}: [010]$

$E_{MD}$ : easy direction,  $H_{MD}$ : hard direction;  $T < T_C$ : ferromagnetic,  $T > T_C$ : paramagnetic

# Magnetic Force Microscope coupled with an external coil

A magnetic force microscope (MFM) maps magnetic domain structures at a surface of magnetic samples by recording the force gradient between a sample and a tip coated with a ferromagnetic material; the external coil provides with a controlled in-plane magnetic field.

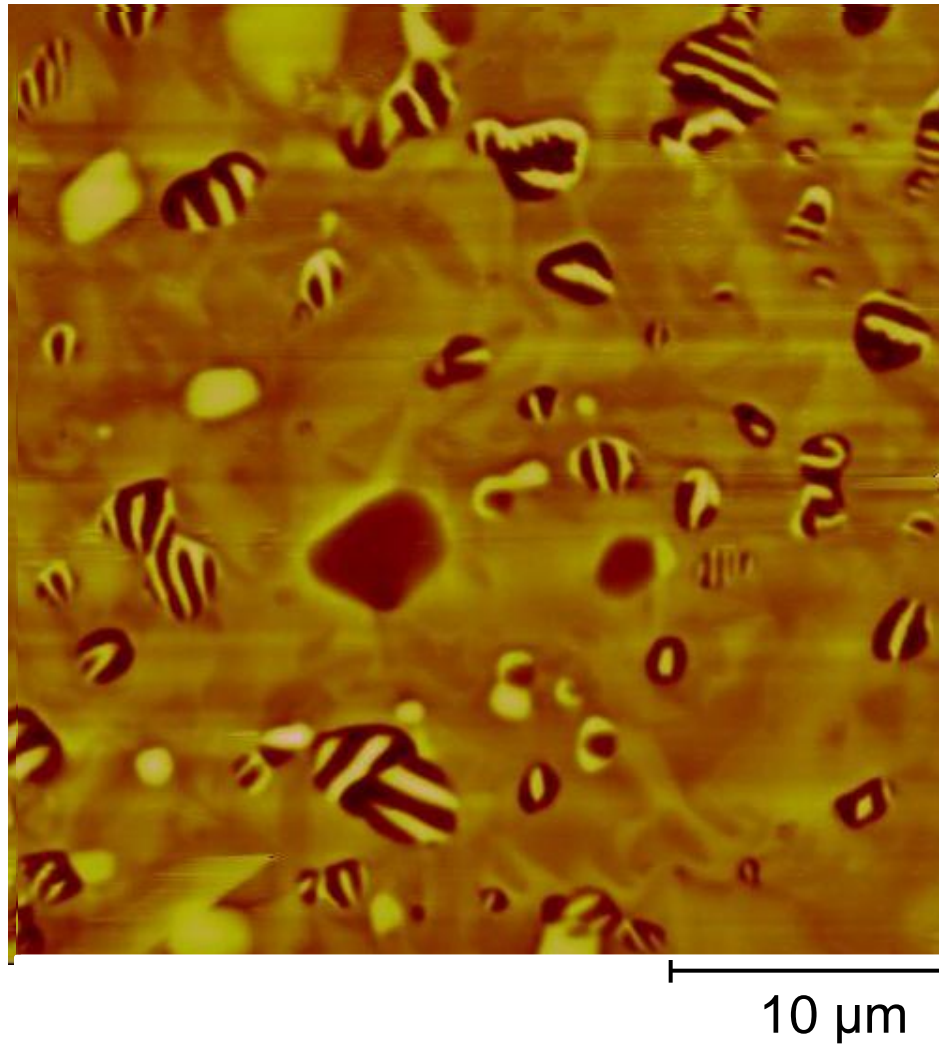


P. Grütter et al. in: *Scanning Tunneling Microscopy II*, (1992).

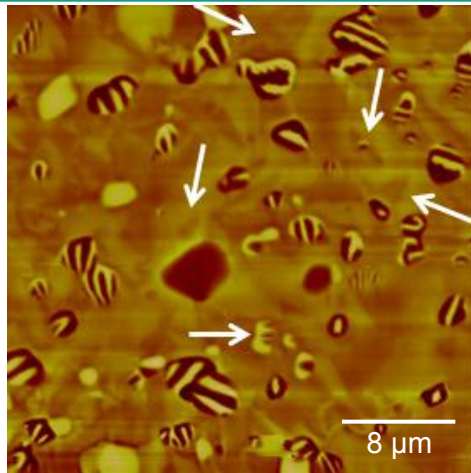
## Magnetic Force Microscope



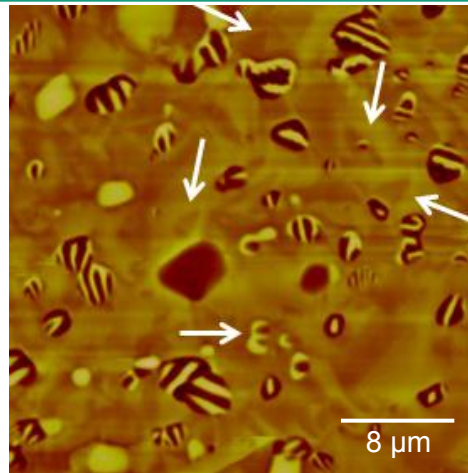
# Magnetic domain dynamics in Fe-Fe<sub>3</sub>C (Fe-1.5%C)



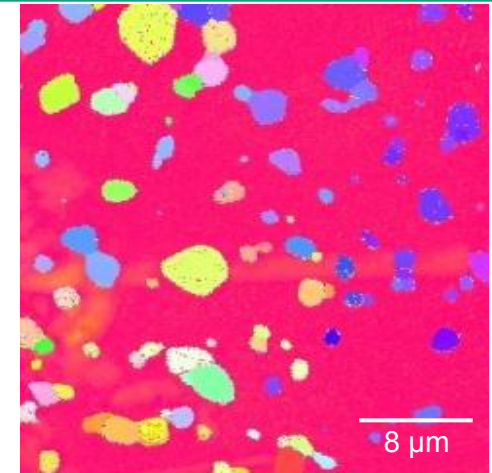
# Magnetic microstructure in Fe-1.5%C, MFM and EBSD studies



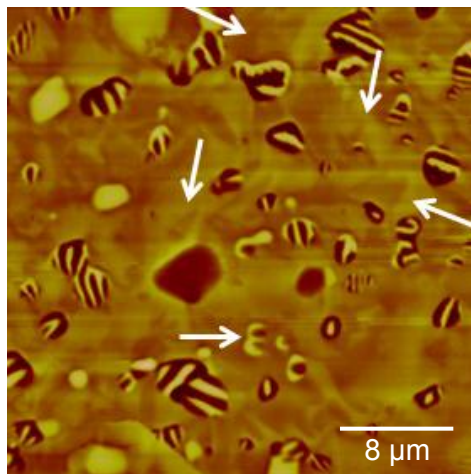
MFM ( $H=0$ )



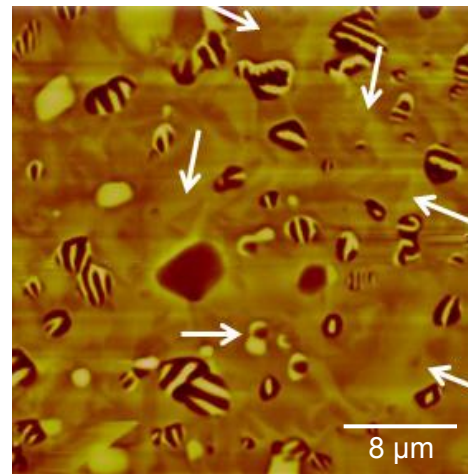
$H = 13 \text{ mT}$



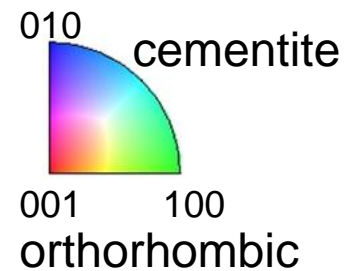
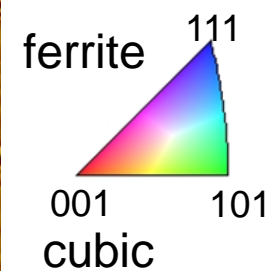
EBSD map



$H = 20 \text{ mT}$



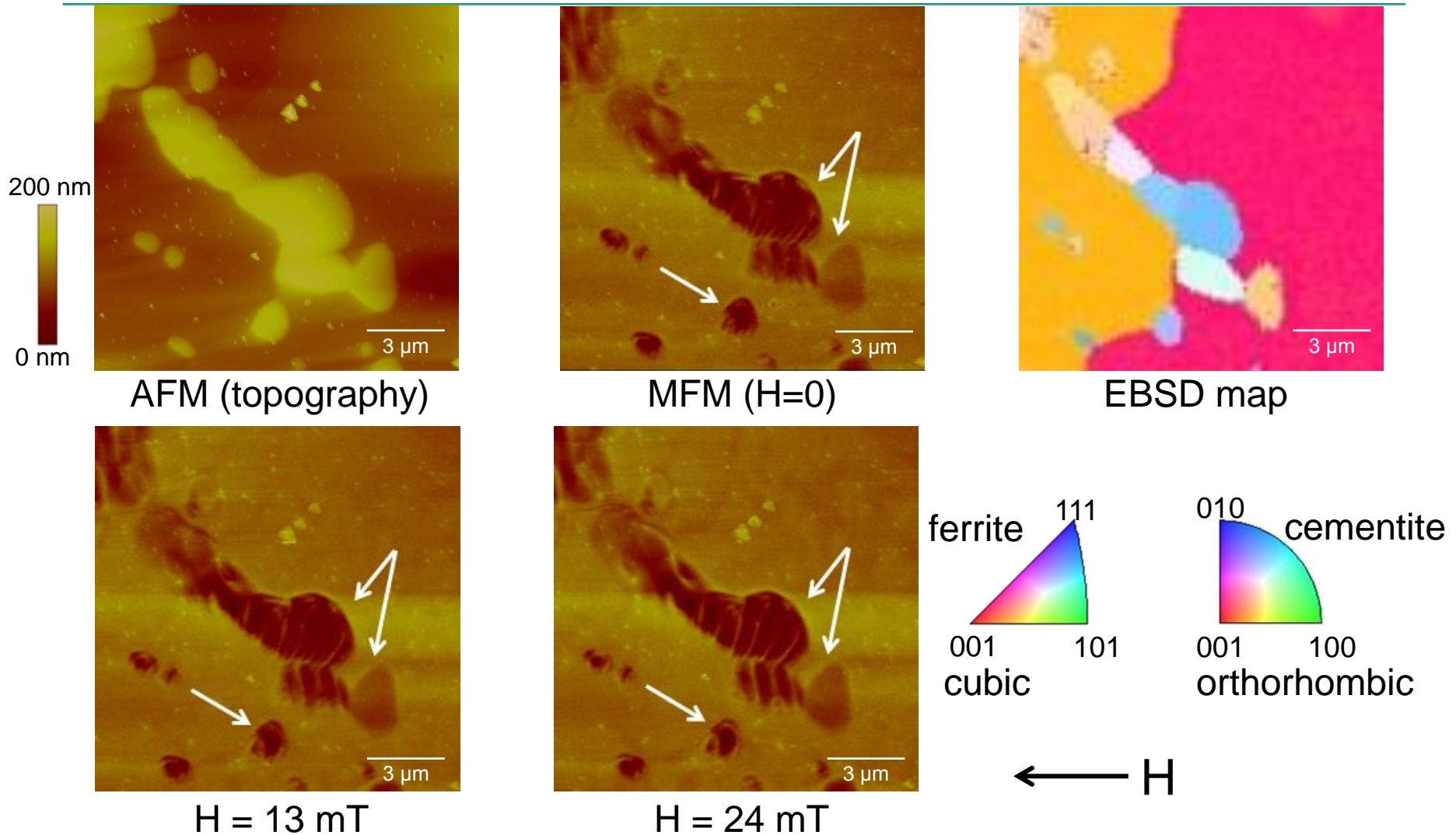
$H = 24 \text{ mT}$



# Magnetic domain dynamics in cementite ( $\text{Fe}_3\text{C}$ )

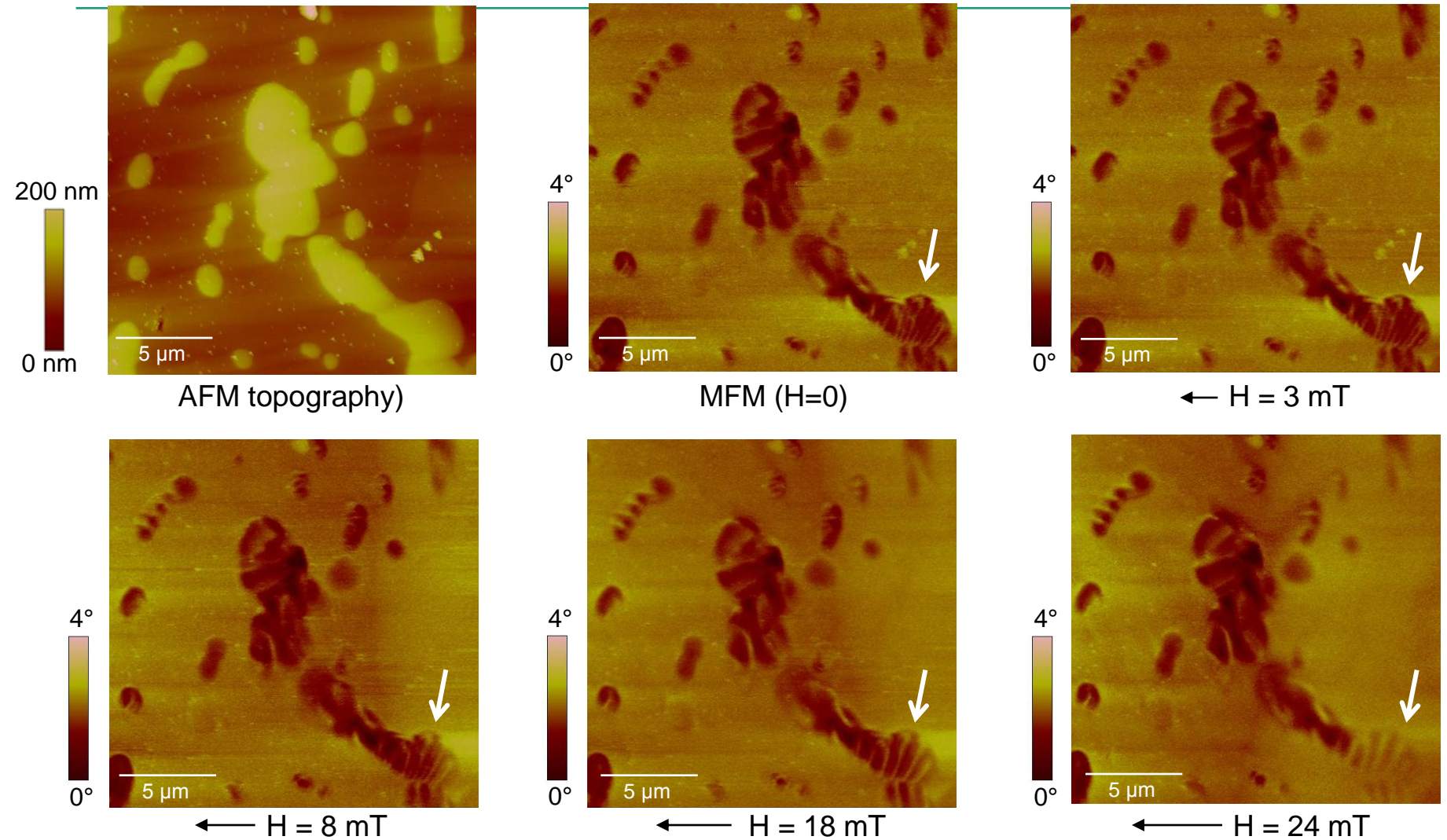


# Magnetic microstructure in $\text{Fe}_3\text{C}$ , MFM and EBSD studies

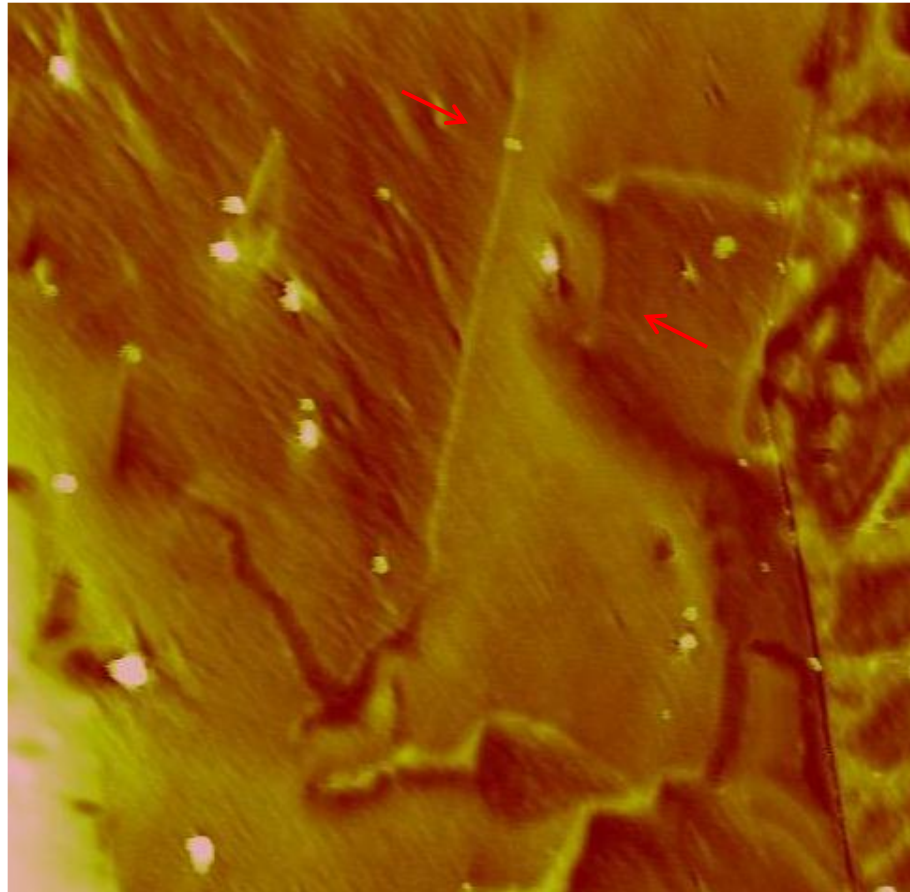




# MFM - magnetic microstructure of $\text{Fe}_3\text{C}$



# Domain wall dynamics in soft iron



$H = 0$

10  $\mu\text{m}$

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# Summary

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- Motivation for AFM in ndt and quality assurance: materials design by selective adjustment of microstructures controlling macroscopic properties (adaptation to special application cases)
- Principle of AFM and its different operation modes
  - quasi-static, non-resonant dynamic, resonant dynamic
  - contact, intermediate-contact, non-contact (force contact), intermittent contact
  - different interaction forces
  - access to different local material properties
- Applications to metals
  - nanocrystalline nickel
  - unalloyed steel
  - TWIP steel

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