

Advances in Epitaxial GaInP/GaAs/Si Triple Junction Solar Cells



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1) Fraunhofer ISE, Freiburg

2) Philipps University Marburg

3) TU Ilmenau

IEEE PVSC-47 Virtual conference

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III-V on Si

Motivation

- Silicon solar cells
 - Limited to 29.4 % efficiency¹⁾
 - Low cost of manufacturing

- III-V multi-junction solar cells
 - Highest efficiencies (38.8 %)²⁾
 - Proven stability (space)
 - Substrates costly



<https://www.pv-tech.org/news/new-nrel-study-details-us-rooftop-solar-pv-potential-at-1118gw-plus>



<https://sci.esa.int/web/solar-orbiter/-/solar-orbiter-antenna-deployment>

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1) Richter et al. *IEEE Journal of Photovoltaics*, 4, 3, 2013

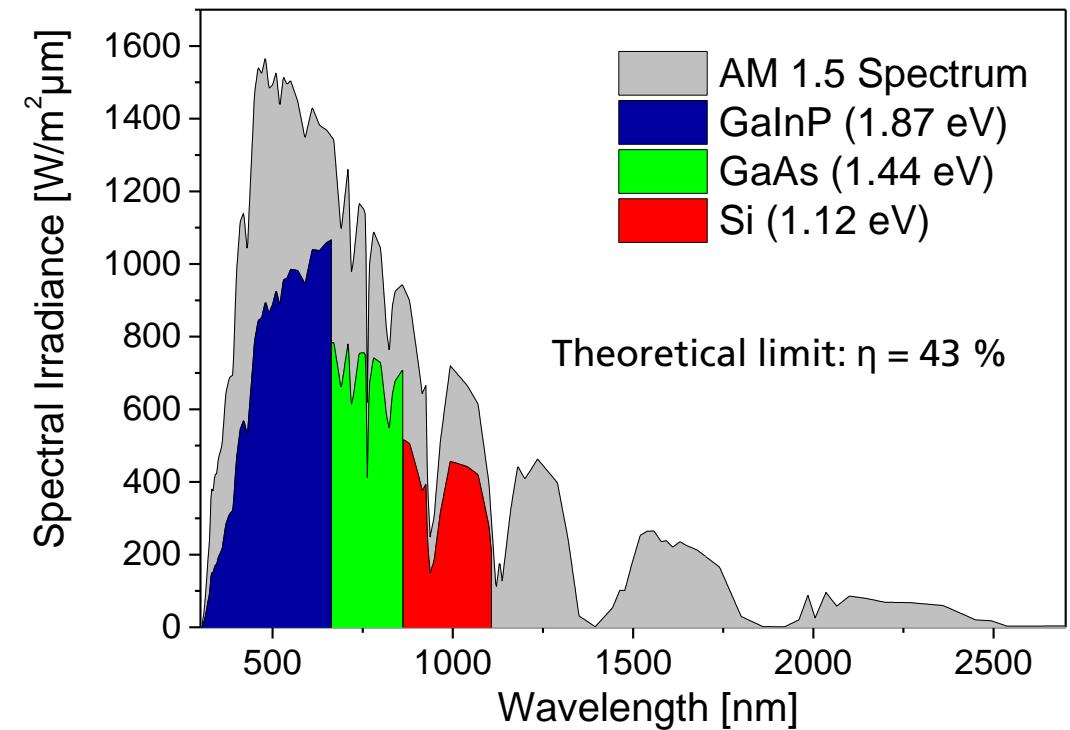
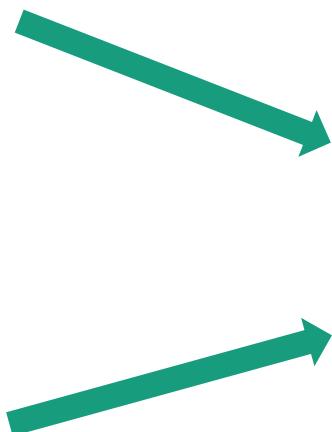
2) Chiu Pt et al., *Proc. 40th IEEE Photovoltaic Specialist Conference*, Denver, June 2014; 11–13

III-V on Si

Motivation

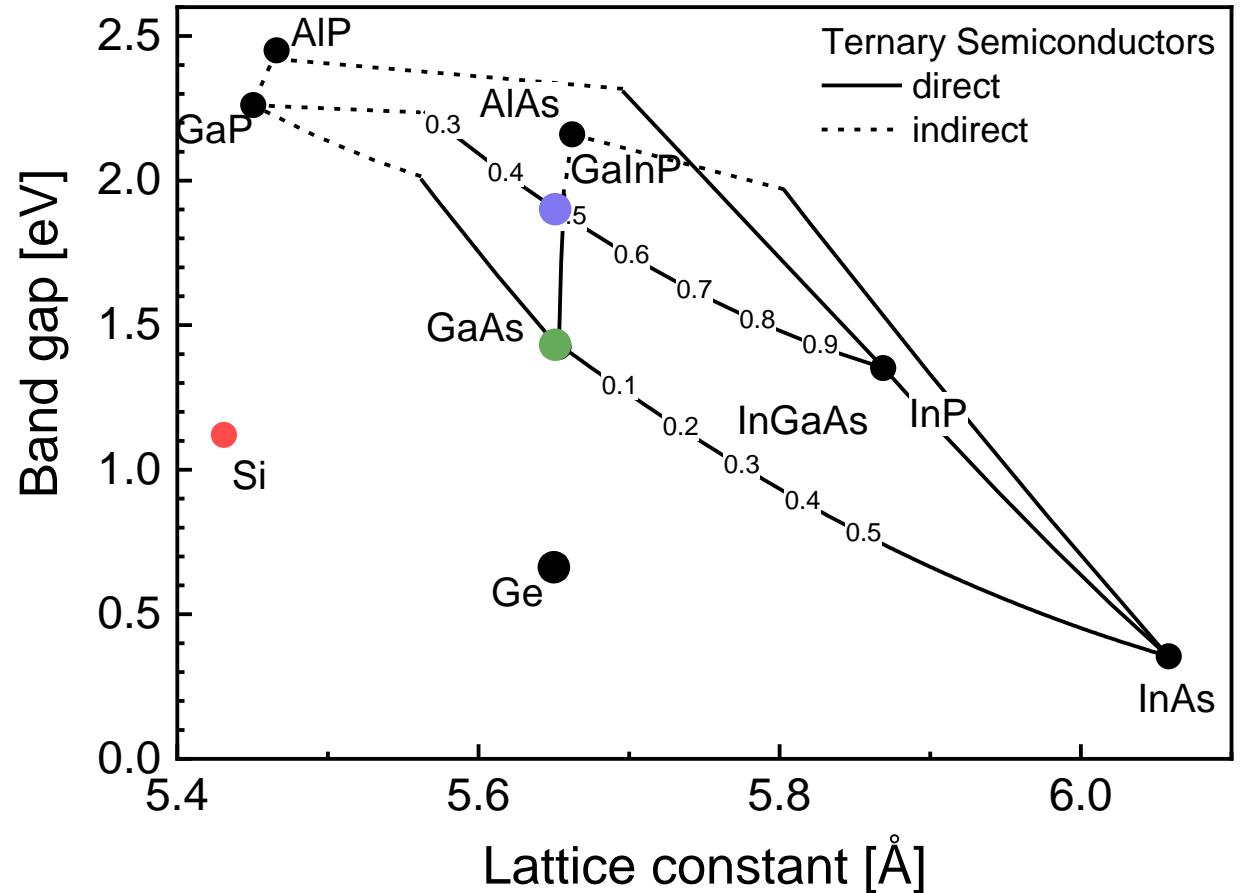
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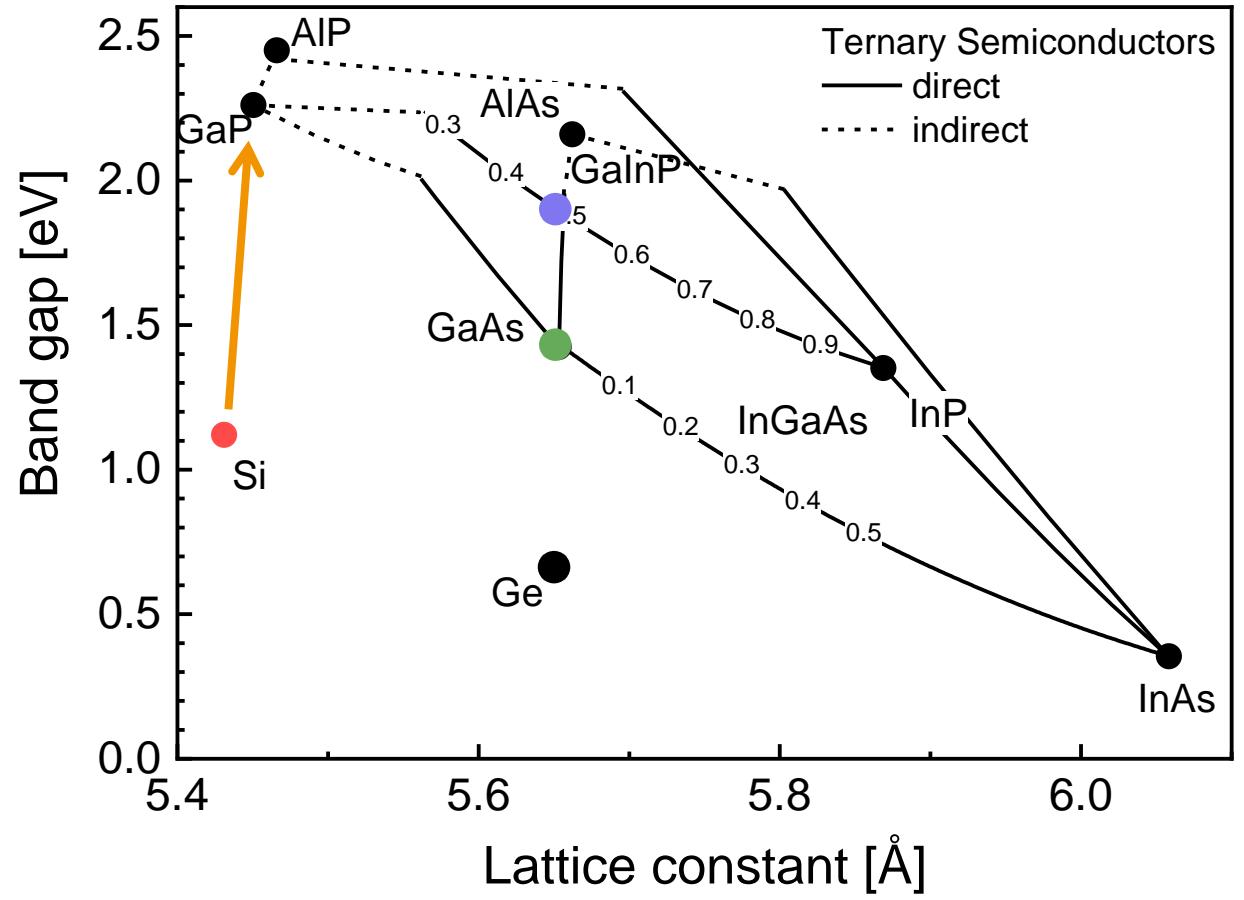
III-V on Si Challenges

- III-V on Si
 - Polar (III-V) on non-polar (Si) crystals
 - Lattice mismatch of ~4%
- III-V epitaxy on Si not trivial



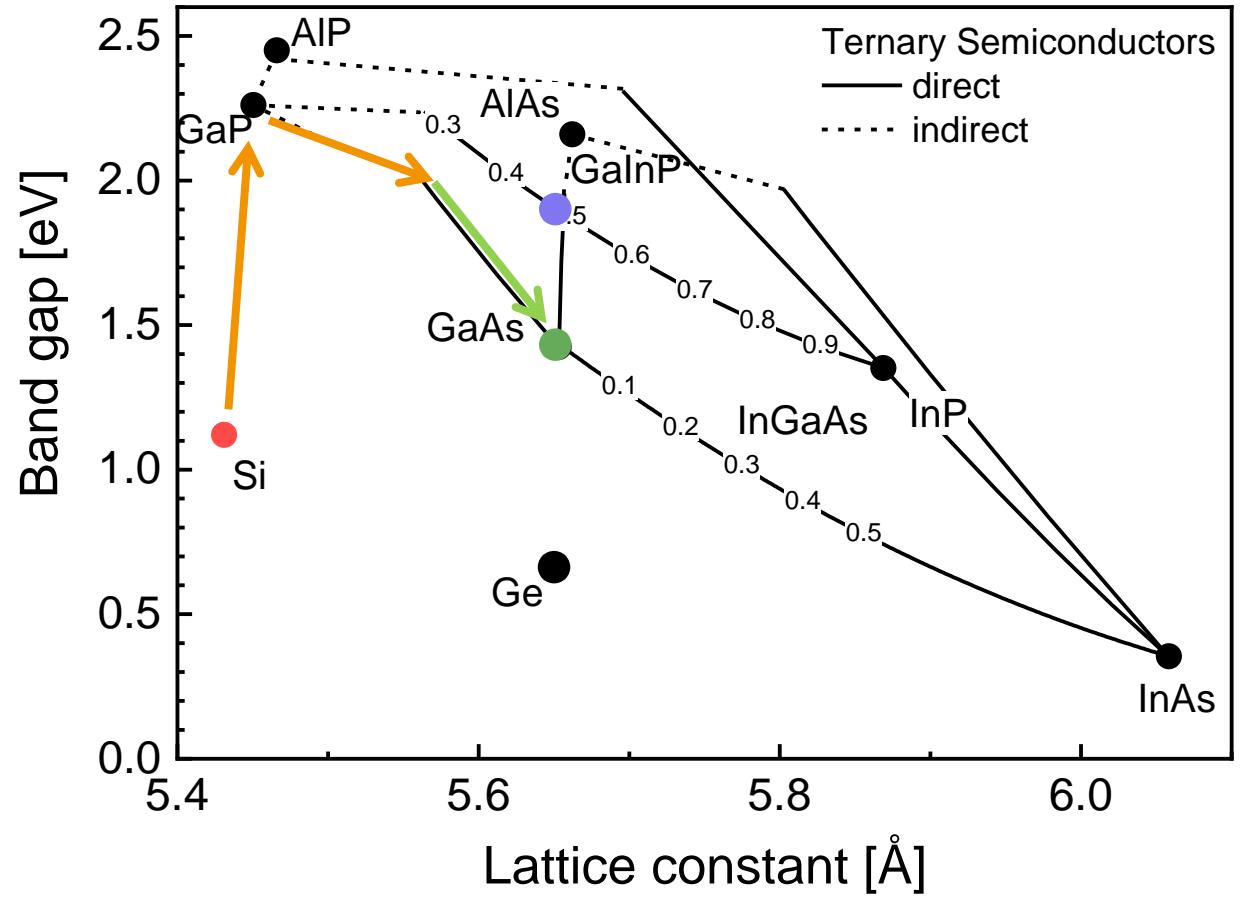
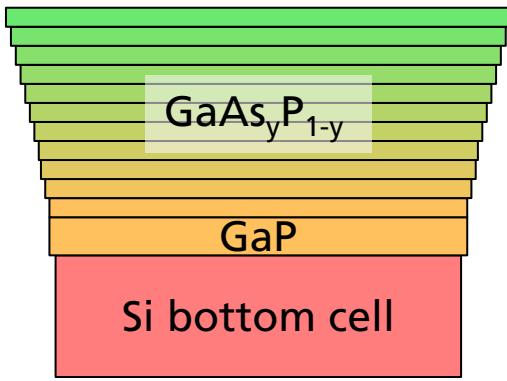
III-V on Si

MOVPE Approach



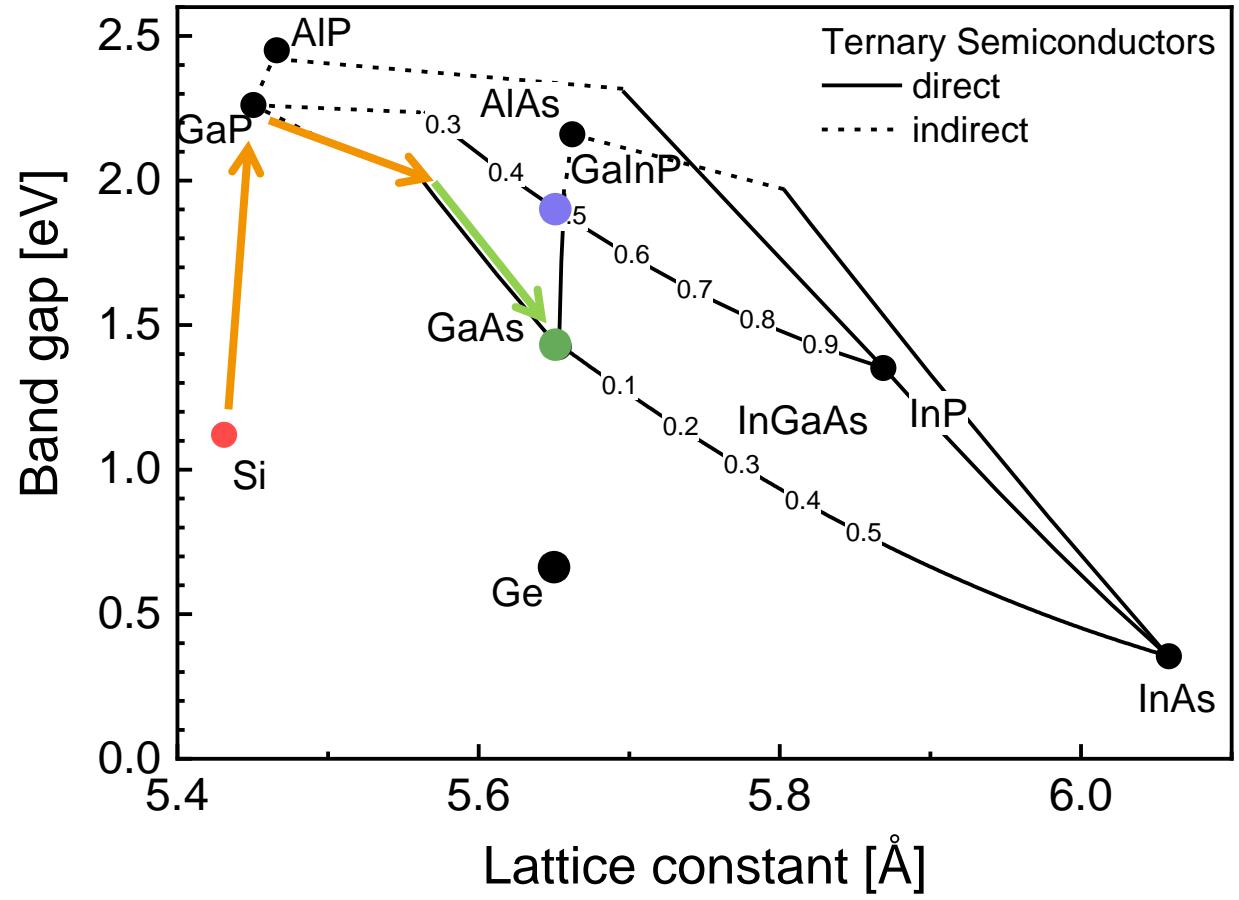
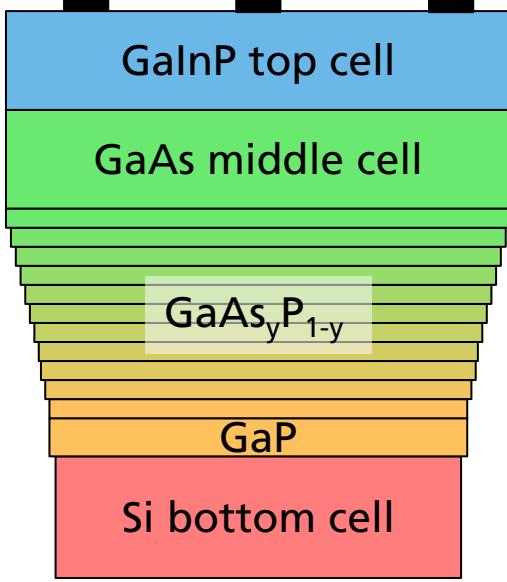
III-V on Si

MOVPE Approach



III-V on Si

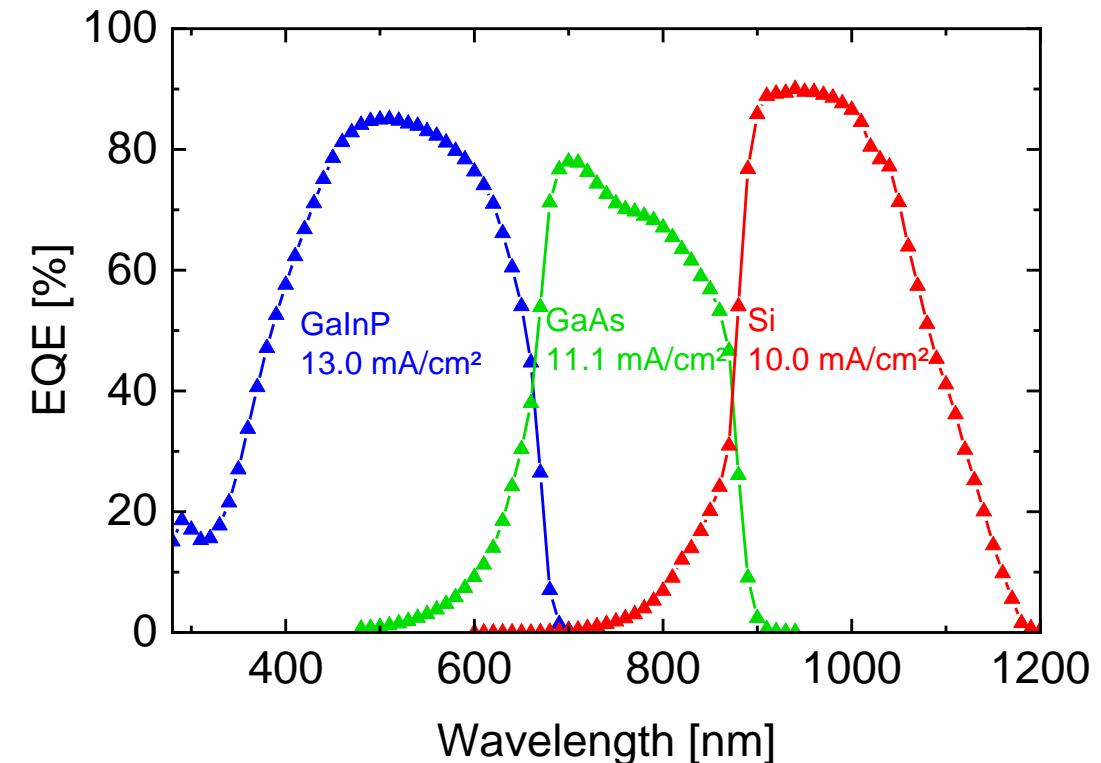
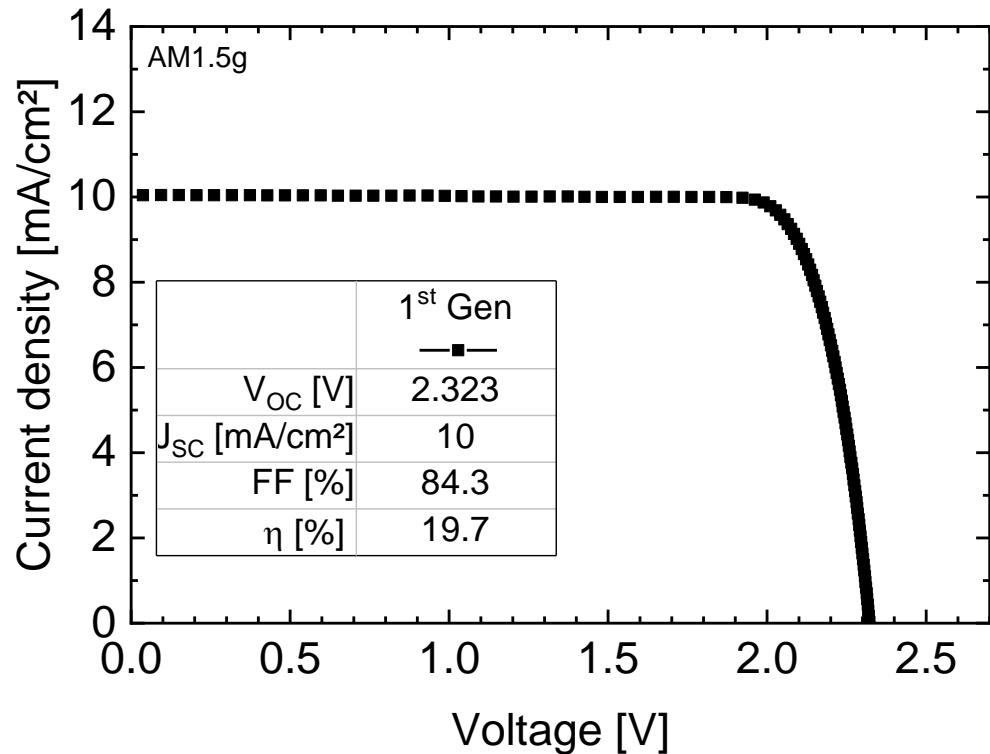
MOVPE Approach



1st Gen III-V on Si Solar Cell (2017)

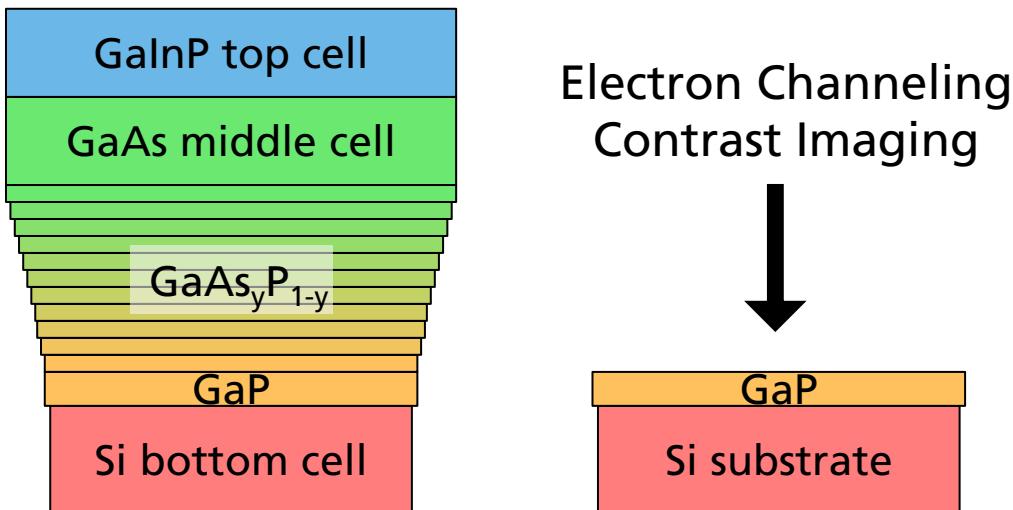
Characterisation

- Crystal defects limit voltage and quantum efficiency (TDD : $1.4 \times 10^8 \text{ cm}^{-2}$)



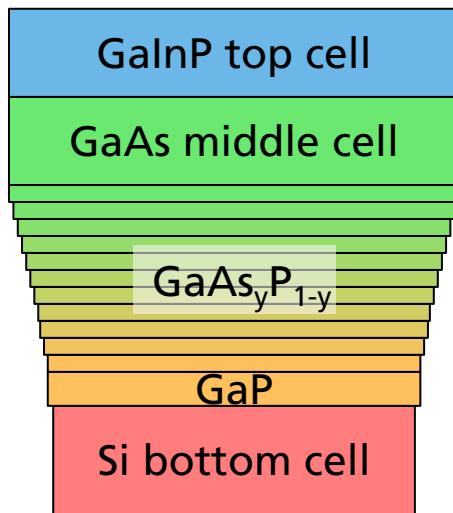
1st Gen III-V on Si Solar Cell Defect Characterisation

- Crystal defects limit voltage and quantum efficiency (TDD : $1.4 \times 10^8 \text{ cm}^{-2}$)
- GaP nucleation on Si
 - Stacking fault pyramids $6 \times 10^7 \text{ cm}^{-2}$



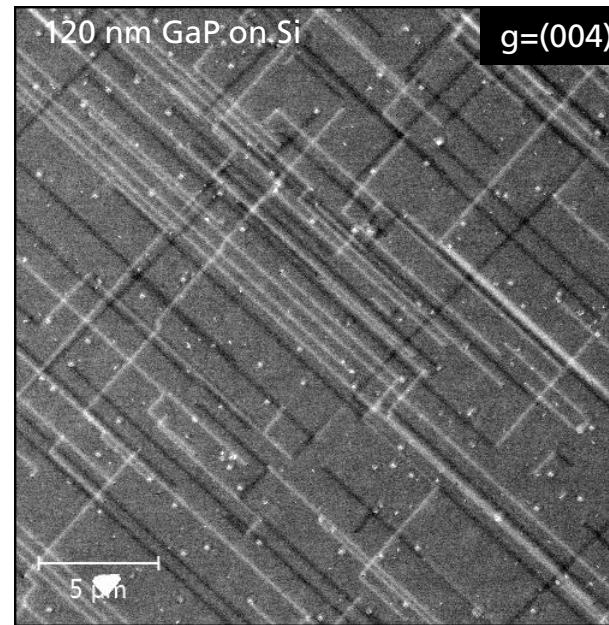
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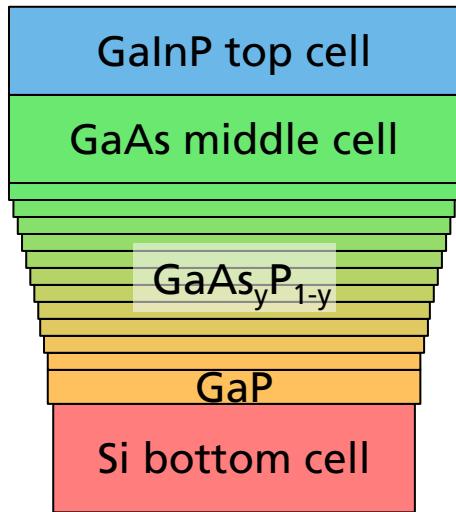
Electron Channeling
Contrast Imaging

An arrow points from the sample preparation diagram to the ECCI image. Below the arrow, the layers are shown again: GaP (orange) and Si substrate (red).



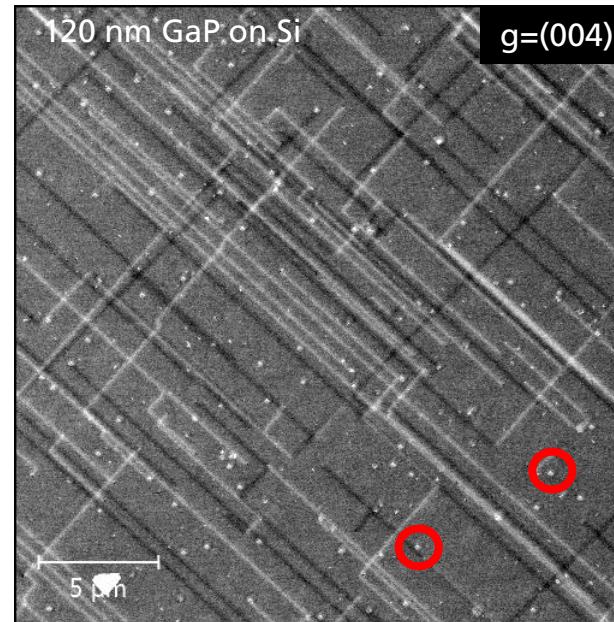
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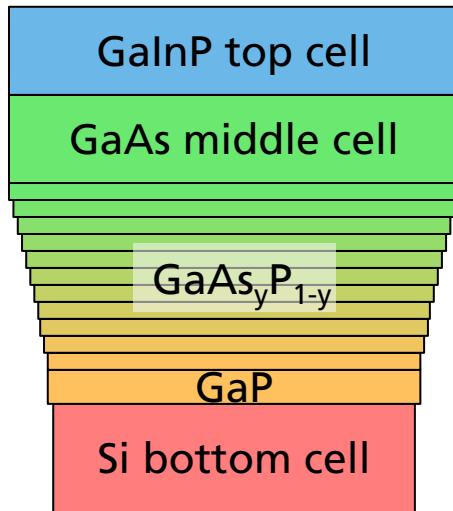
Electron Channeling
Contrast Imaging

An arrow points from the sample structure to a simplified diagram of the ECCI setup. It shows a GaP layer on a Si substrate, with an electron beam incident on the surface. The text "Electron Channeling Contrast Imaging" is written above the arrow.



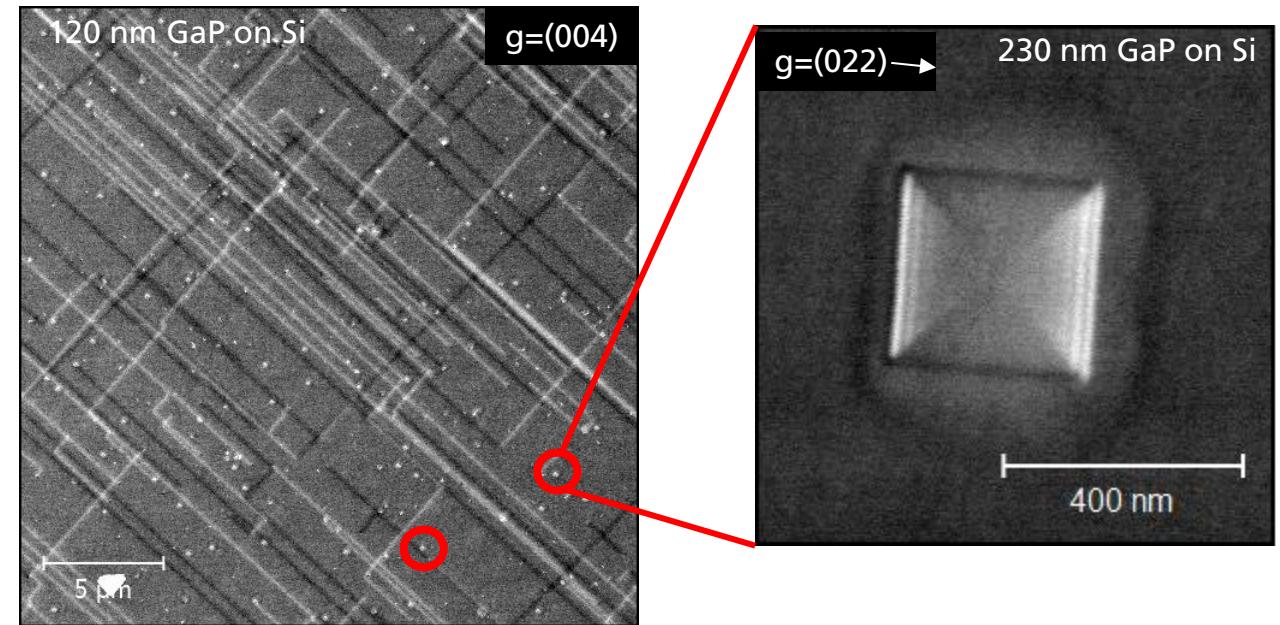
1st Gen III-V on Si Solar Cell Defect Characterisation

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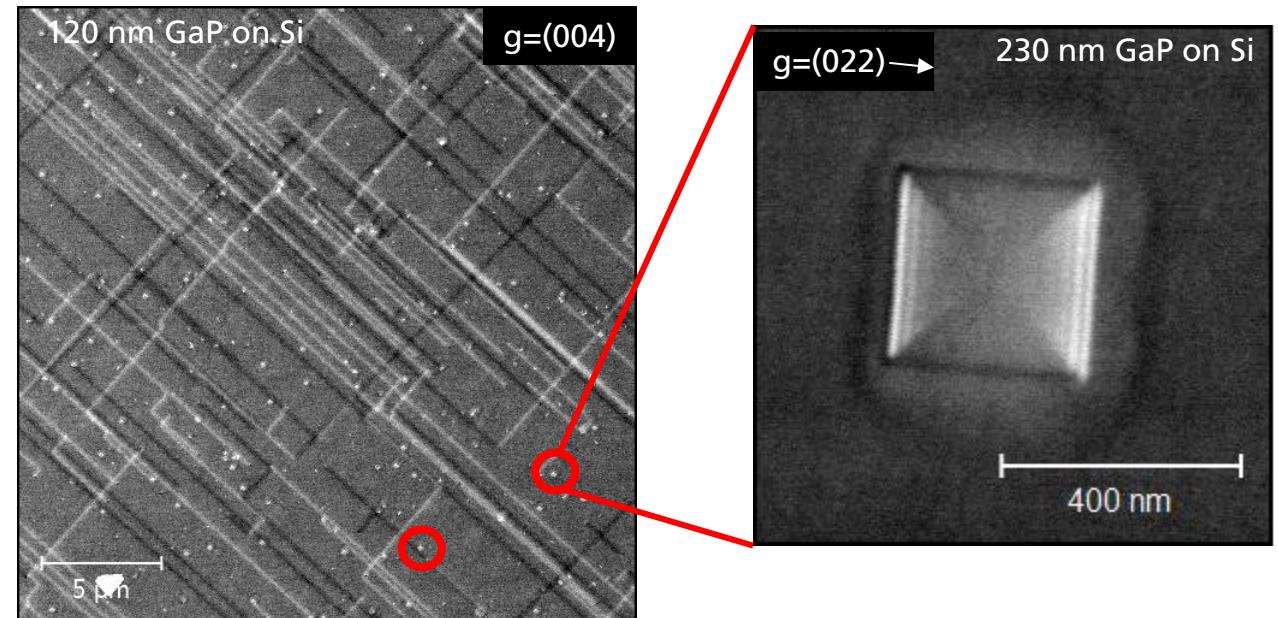
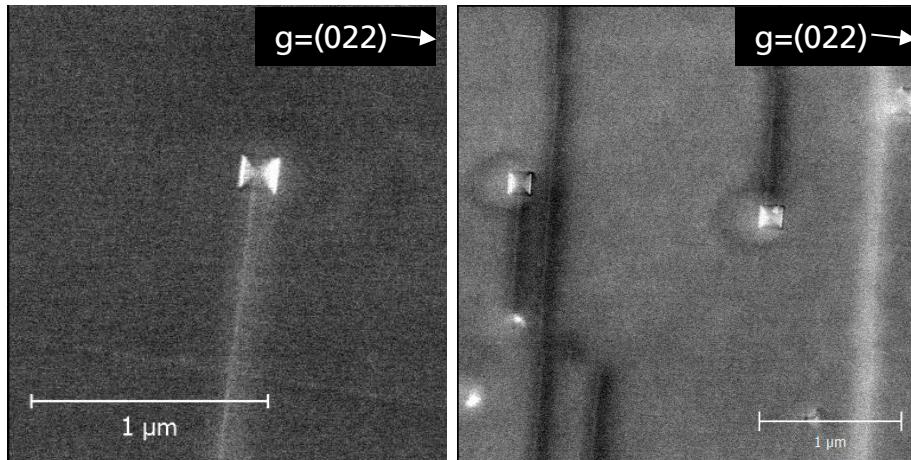
Electron Channeling
Contrast Imaging

An ECCI diagram showing the GaP layer on the Si substrate. The diagram consists of two stacked rectangles: an orange 'GaP' rectangle on top of a red 'Si substrate' rectangle. A large black arrow points downwards from the top of the diagram towards this stack.



1st Gen III-V on Si Solar Cell Defect Characterisation

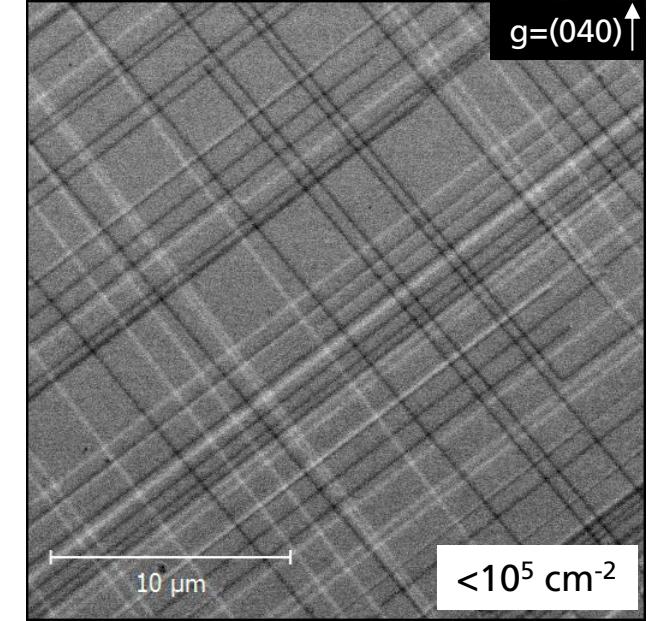
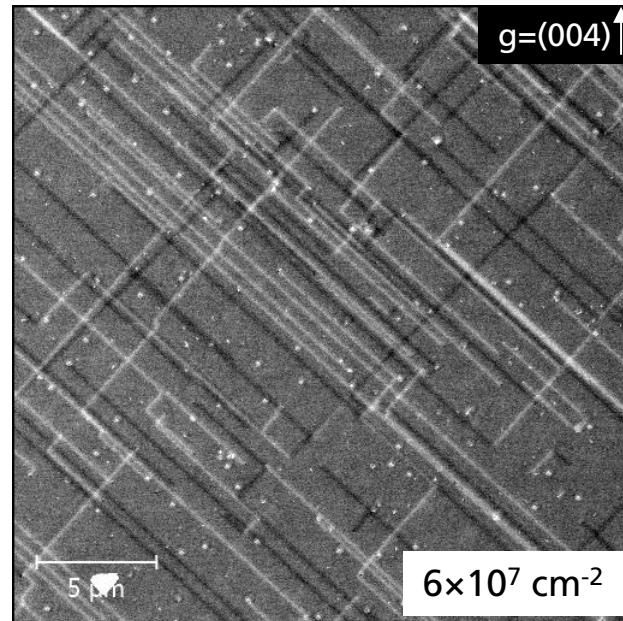
- Crystal defects limit voltage and quantum efficiency (TDD : $1.4 \times 10^8 \text{ cm}^{-2}$)
- GaP nucleation on Si
 - Stacking fault pyramids $6 \times 10^7 \text{ cm}^{-2}$
 - Limited glide of dislocations



1st Gen III-V on Si Solar Cell Reduction of Defect Density

- Crystal defects limit voltage and quantum efficiency (TDD : $1.4 \times 10^8 \text{ cm}^{-2}$)
- GaP nucleation on Si
 - Stacking fault pyramids $6 \times 10^7 \text{ cm}^{-2}$
 - Limited glide of dislocations

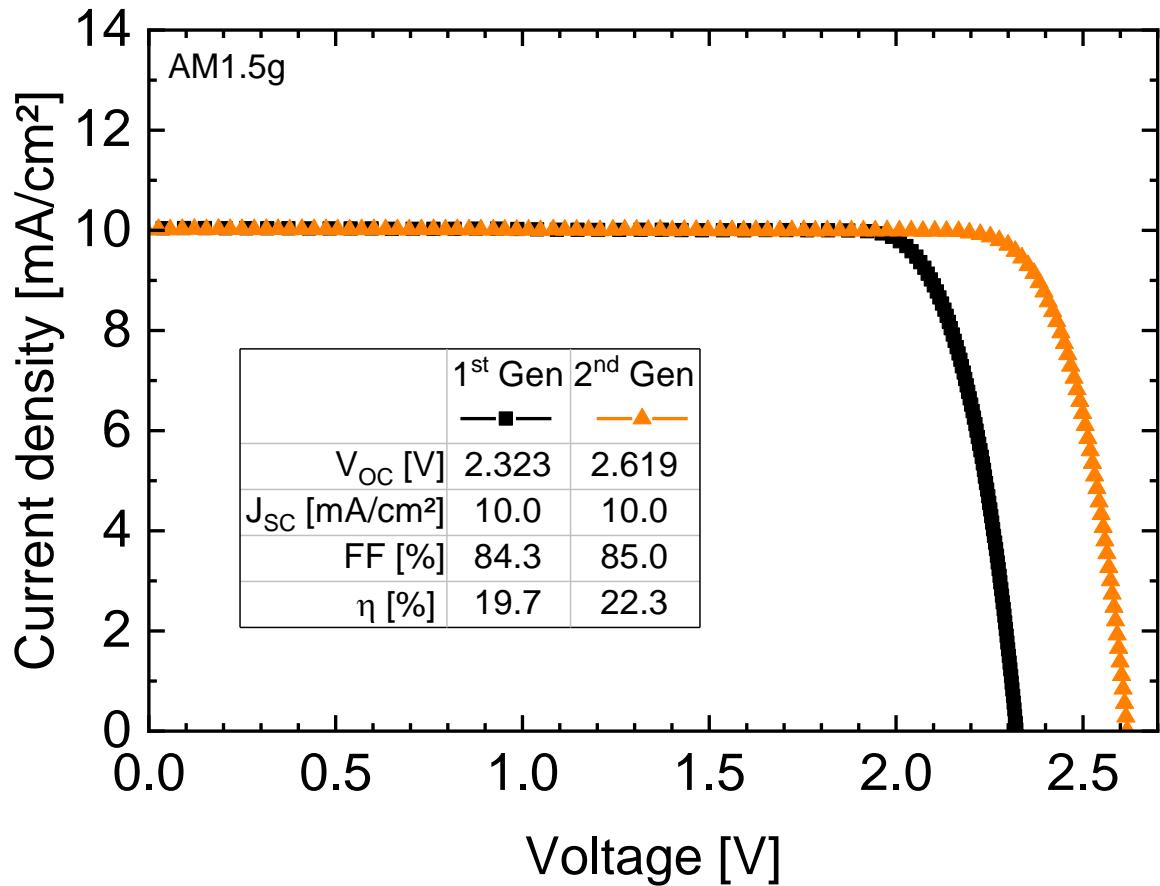
Optimization of GaP nucleation



2nd Gen III-V on Si Solar Cell (2019)

IV-Characteristics

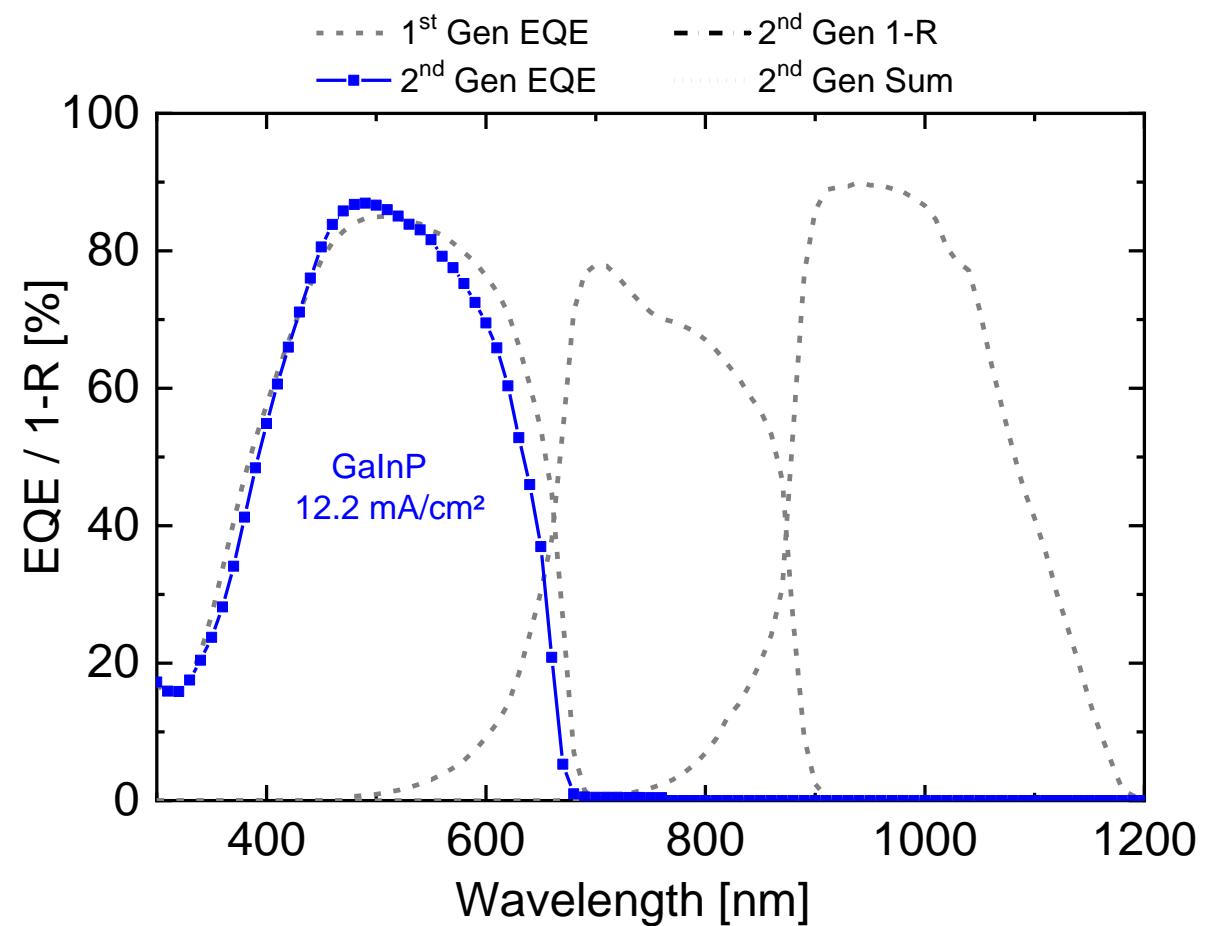
- IV:
 - Increase in V_{OC} by +296 mV
 - No change in J_{SC}



2nd Gen III-V on Si Solar Cell

EQE

- IV:
 - Increase in V_{OC} by +296 mV
 - No change in J_{SC}
- EQE:
 - GaInP bandgap adjusted

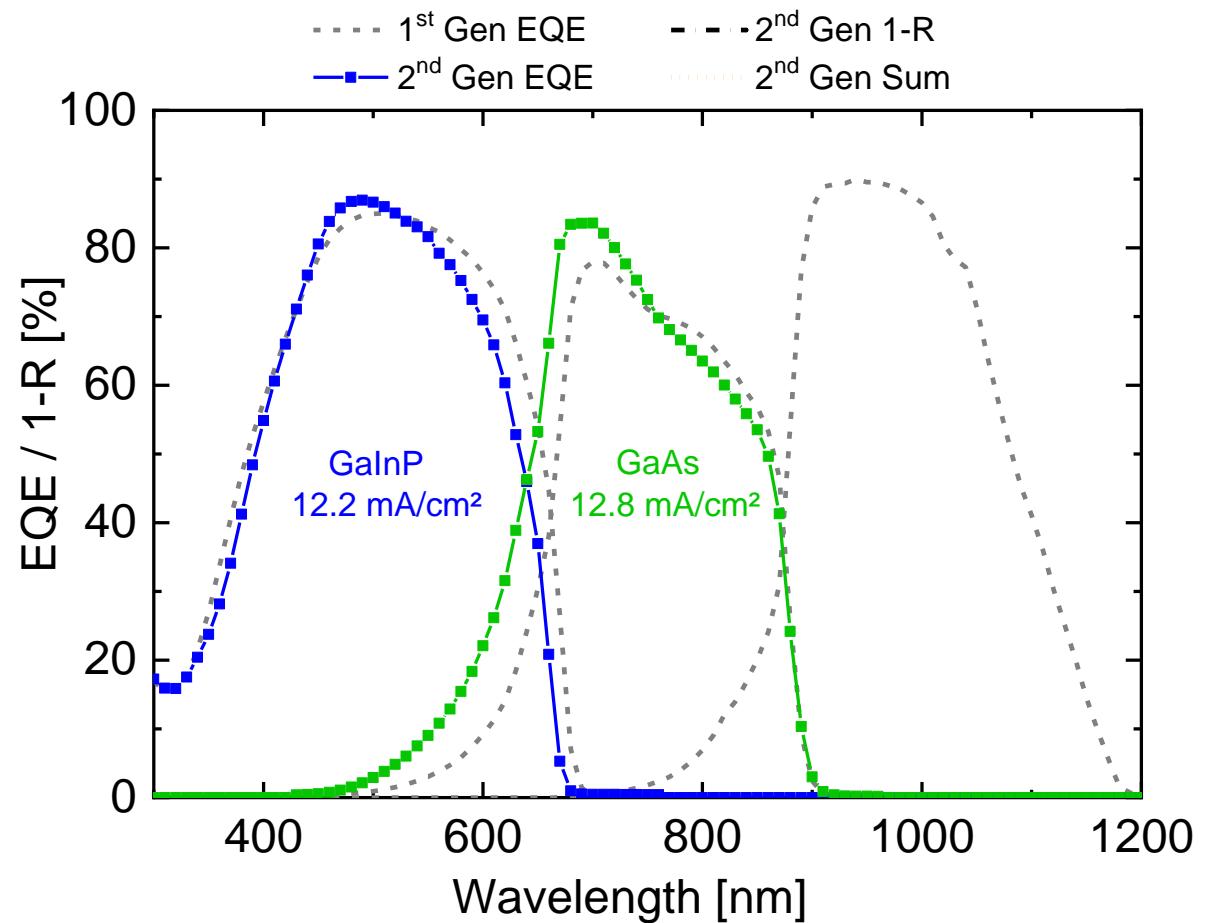


2nd Gen III-V on Si Solar Cell

EQE

- IV:
 - Increase in V_{OC} by +296 mV
 - No change in J_{SC}

- EQE:
 - GaInP bandgap adjusted
 - Thinner GaAs cell (760 nm vs 1200 nm) with higher EQE

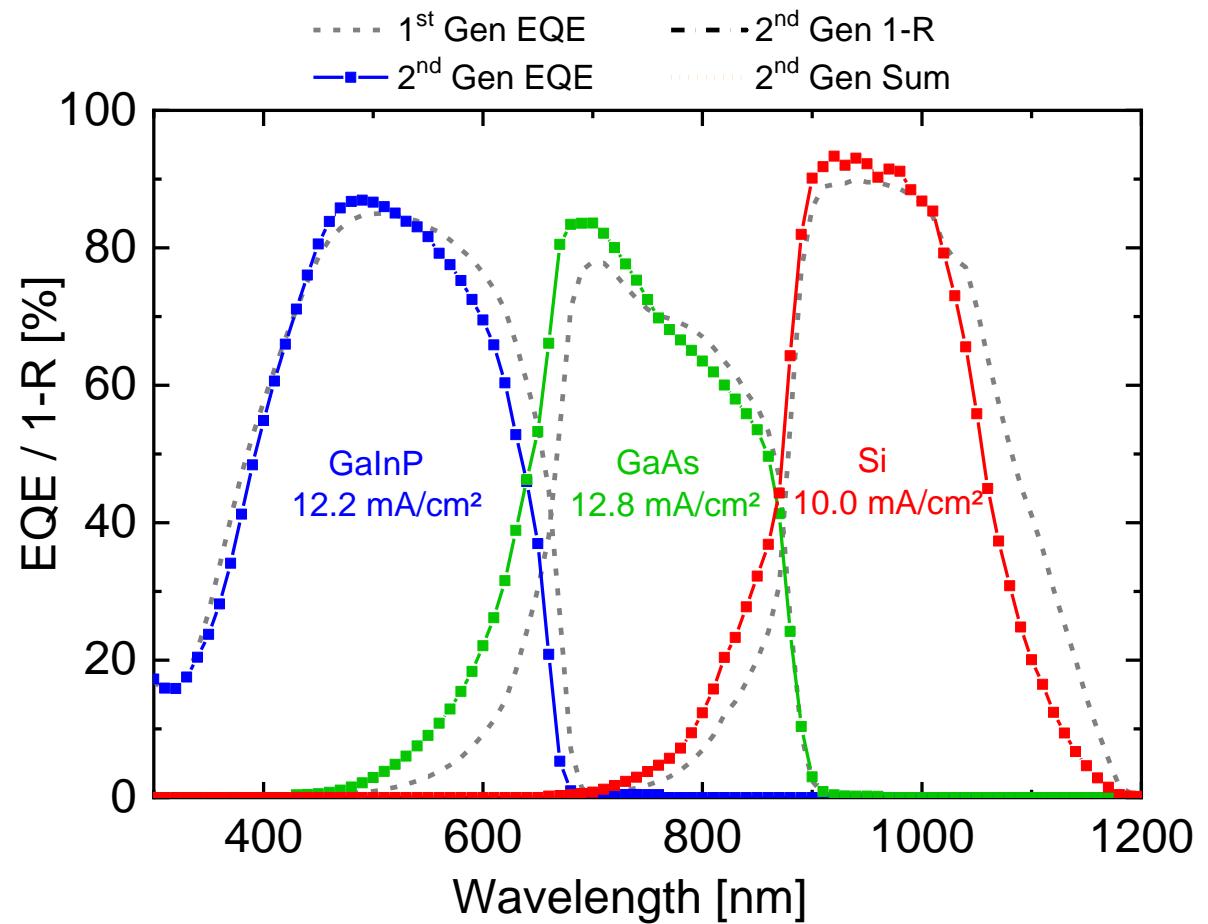


2nd Gen III-V on Si Solar Cell

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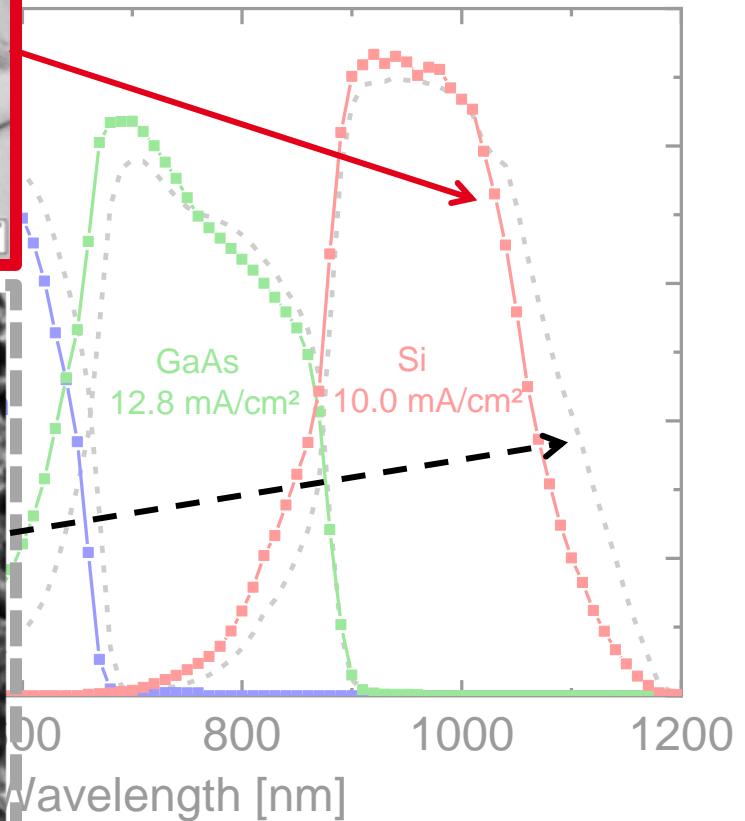
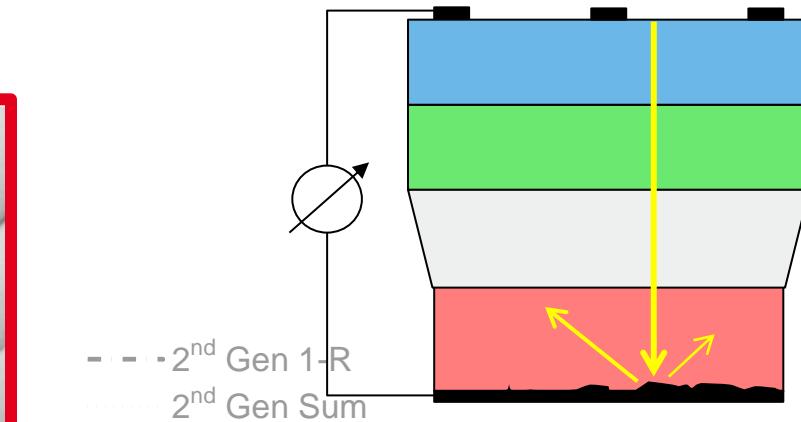
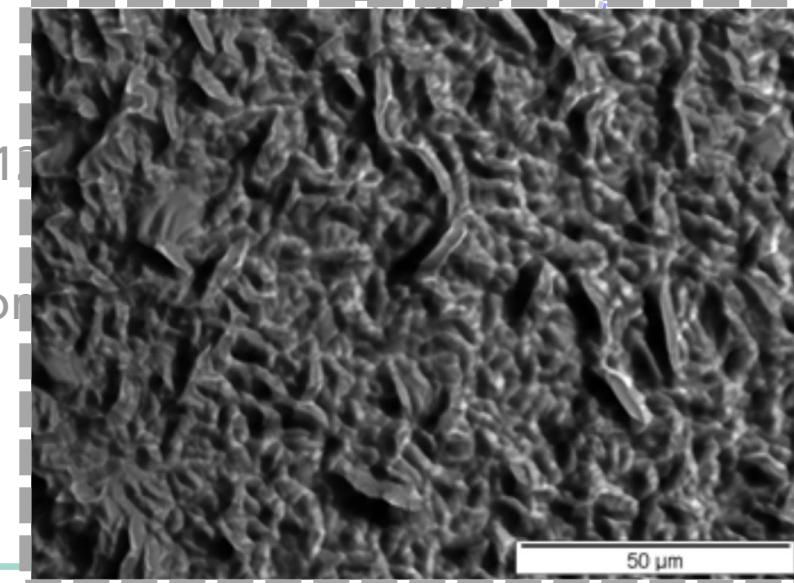
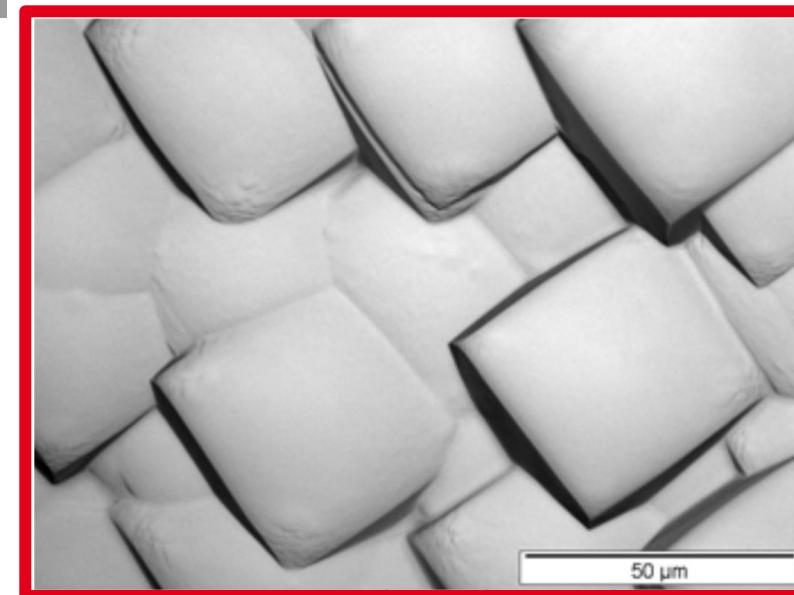
- EQE:
 - GaInP bandgap adjusted
 - Thinner GaAs cell (760 nm vs 1200 nm) with higher EQE
 - Poor light trapping in Si bottom cell



2nd Gen III-V on Si Solar Cell EQE

- IV:
 - Increase in V_{OC} by +296 mV
 - No change in J_{SC}
- EQE:
 - GaInP bandgap adjusted
 - Thinner GaAs cell (760 nm vs 1 µm) with higher EQE
 - Poor light trapping in Si bottom

Si back side texture

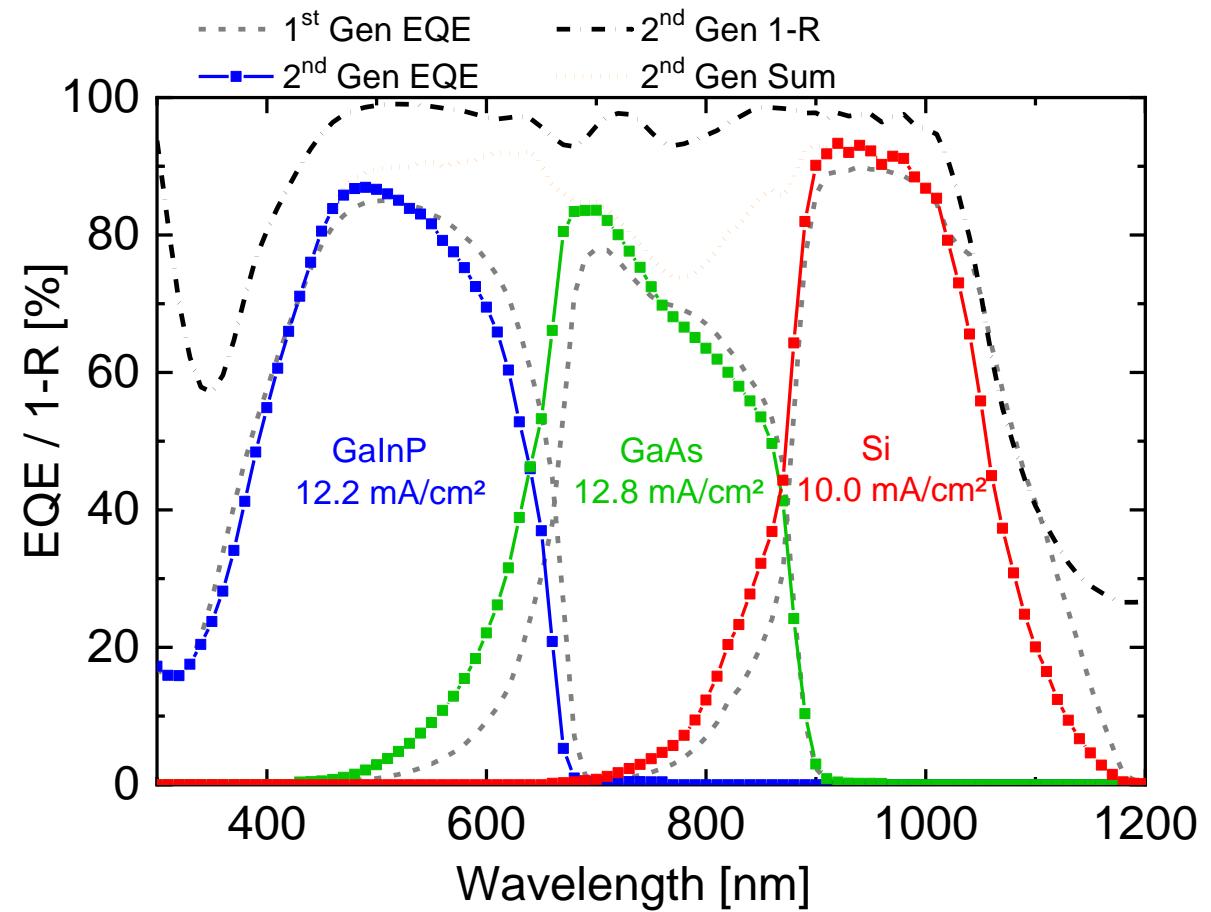


2nd Gen III-V on Si Solar Cell

EQE

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 - Increase in V_{OC} by +296 mV
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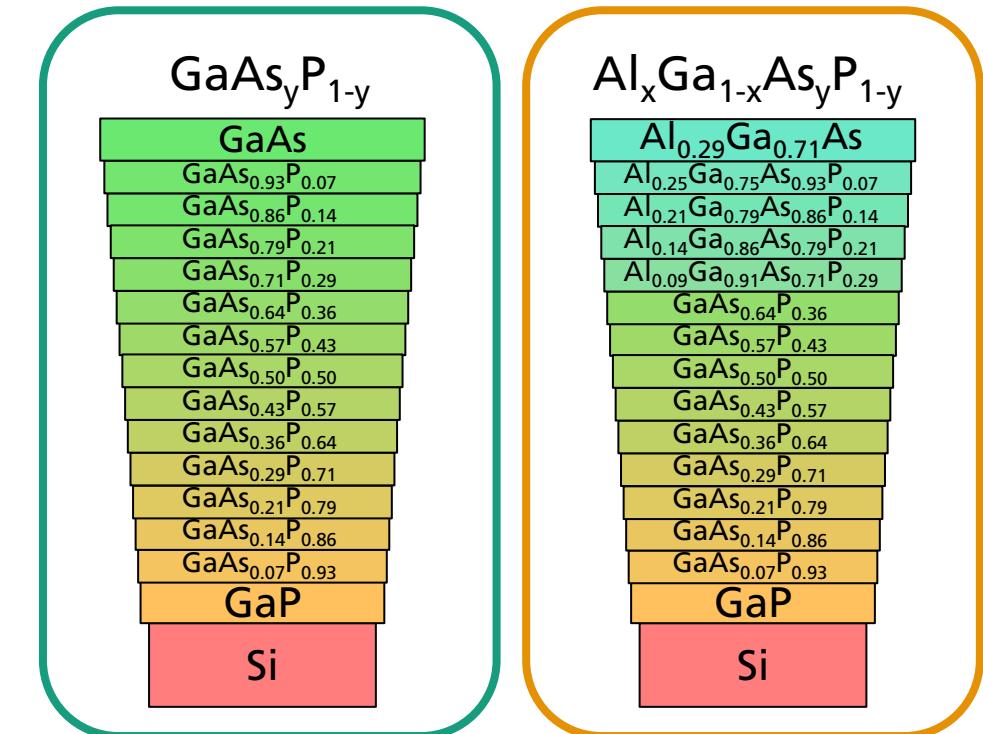
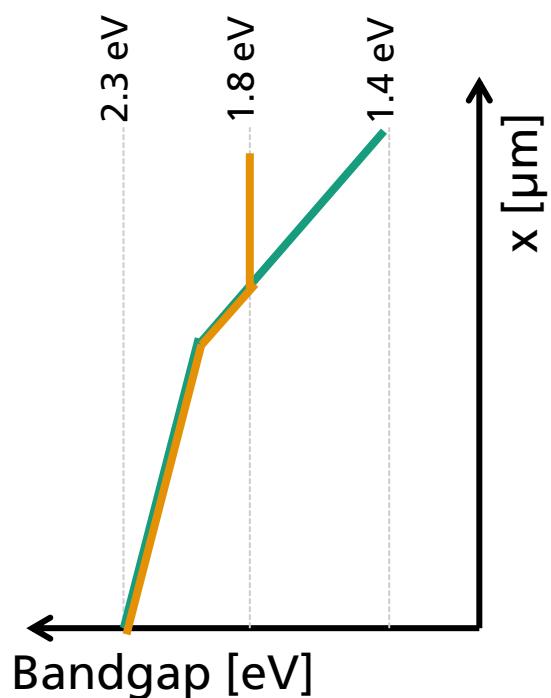
- EQE:
 - GaInP bandgap adjusted
 - Thinner GaAs cell (760 nm vs 1200 nm) with higher EQE
 - Poor light trapping in Si bottom cell
 - Strong parasitic absorption in GaAsP buffer for $700\text{nm} < \lambda < 900\text{ nm}$



2nd Gen III-V on Si Solar Cell

Parasitic Absorption

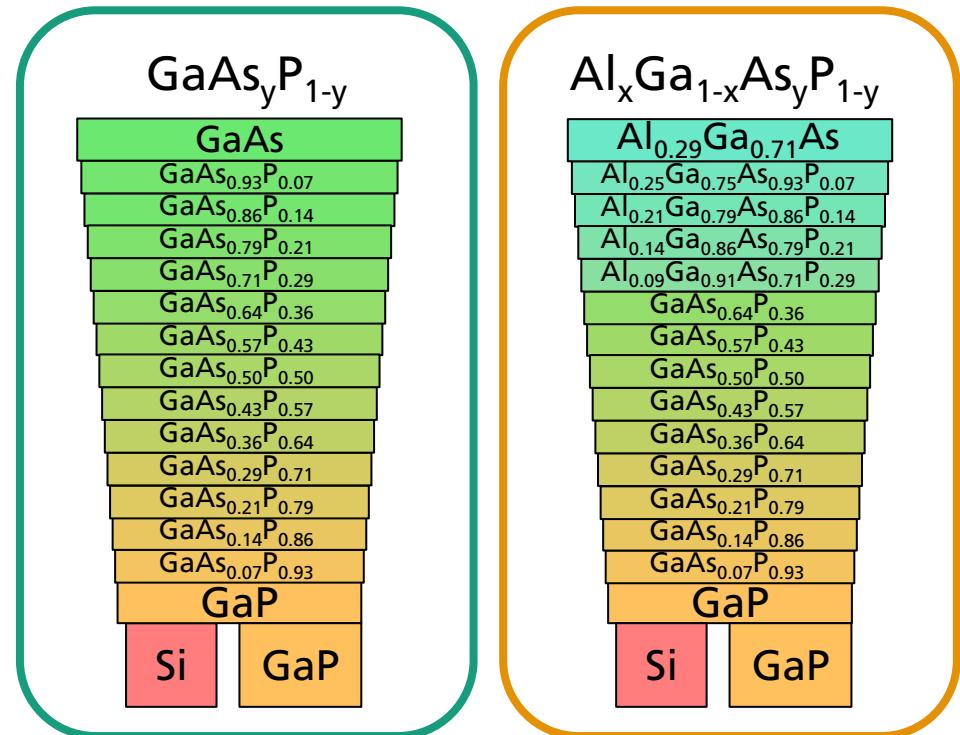
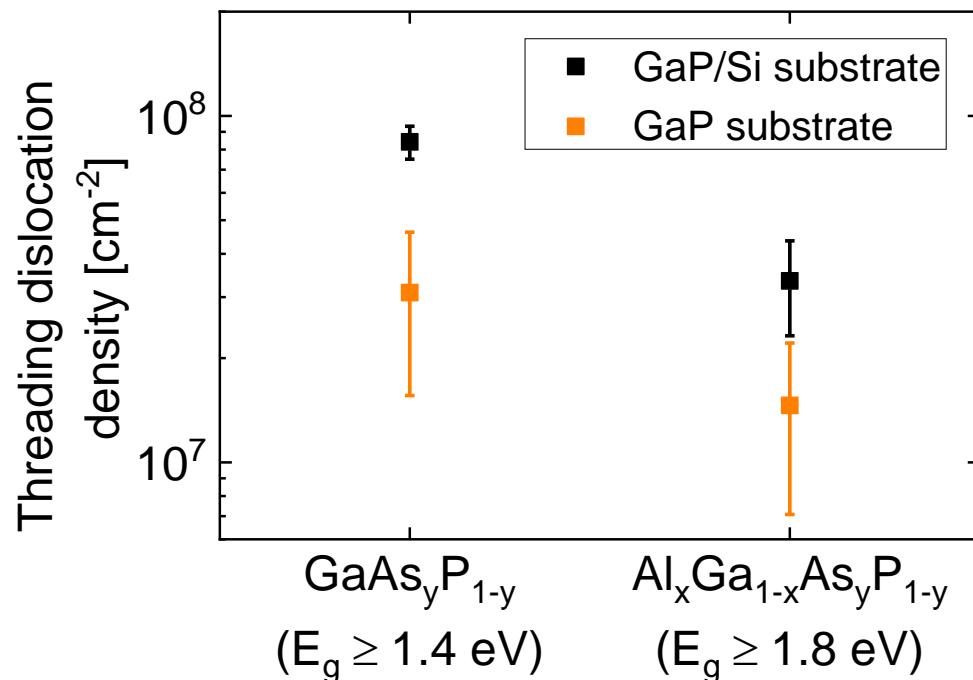
- Increase bandgap of buffer layers to $E_g \geq 1.8 \text{ eV} \equiv 690 \text{ nm}$
- $\text{Al}_{0.29}\text{Ga}_{0.71}\text{As}$ as last buffer layer



2nd Gen III-V on Si Solar Cell

Parasitic Absorption

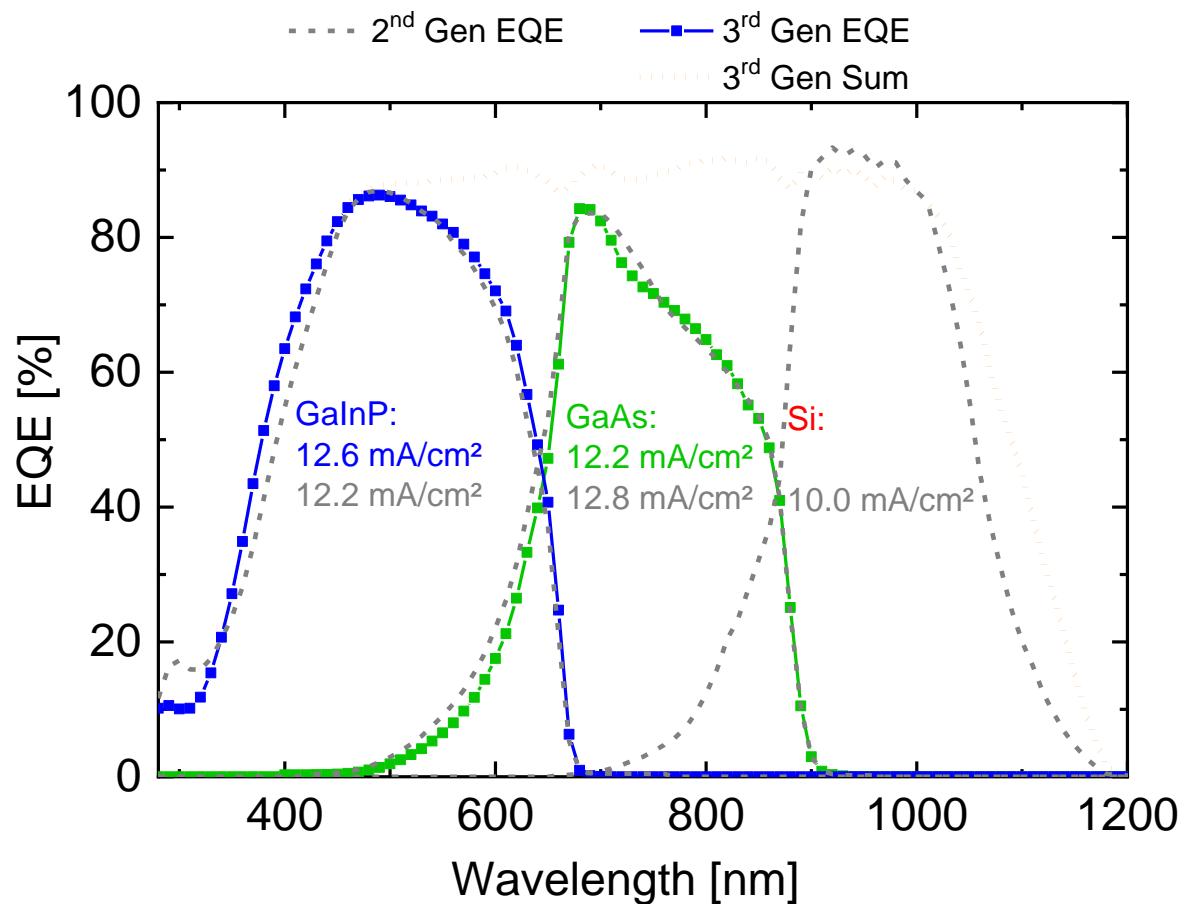
- Increase bandgap of buffer layers to $E_g \geq 1.8 \text{ eV} \equiv 690 \text{ nm}$
- $\text{Al}_{0.29}\text{Ga}_{0.71}\text{As}$ as last buffer layer
- Decreased threading dislocation density



3rd Gen III-V on Si Solar Cell (2019)

EQE

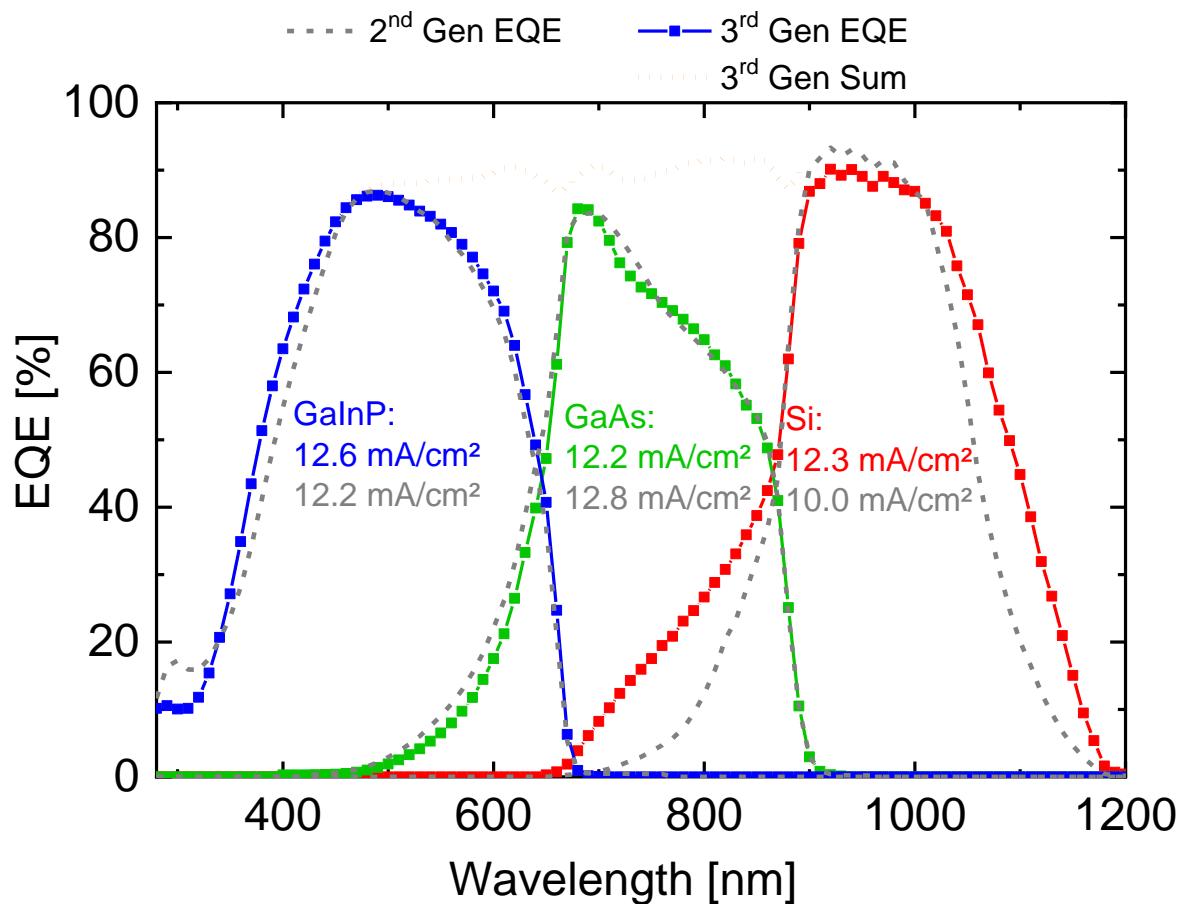
- EQE:
 - No significant change for GaInP and GaAs subcells



3rd Gen III-V on Si Solar Cell

EQE

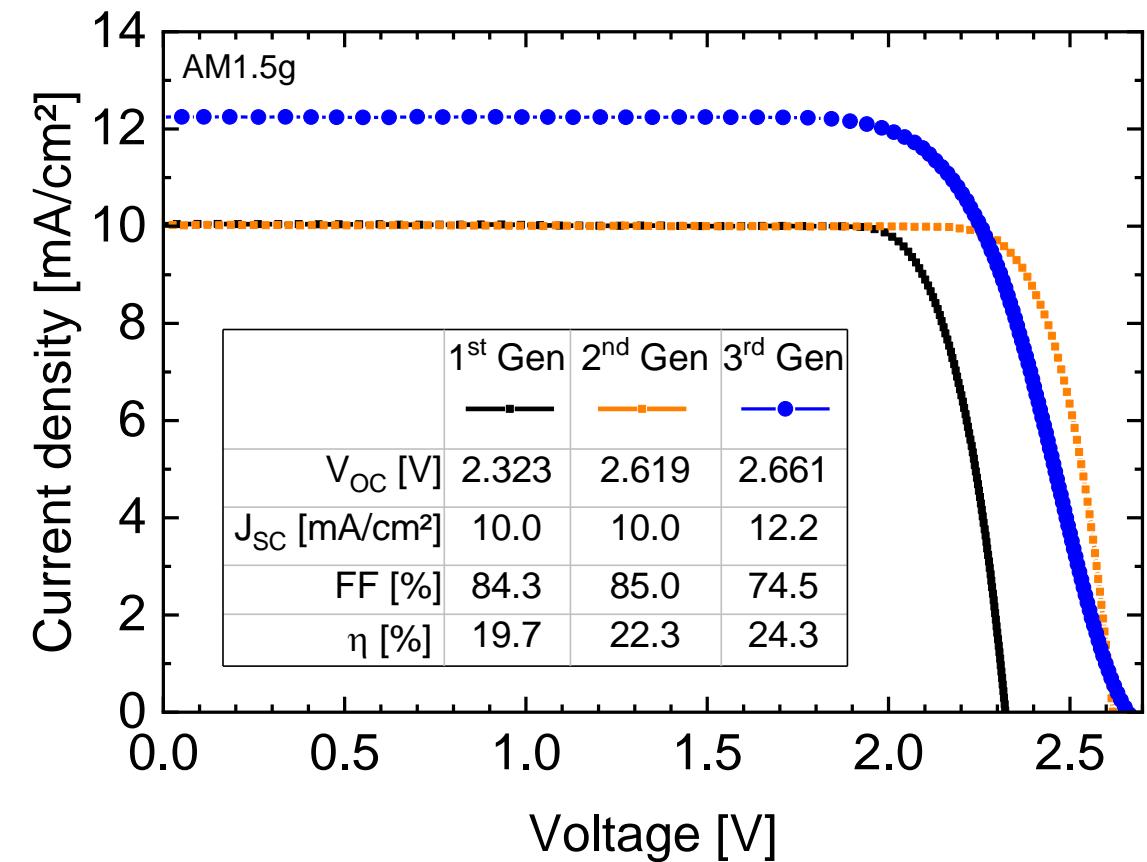
- EQE:
 - No significant change for GaInP and GaAs subcells
 - Improved/recovered light trapping
 - No significant parasitic absorption
 - +2.3 mA/cm² increase for Si subcell



3rd Gen III-V on Si Solar Cell

IV-Characteristics

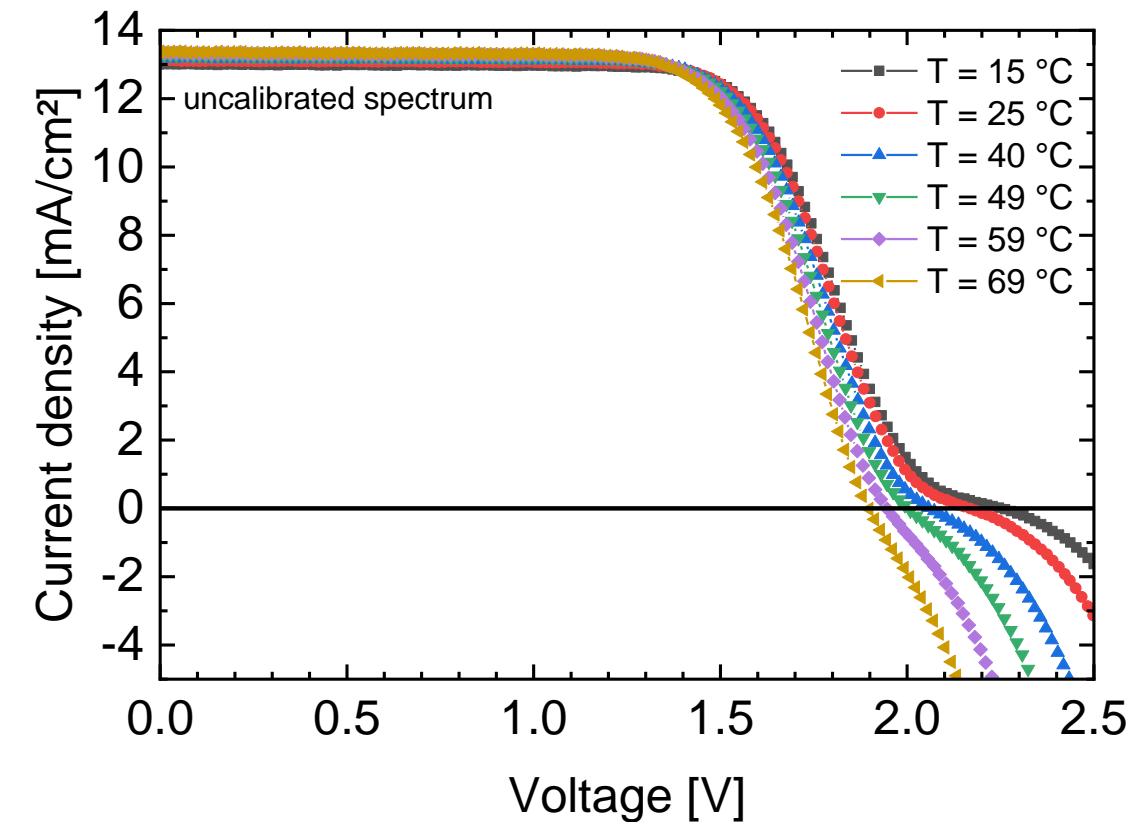
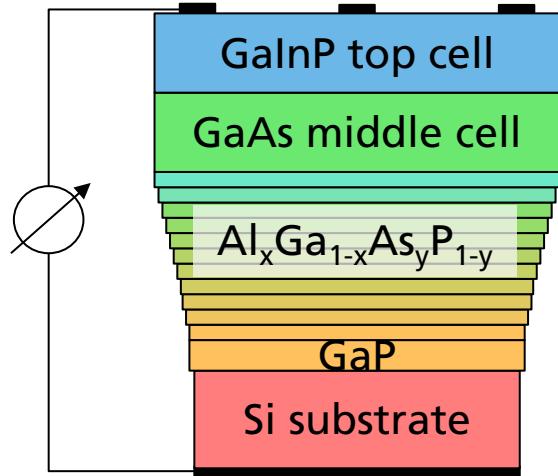
- EQE:
 - No significant change for GaInP and GaAs subcells
 - Improved/recovered light trapping
 - No significant parasitic absorption
 - +2.3 mA/cm² increase for Si subcell
- IV:
 - Lower TDD leads to increased V_{OC}
 - +2.2 mA/cm² gain in current
 - 24.3 % efficiency under AM1.5g (4 cm²)
 - Close to V_{OC} carrier transport issue occurs



3rd Gen III-V on Si Solar Cell

Temperature dependent IV

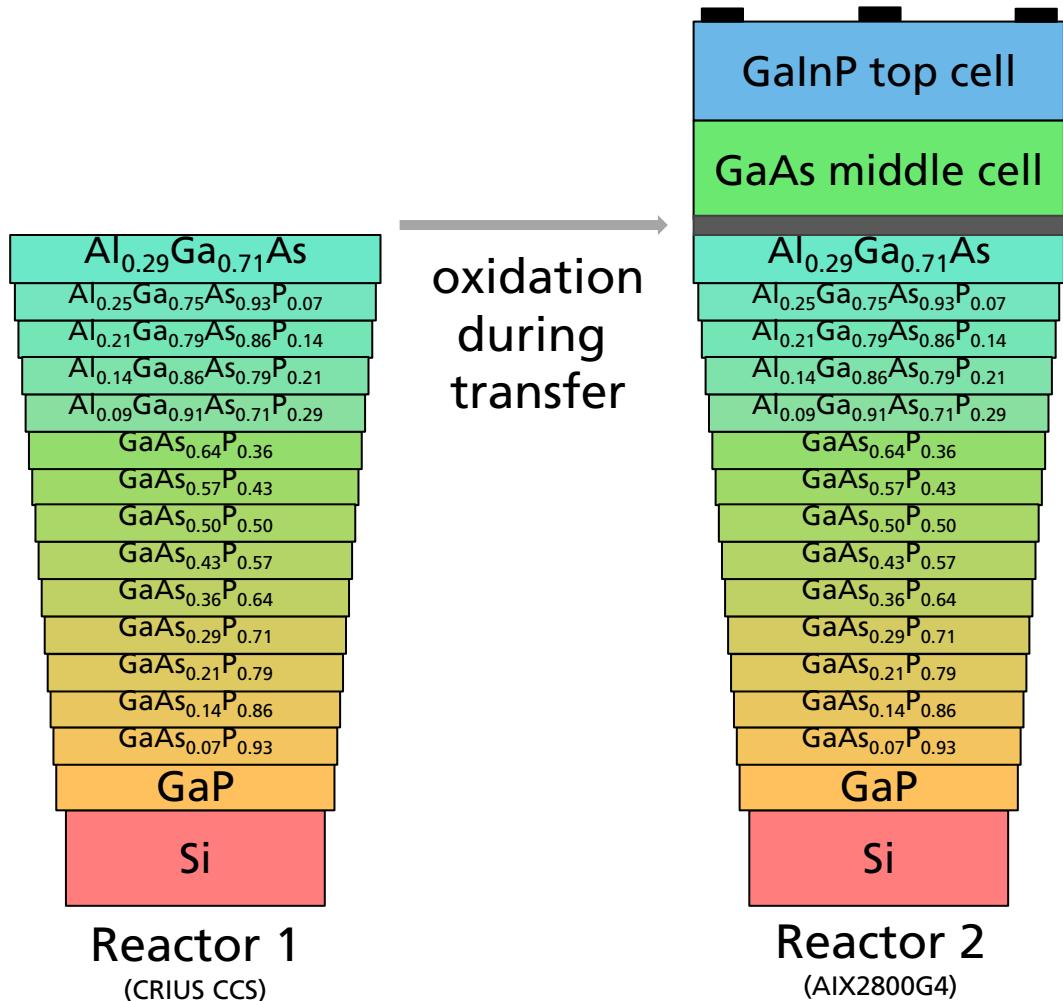
- S-shape in IV-characteristics
- Strong dependence on cell temperature
→ Majority barrier
- Barrier height¹⁾ 280 meV



3rd Gen III-V on Si Solar Cell

Temperature dependent IV

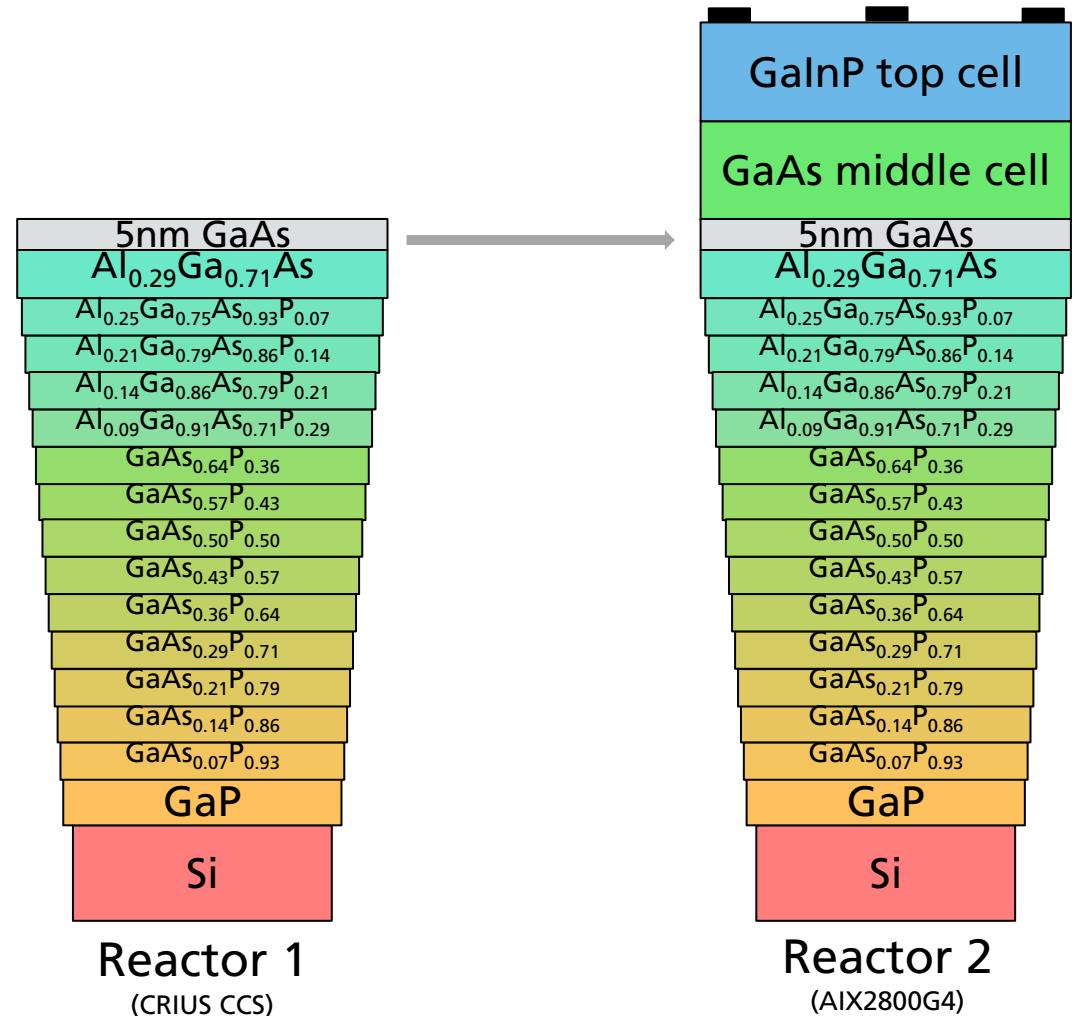
- S-shape in IV-characteristics
- Strong dependence on cell temperature
→ Majority barrier
- Barrier height¹⁾ 280 meV
- 2-step MOVPE process
 - Oxidation of $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ layer during transfer causes barrier



3rd Gen III-V on Si Solar Cell

Temperature dependent IV

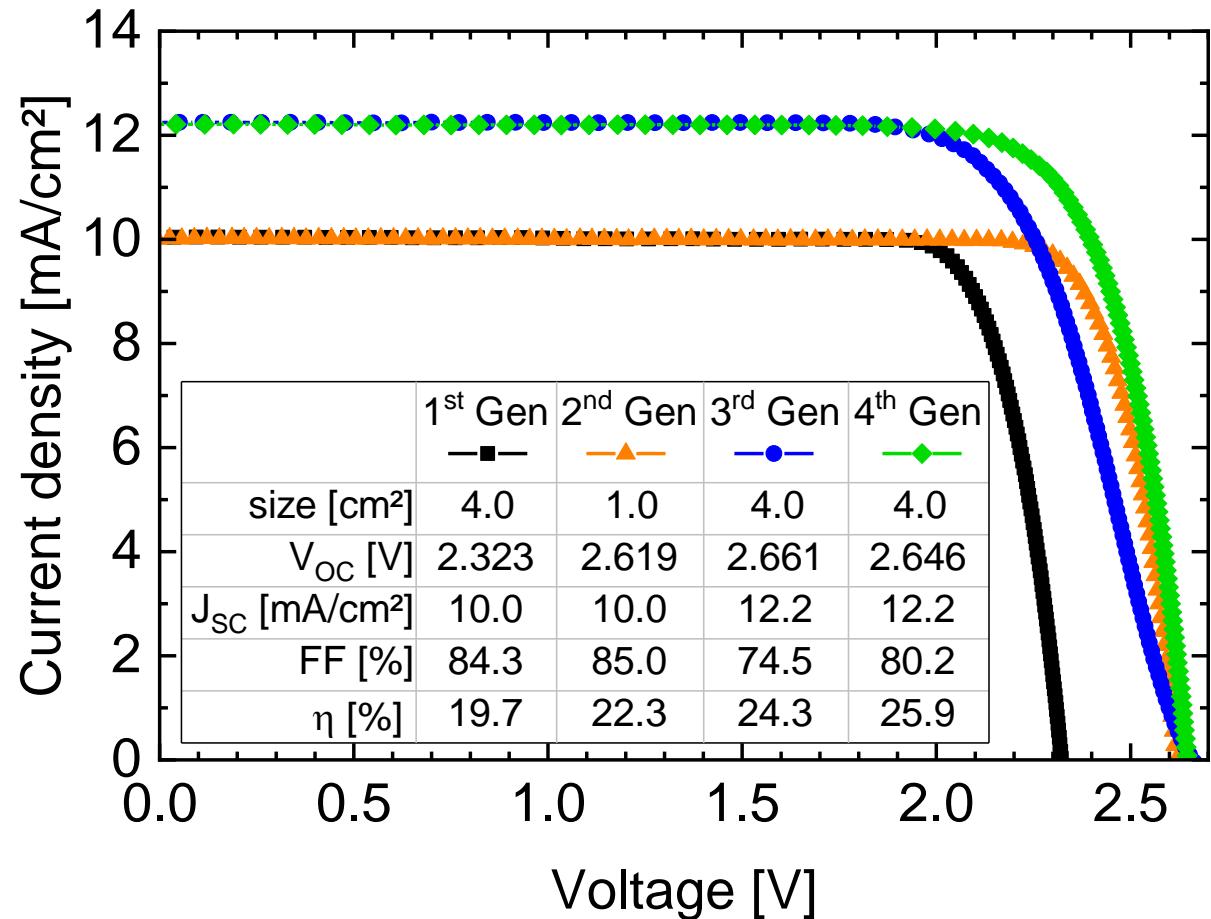
- S-shape in IV-characteristics
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→ Majority barrier
- Barrier height¹⁾ 280 meV
- 2-step MOVPE process
 - Oxidation of $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ layer during transfer causes barrier
 - 5 nm GaAs cap to suppress oxidation



4th Gen III-V on Si Solar Cell (2020)

IV-Characteristics

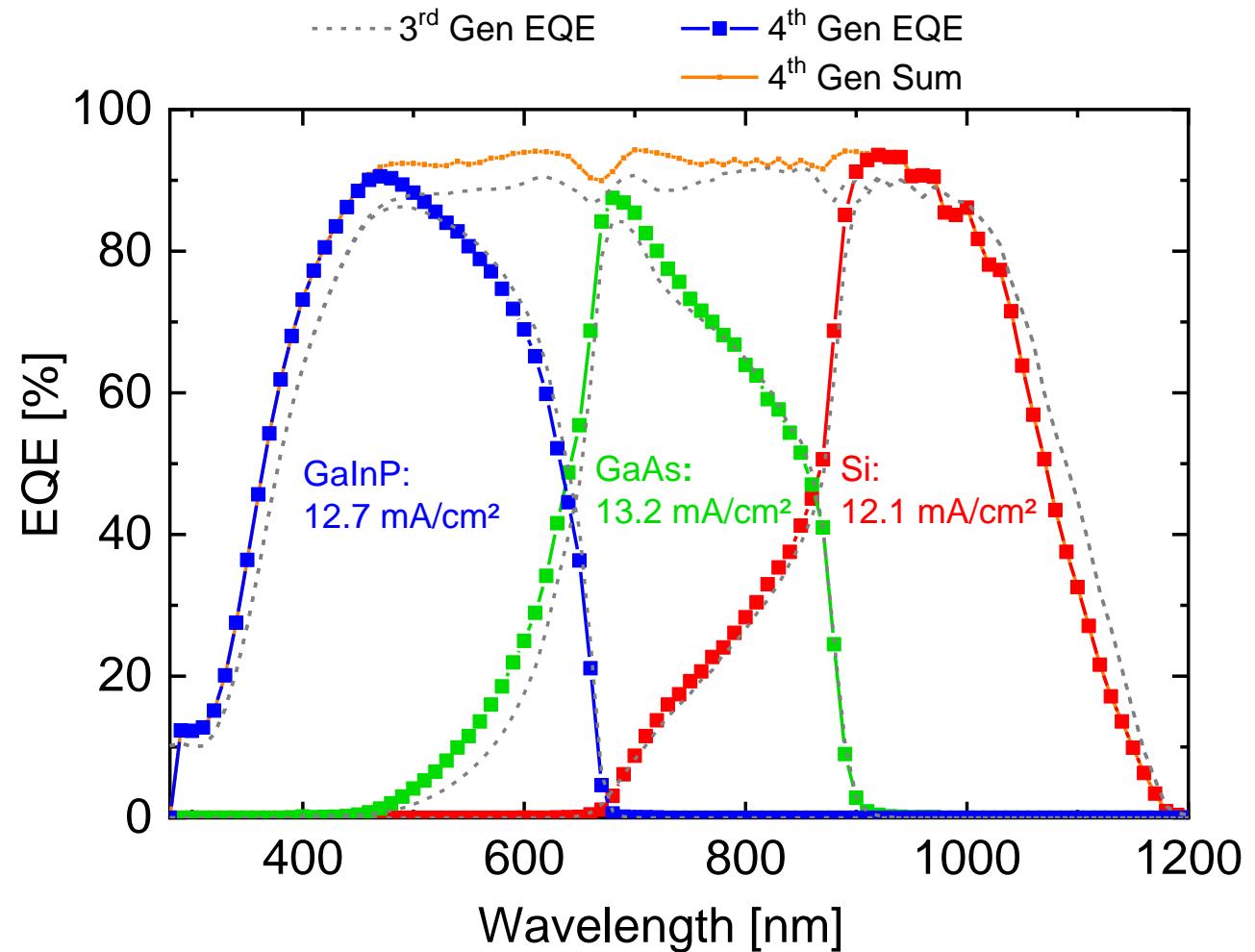
- IV:
 - No sign of majority barrier
 - Fill factor increased to 80.2 %
 - **Record efficiency: 25.9 % @ AM1.5g¹⁾**



4th Gen III-V on Si Solar Cell

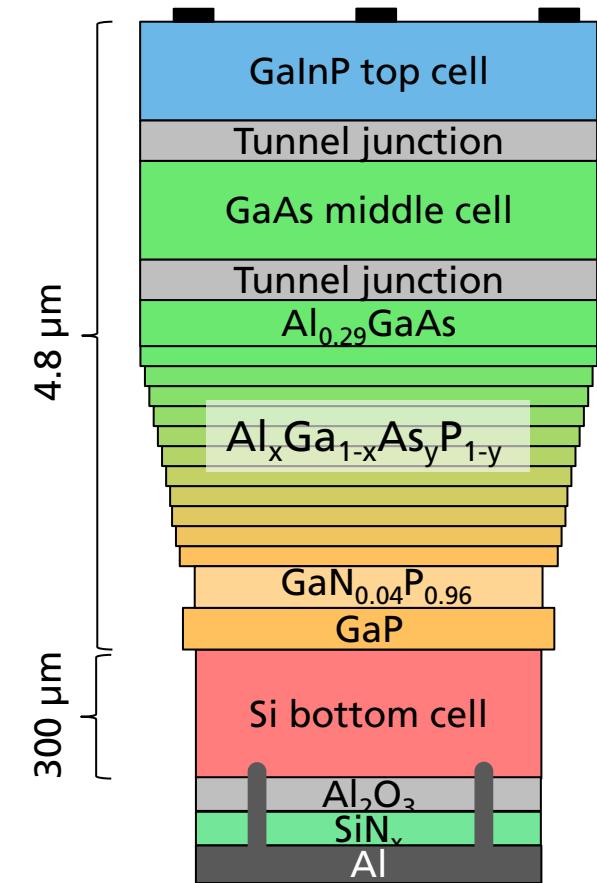
EQE

- IV:
 - No sign of majority barrier
 - Fill factor increased to 80.2 %
 - **Record efficiency: 25.9 % @ AM1.5g¹⁾**
- EQE:
 - Increased EQE for GaAs and GaInP cell (structural changes in cell structure)²⁾
 - Current matching to be adjusted in future device



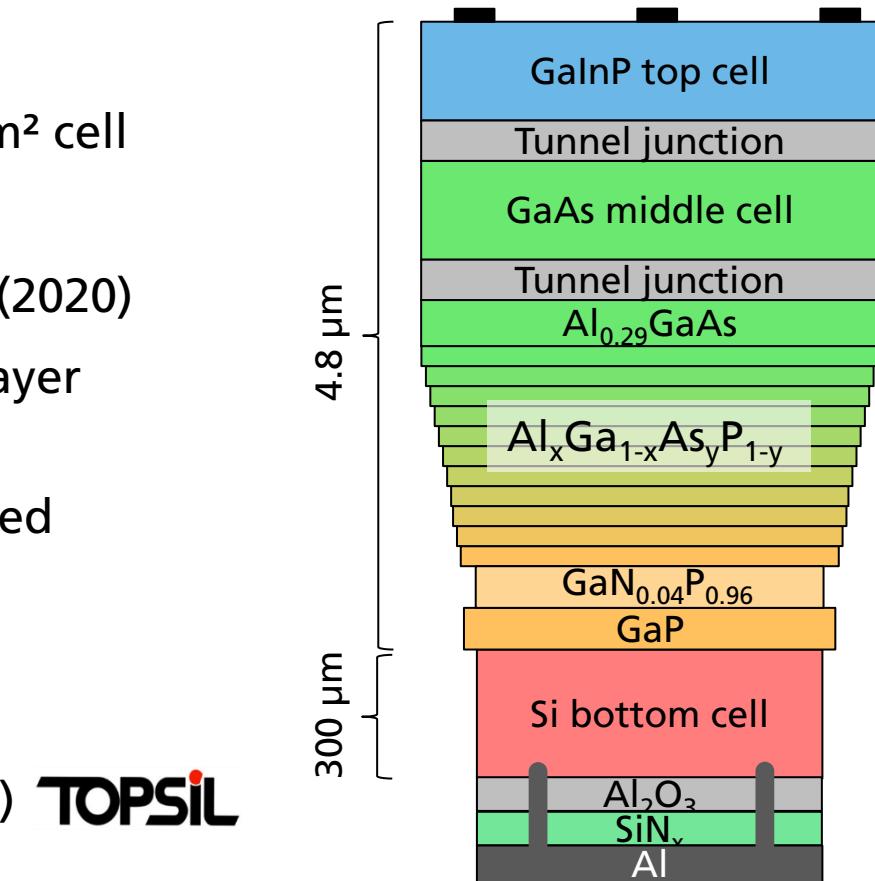
Summary

- MOVPE-growth of GaInP/GaAs/Si triple junction solar cells
- **Certified 25.9 % conversion efficiency @ AM1.5g for 4 cm² cell**
- Rapid efficiency improvement from 19.7 % (2017) to 25.9 % (2020)
 - Stacking fault (pyramid) density in GaP on Si nucleation layer reduced from 6×10^7 cm⁻² to $< 10^5$ cm⁻²
 - Parasitic absorption in GaAs_yP_{1-y} buffer structure suppressed by using Al_xGa_{1-x}As_yP_{1-y}

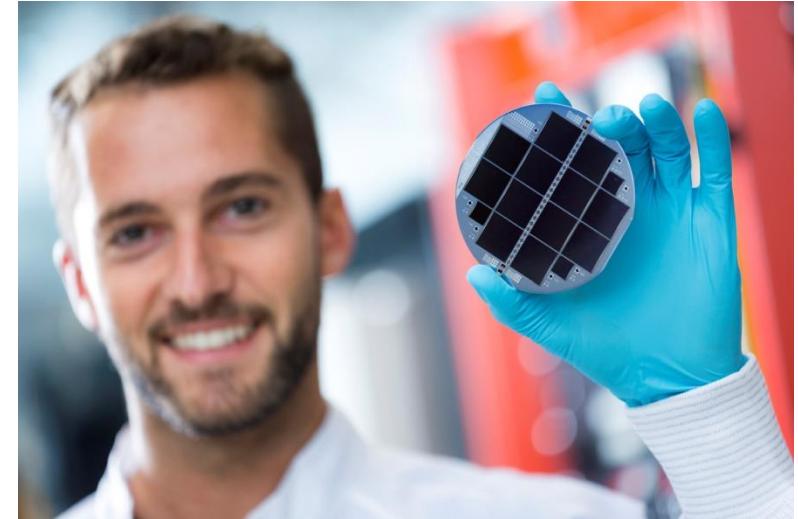


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- Rapid efficiency improvement from 19.7 % (2017) to 25.9 % (2020)
 - Stacking fault (pyramid) density in GaP on Si nucleation layer reduced from 6×10^7 cm⁻² to $< 10^5$ cm⁻²
 - Parasitic absorption in GaAs_yP_{1-y} buffer structure suppressed by using Al_xGa_{1-x}As_yP_{1-y}
- Outlook:
 - Record cell is grown on low-cost Si surface finish (no CMP)



Thank you for listening!



Fraunhofer Institute for Solar Energy Systems ISE

Acknowledgement

Markus Feifel

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