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»INVESTIGATION OF CLEANING TECHNOLOGIES AND VALIDATION PROCEDURES APPROPRIATE TO NEEDED CLEANLINESS FOR INSTRUMENTS USED IN THE SEARCH FOR LIFE«

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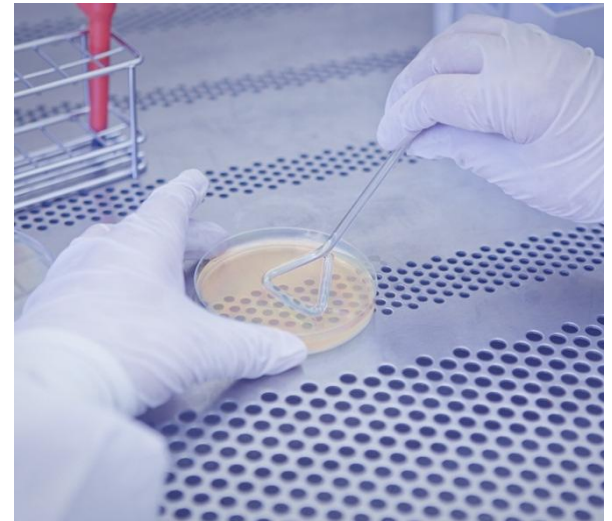
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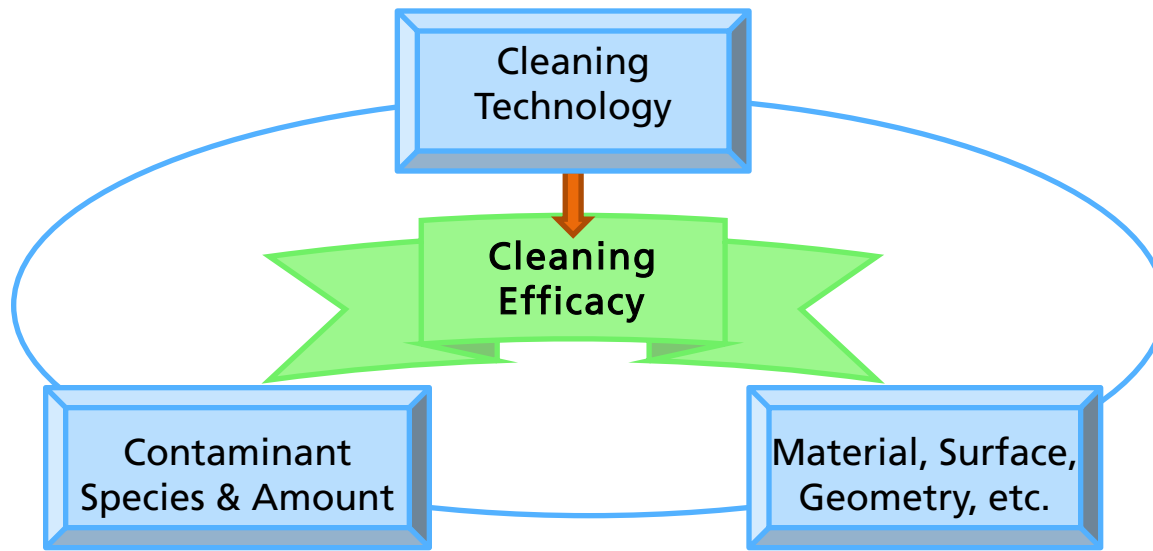
European Space Agency (ESA)



1: Introduction and Background

Cleanliness Requirements of „Search for Life“ Missions

- high reliability & long life cycles of components
 - „Planetary Protection“ aspects
 - exobiology instrument requirements:
avoid „false positive“ results caused by
terrestrial cross-contamination
- final cleaning of parts and components necessary

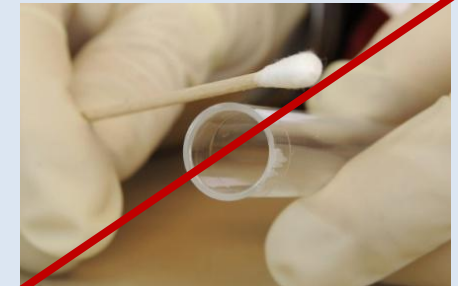


validation of cleaning efficacy is necessary:

- determine influences
- quantify cleaning efficacies

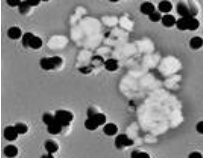
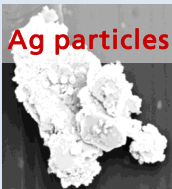
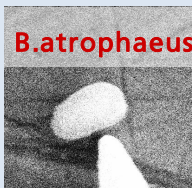
selection criteria of detection methods:

- direct
- quantitative
- no sampling losses
- 100% test



1: Introduction and Background

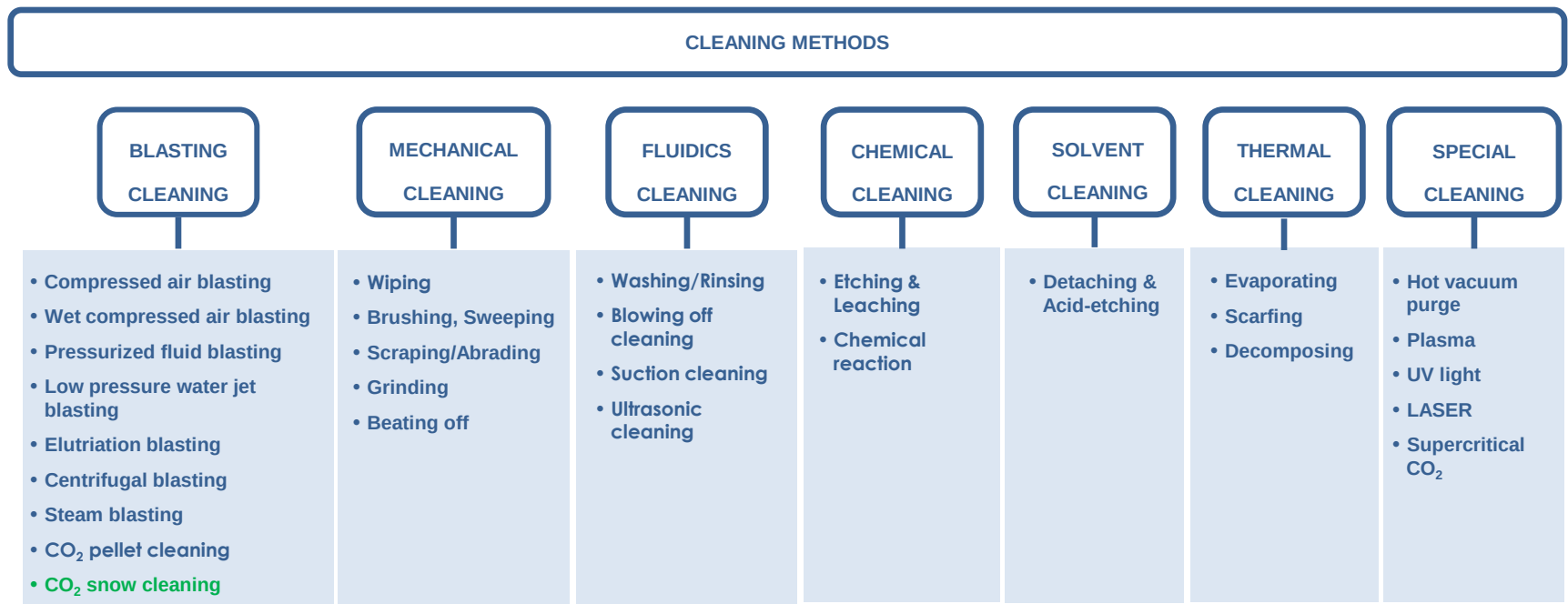
Relevant Contamination and Specific Cleanliness Requirements

Particles	 abiotic & anorganic → manufacturing process residues (dust from abrasive processes, abrading agents, ...) → dust from the environment → extraterrestrial samples → etc.  biotic → bacteria → spores → flakes of skin & cell fragments → etc.	requirements: → particulate (PAR): $< 10 \text{ Particles/m}^2$  Ag particles → biological (BIO): 0.03 spores/m^2  B.atrophaeus → organic (VOC): 1 ng/cm^2 – Hexadecane – Diethylphthalate – Dimethylsiloxane – Toluene – Phenylethylamine
Molecular	 filmic (organic & anorganic) → residues from auxiliary production materials (cooling lubricants, preserving agents, ...) → fingerprints → etc. 	

2: Available Cleaning Technologies

Cleaning Technologies Trade-Off

- Which cleaning technologies are available? (34 cleaning methods)
- Which cleaning technologies are most suitable? (Pre-/Main-/Post-Cleaning)
- How can I preserve the final cleanliness state? (Packaging Concept)



3: Proof of Concept

Validating CO₂ Snow Jet Cleaning: Definition of Constraints

advantages:

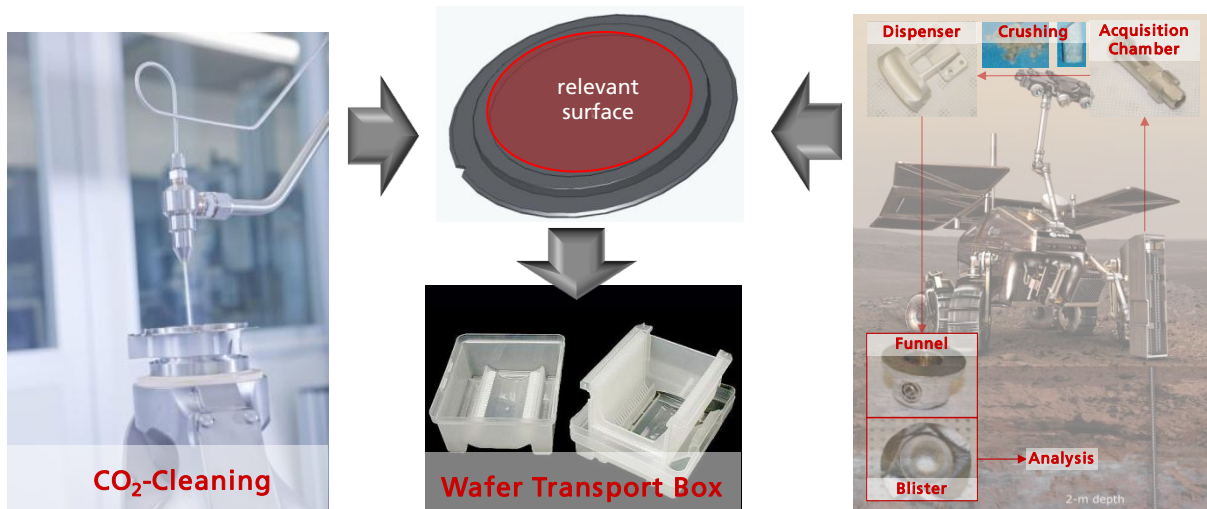
- effective cleaning method
- removal of organic and particulate contamination
- universally applicable
- residue-free
- dry

test sample:

- standardized test geometry for robot-assisted cleaning
- diameter of test substrate: 100 mm (≈ 4" Wafer)
- materials, roughness, etc.: freely alterable

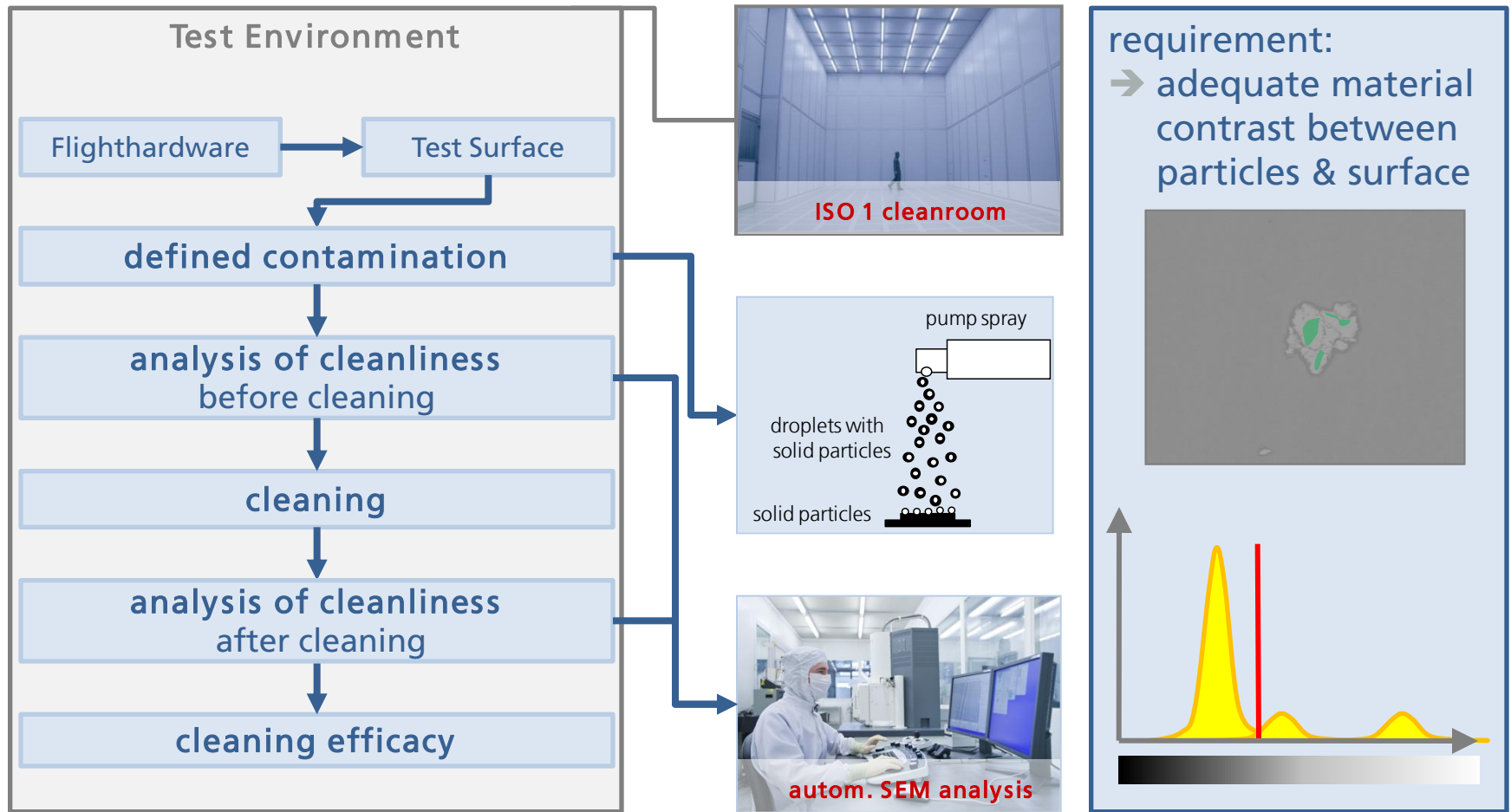
test environment:

- Class 1 cleanroom acc. to ISO 14644-1
- benchmark for clean environment
- laminar airflow
- controlled temperature and humidity



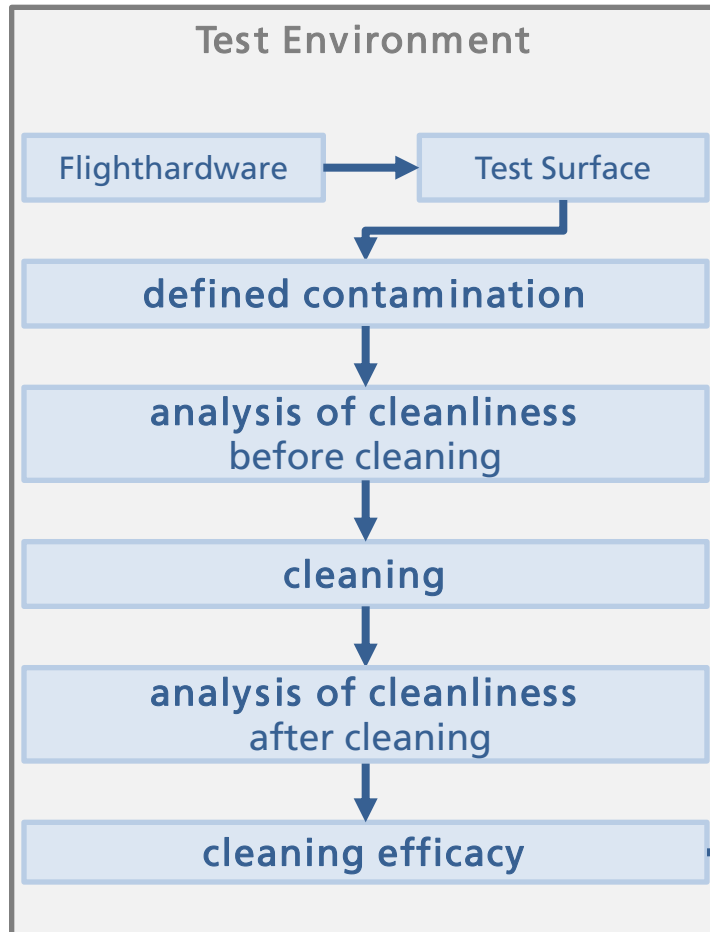
3: Proof of Concept

Particle Removal Efficacy - Approach



3: Proof of Concept

Particle Removal Efficacy - Results



Material	Run	Cleaning Efficacies for the Particle Sizes in %			
		$\geq 1 \mu\text{m}$	$\geq 5 \mu\text{m}$	$\geq 10 \mu\text{m}$	$\geq 50 \mu\text{m}$
Aluminium Al 6061	1st	99.41%	99.97%	99.96%	100%
	2nd	97.71%	99.94%	100%	100%
Titanium Alloy Ti-6Al-4V	1st	99.97%	99.99%	100%	100%
	2nd	99.87%	99.95%	99.97%	100%
Aluminium Alodine 1200	1st	99.99%	100%	100%	100%
	2nd	99.99%	99.99%	100%	100%
Aluminium Black Anodized	1st	100%	100%	100%	100%
	2nd	100%	100%	100%	100%
Steel AISI 304	1st	100%	100%	100%	100%
	2nd	100%	100%	100%	100%
	3rd	100%	100%	100%	100%

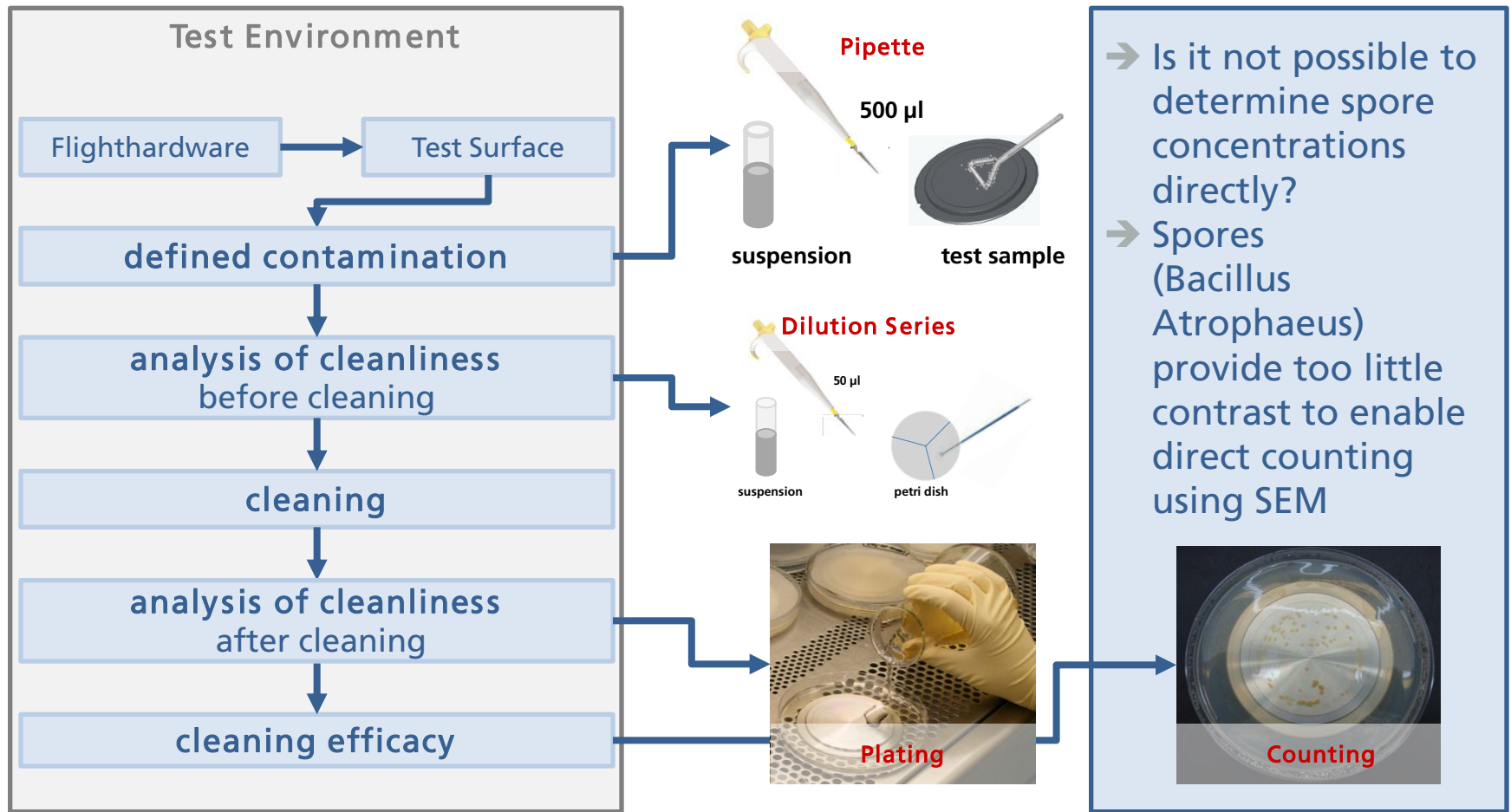
- Steel ($R_a \approx 0.8$): zero count twice
- Titanium Alloy ($R_a \approx 0.5$): very good
- Aluminium Alloy ($R_a \approx 0.5$): > 97.71%
- Aluminium Black Anodized* & Aluminium Alodine 1200* ($R_a \approx 2.4$): very good

*Notice:

incompatibility to the cleaning method

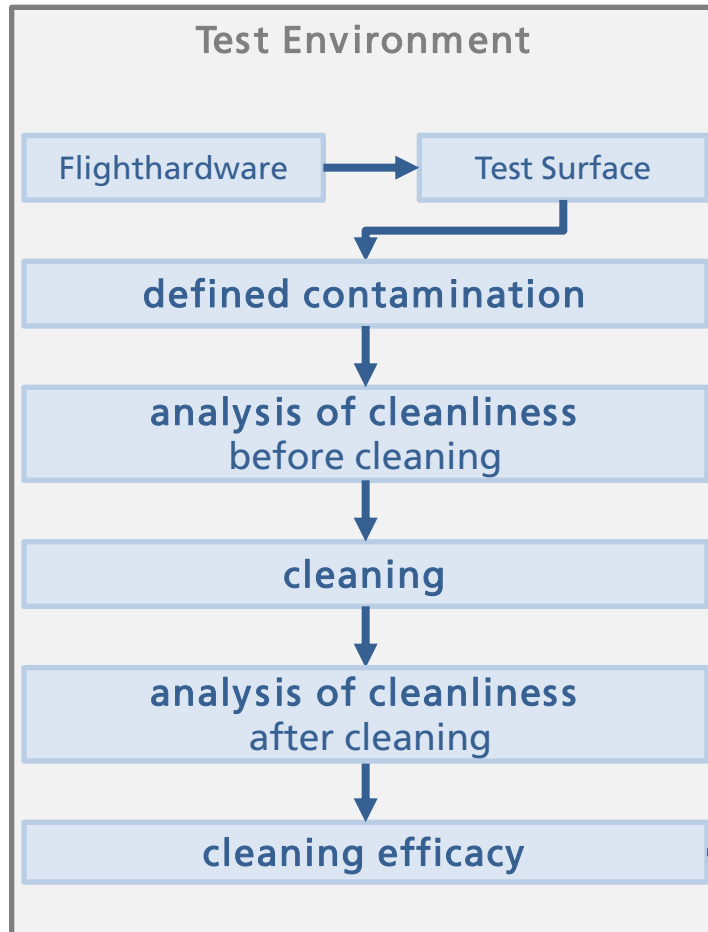
3: Proof of Concept

Spore Removal Efficacy - Approach



3: Proof of Concept

Spore Removal Efficacy - Approach



organism	dilution factor	material	applied CFU/test surface	test		maximum R-value with cleaning Parameter Set 1	maximum R-value with cleaning Parameter Set 2
				CFU/test surface before cleaning	CFU/test surface after cleaning		
spores of <i>B. atrophaeus</i>	100	Aluminium Al 6061	6.E+05	film	film	n.d.	n.d.
spores of <i>B. atrophaeus</i>	100	Aluminium Black Anodized	6.E+05	film	film	n.d.	n.d.
spores of <i>B. atrophaeus</i>	100	Aluminium Alodine 1200	6.E+05	film	film	n.d.	3.5
spores of <i>B. atrophaeus</i>	100	Steel AISI 304	6.E+05	film	306	3.3	3.4
spores of <i>B. atrophaeus</i>	100	Titanium Alloy Ti-6Al-4V	6.E+05	film	14	4.6	6.1
spores of <i>B. atrophaeus</i>	100	Aluminium alloy samples (non-coated, $R_a \approx 0.5$)	6.E+05	film	0.5	6.1	6.1
spores of <i>B. atrophaeus</i>	100	Titanium Alloy	6.E+05	film	3	5.3	5.3
spores of <i>B. atrophaeus</i>	100	Ti-6Al-4V	6.E+05	film	1	5.8	5.5

organism	dilution factor	material	applied CFU/test surface	test		maximum R-value with cleaning
				CFU/test surface before cleaning	CFU/test surface after cleaning	
spores of <i>B. atrophaeus</i>	10 ⁰	Steel AISI 304	6.E+05	film	0.5	6.1
spores of <i>B. atrophaeus</i>	10 ⁰	Aluminium alloy samples (coated, $R_a \approx 2.4$)	6.E+05	film	0.5	6.1

Spore Reduction:

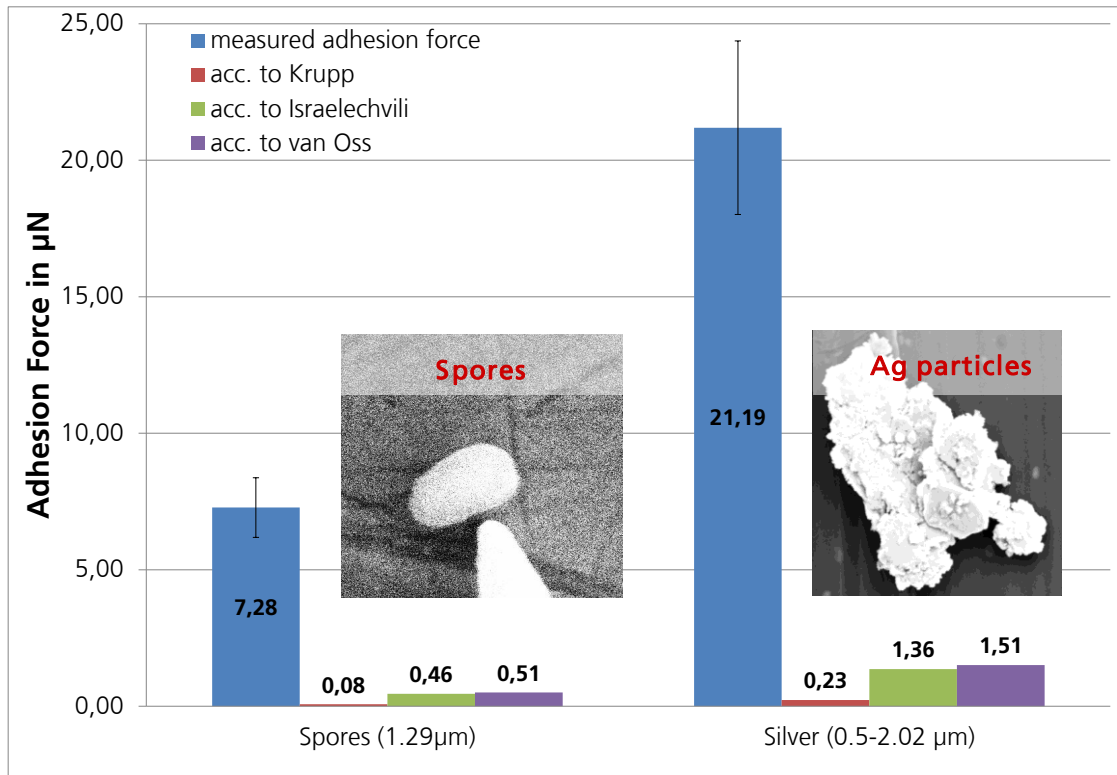
- Log 6: Stainless Steel ($R_a \approx 0.8$)
- Log 5: Titanium Alloy ($R_a \approx 0.5$)
- Log3/n.d.: Aluminium alloy samples (non-coated, $R_a \approx 0.5$)
- n.d.: Aluminium alloy samples (coated, $R_a \approx 2.4$)

3: Proof of Concept

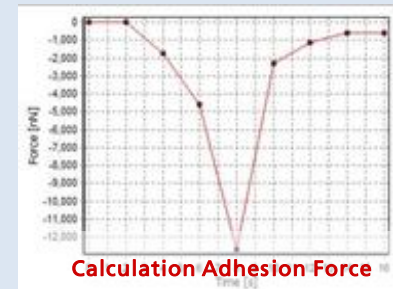
Transfer of Particulate Results

»Adhesive forces are the cause of all surface effects leading to contamination and influencing cleaning«

Hauser G. (2008). *Hygienic Manufacturing Technology* Weinheim: WILEY-VCH Verlag GmbH & Co. KGaA

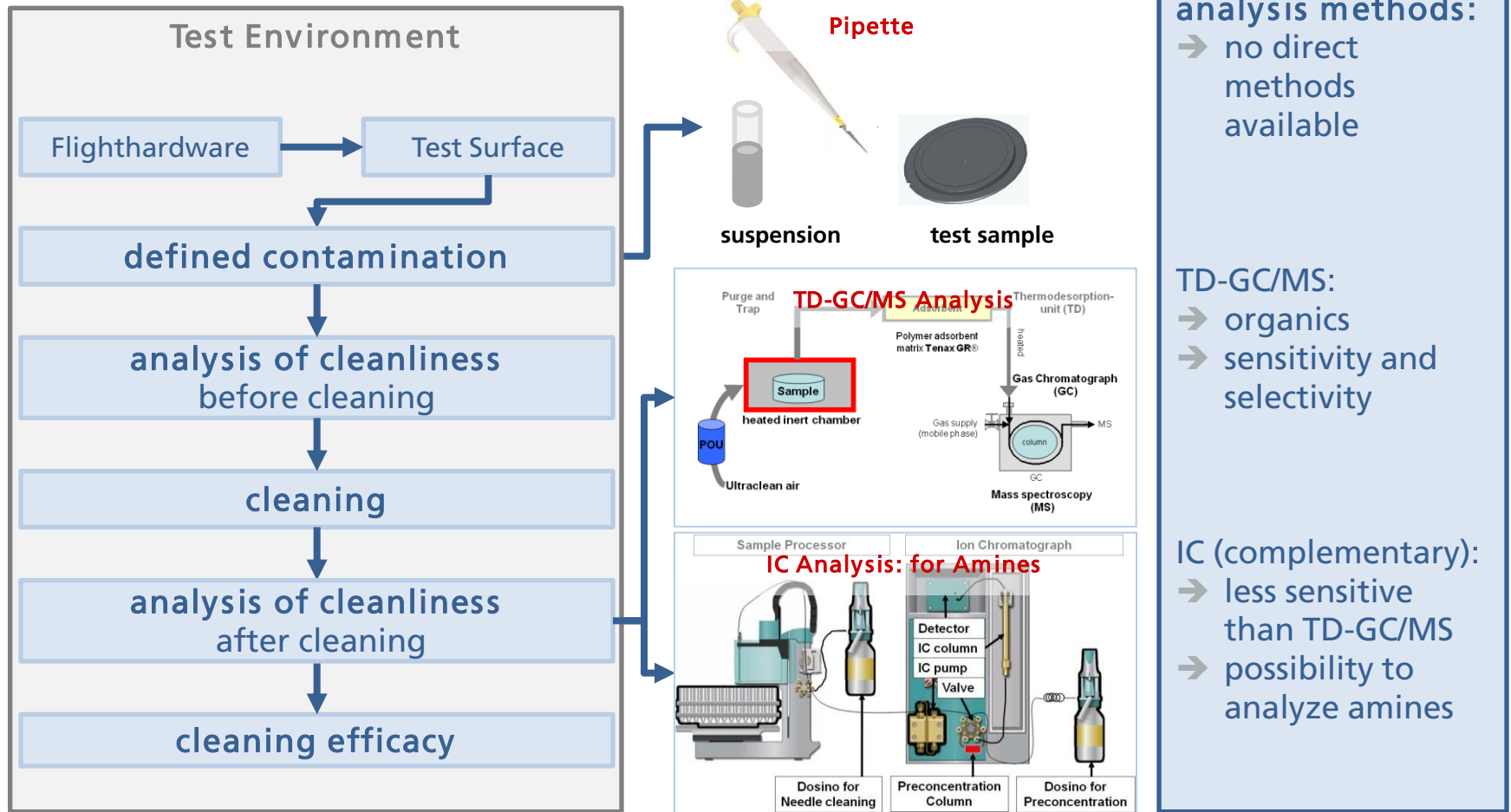


Particle Adhesion Measurement



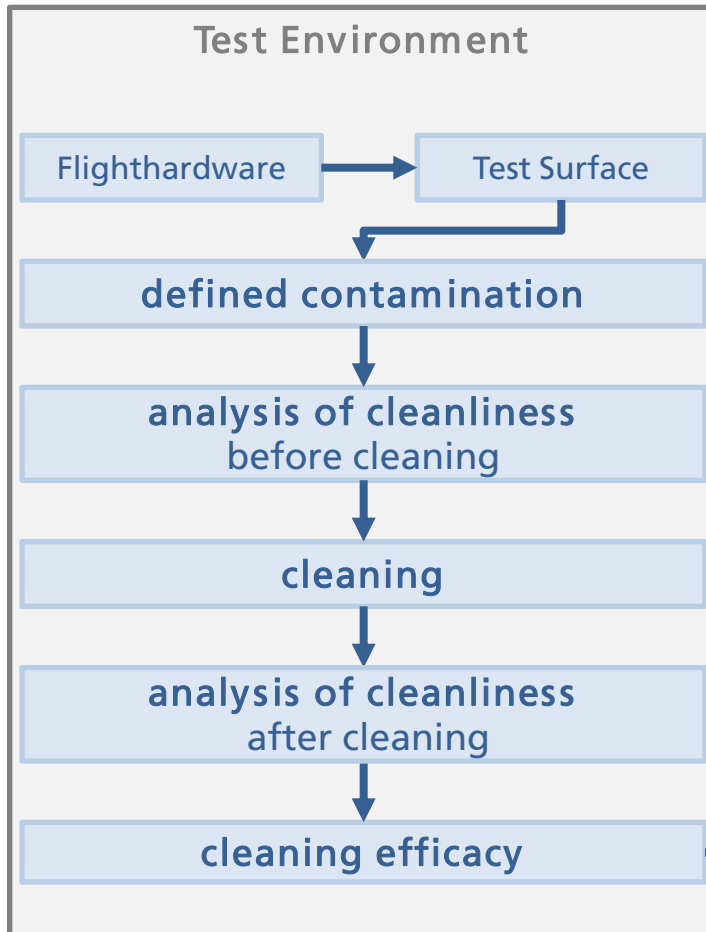
3: Proof of Concept

Organic Removal Efficacy - Approach



3: Proof of Concept

Organic Removal Efficacy - Results



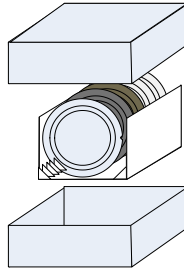
Organic Removal Efficacies				
	Toluene	DMP	Hexadecane	PDMS
Aluminium ($R_a \approx 0.5$) Al 6061	97%	99%	99%	99%
Aluminium ($R_a \approx 2.4$) Black Anodized	92%	100%	99%	97%
Aluminium ($R_a \approx 2.4$) Alodine 1200	94%	98%	97%	99%
Titanium Alloy Ti-6Al-4V ($R_a \approx 0.5$)	98%	96%	98%	98%
Steel ($R_a \approx 0.8$) AISI 304	98%	98%	99%	99%

contamination in μg per material	Steel AISI 304 ($R_a \approx 0.8$)			Aluminum Al 6061 ($R_a \approx 0.5$)		
calculated contamination	42	420	4200	42	420	4200
detected contamination prior cleaning first elution	24.3	149.2	5012	17.5	470.0	4918
detected contamination prior cleaning second elution	7.5	13.2	118.6	8.3	11.6	900.5
detected contamination after cleaning	6.3	13.1	8.1	9.2	7.3	7.3
elution efficacy [%]	69.24	91.16	97.63	52.37	97.52	81.69
cleaning efficacy [%]	74.17	91.19	99.84	47.31	98.44	99.85

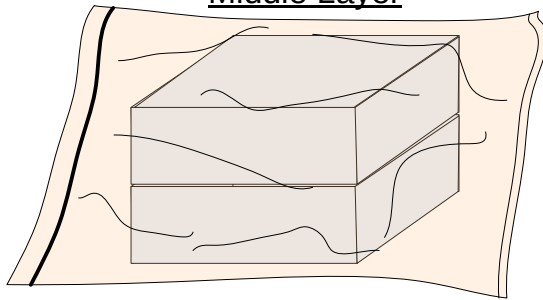
TD-GC/MS & IC Analysis:

- ➔ 92% (worst) to 100% (best)
- ➔ no appreciable difference in organic cleaning efficacy for different materials

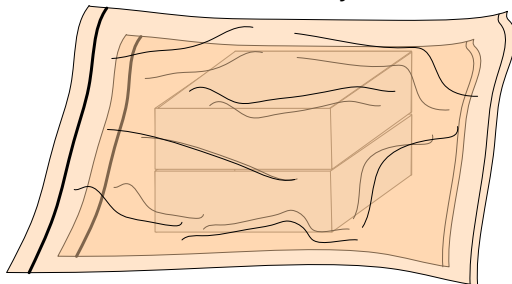
4: Packaging Concept



Middle Layer

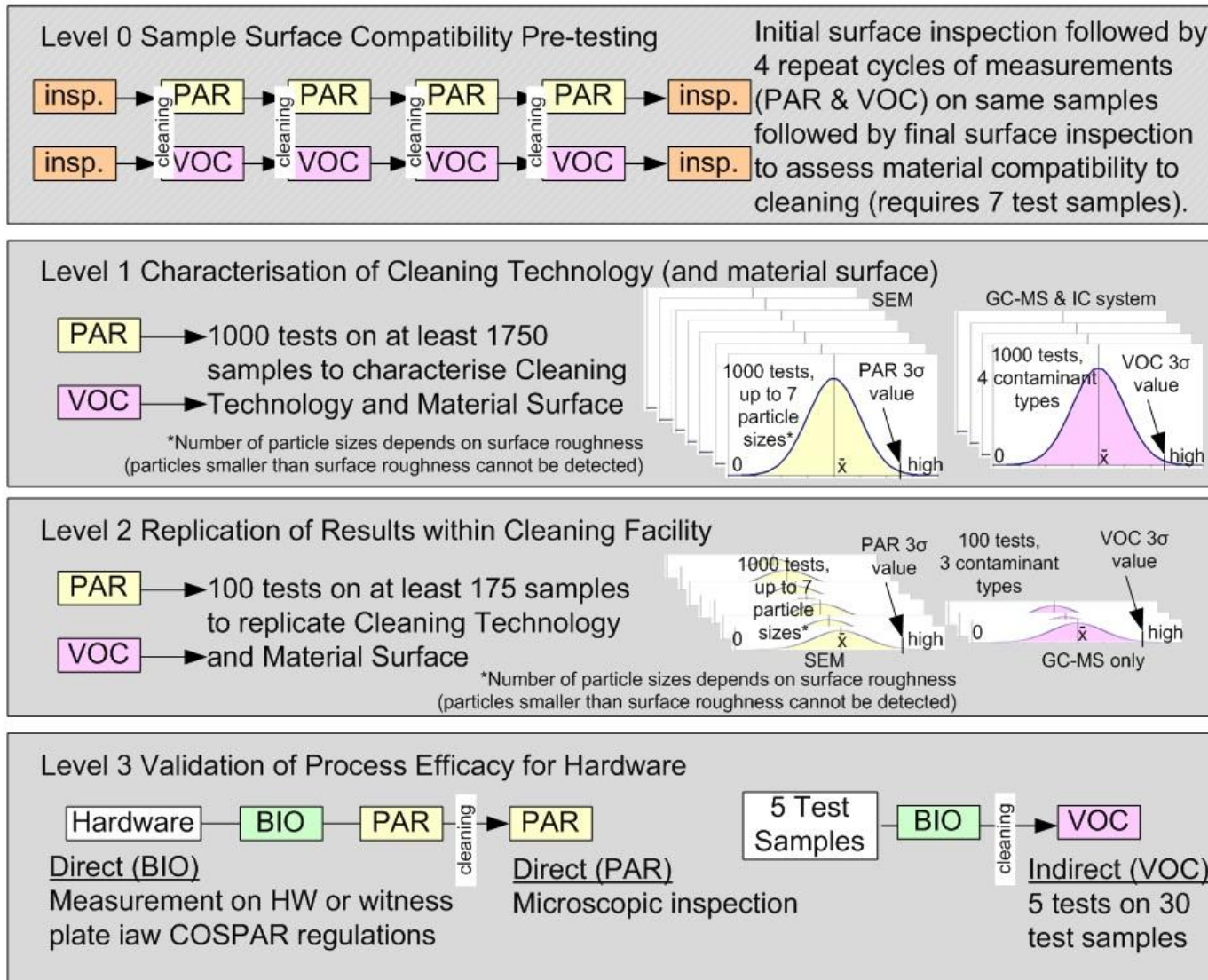


Outer Layer



- based on techniques and materials from the semiconductor industry
 - inner layer
 - cleaned polycarbonate holder
 - small contact area
 - middle/outer layer
 - ultra-clean PP Ziploc bags with ESD protection
 - no contaminants generated during heat sealing
 - PP: low levels of outgassing & shedding
- long term storage samples were prepared
- level of contamination on these samples will be measured after storage periods of
- 6 months
 - 12 months
 - 18 months
 - 2 years

5: Detailed Test Design



6: Summary

- requirement: high cleanliness levels of parts & components (PAR/BIO/VOC)
 - cleaning and selection of suitable cleaning technologies
 - quantitative assessment of cleaning technologies
- cleaning validation approach:
 - particles: automated SEM analysis with tracer contaminants
 - spores: plating with Agar
 - transfer of particle results: measuring particle adhesion forces
 - organics: combination of TD-GC/MS and IC analysis
 - suitability demonstrated:
 - quantitative assessment of CO2 snow cleaning efficacies
- preserve cleanliness state: packaging concept
 - standard wafer equipment (optimized in regard of PAR, VOC and ESD)
 - longterm storage tests: assessment of recontamination potential
- statistical hedging: detailed test plan
 - repetition test series required

7: Acknowledgements

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