

# 1 Analysis of the running-in of thermal spray coatings by 2 time-dependent Stribeck maps

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## 8 Abstract

9 As thermal spray coated cylinder surfaces eliminate the need for cast iron  
10 sleeves or hypereutectic AlSi alloys, these coatings are becoming the main  
11 cylinder liner technology . Moreover, it has been found that these coatings  
12 also lead to low friction and wear. The reason for improved tribological  
13 performance is believed to result from a nanocrystalline layer that forms  
14 in the sliding contact. In this paper, we use on-line wear measurement to  
15 study the dynamics of the running-in process. A pin-on-disk tribometer  
16 coupled to a radionuclide wear measurement (RNT) system was used to  
17 investigate the friction and wear behavior of wire arc spray (LDS) coatings  
18 sliding against chromium coatings under lubricated conditions. After the  
19 friction experiments, X-ray photo electron spectroscopy (XPS) and Focused  
20 Ion Beam analysis (FIB) was used to characterize the worn surfaces. By  
21 introducing a time-dependent Stribeck plot, we analyzed running-in under  
22 constant and transient sliding conditions and observed a strong reduction of  
23 friction in the boundary lubrication regime. Wear rates of the LDS disks  
24 as well as of the chromium plated pins are ultra-low. XPS revealed carbon  
25 diffusion at room temperature in wear tracks of disks that showed a very low  
26 coefficient of friction (CoF) of 0.01, whereas this carbon diffusion could not  
27 be detected in the wear track of a disk without running-in, i.e. a final CoF of  
28 0.12. As this is the most significant difference found between differently run-  
29 in systems, the described carbon diffusion might be relevant for the observed  
30 friction behaviour. Running-in behaviour can only be discussed in terms of  
31 friction, as, even with RNT, no significant wear could be measured. The  
32 comparison of running-in under transient and constant conditions showed

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only minor differences in the final friction behavior.

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## 1. Introduction

A higher power density in combustion engines due to downsizing and turbocharging leads to harsher environments that challenge the durability of conventional AlSi liner surfaces in terms of wear. In recent years, thermal spray iron coatings have been used to improve the wear behavior of cylinder bore surfaces [1, 2].

In order to further improve the tribological behavior of these iron-based coatings, it is necessary to understand the fundamental mechanics and dynamics in these systems that lead to superior friction and wear. If a model system is operated with comparable wear rates and with materials that are also used in the real system, the understanding of friction and wear mechanisms in the model system is a first step for defined adaptations of the real system [3]. In the present case, a pin-on-disk tribometer is used as a model system. Industry standard piston rings are nitrided or coated, e.g. with chromium. This is why, in the present work, the tribological system of a chromium-coated pin against a thermal-spray-coated, ground disk is used.

Publications in the field of thermal spray coatings also deal with mechanical or tribological stability of thermal sprayed layers. Rabiei et al [4] identify the crack propagation in amorphous oxide layers between the splats, and also Milanti et al. [5] find a lower microhardness for coatings with slightly defected particle boundaries. The work of Hahn and Fischer et al. [6, 3, 1] is concerned with microstructural and chemical alterations at the surface, describing the impact of alloy modification on the tribological behavior of the coatings. To the knowledge of the authors, there is no publication explicitly considering the running-in behavior of thermal spray coatings so far.

To fulfill engine life times of several thousand hours, wear rates of bearings and liners have to be in the ultra-low wear regime with a few nanometers per hour. In many cases, wear rates in the ultra-low wear regime are connected with a running-in behavior, that usually entails a decrease in friction as well as in the wear rate [7, 8]. It has been shown for several tribological systems, that running-in behavior strongly depends on the load and speed conditions. Considering pin on disk experiments, many published results are

measured at load and speed conditions, that are constant until a constant wear rate or friction is measured [9, 10, 8, 11]. The present paper addresses the question, if there is an influence of a dynamic load and speed variation during the running-in on the final friction value and the system wear rate. Moreover, microstructure and chemical composition of worn surfaces are analyzed to understand the mechanisms leading to differences in friction behavior.

## 2. Materials and Methods

### 2.1. Materials

#### 2.1.1. Disks

Grey cast iron disks (ASTM A48: NO.30, EN-GJL-200) have been roughened with a high-pressure water jet (Hammelmann, Oelde, Germany) and coated with a thermally sprayed layer (Fe-0.9 wt-% C, Daimler AG, Germany) with a thickness of approx. 500  $\mu\text{m}$ . SEM images of metallographic sections show the characteristic splats and pores of a thermal spray coating (see fig. 1(b)). The porosity of the coating is 3 %. As a final machining step, the disks have been grinded to a roughness  $R_a$  of 0.43  $\mu\text{m}$ . Focused ion beam (FIB) cross sections in the machined, unworn surface show a grain-refined layer up to a depth of approx. one  $\mu\text{m}$  due to the final machining.

#### 2.1.2. pins

Steel pins (Fe 0.85 wt-% C) have been coated with a galvanic chromium layer (Federal Mogul, Burscheid, Germany; "Goetze Diamond coating" (GDC)). After coating, the pins were grinded and lapped to a final thickness of 100  $\mu\text{m}$ . In FIB cross sections, the unworn pins show a submicrocrystalline microstructure. Changes of microstructure due to final machining could not be imaged. XPS measurements on the unworn pins revealed an increased oxygen content of up to 40 at-% in the first 200 nm depth and a varying carbon concentration from 2 to 25 at-%. This is due to the deposition process, where alternate layers of chromium and, according to the manufacturer, diamond is deposited. The diamond agglomerates in pores or cracks of the chromium layer according to the producer of the layers [12]. XPS measurements revealed that the diamond, that is deposited, partially consists of carbides. The carbide content varies with sputter depth and measurement position from 15 to 60 %. A light-microscope image of an unworn pin is shown in fig. 1(a).

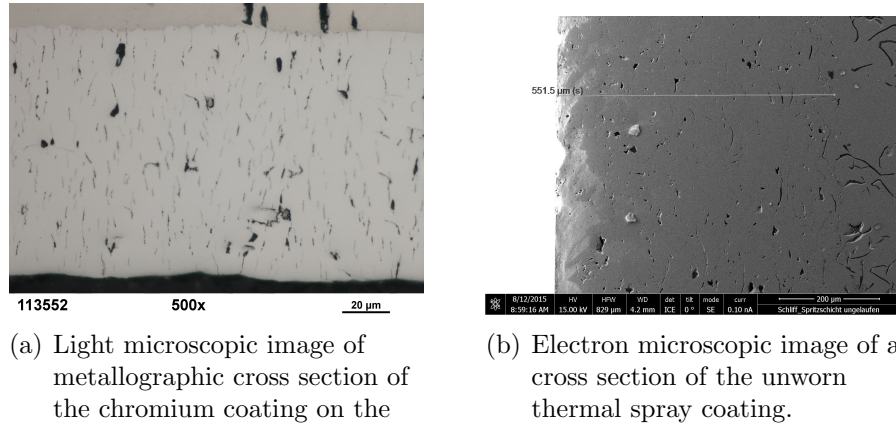


Figure 1: Images of metallographic cross sections of the unworn coatings of pin and disk.

### 2.1.3. Oil

The oil used for all pin-on-disk experiments was fully formulated engine oil Castrol Edge FST 5W30 at a temperature of 80°C. The oil circuit was filled with 2.5 liters. Using a nozzle, oil was directly supplied to the disk.

## 2.2. Methods

### 2.2.1. Tribometer

All measurements were performed on a pin-on-disk tribometer "Basalt" produced by Tetra (Tetra Ilmenau, Germany) with a customized software and force sensor equipped with strain gauges. Resolution of the force sensor was 1 N, which yields an error for the measurement of the friction coefficient of 0.02 for the lowest pressure of 2.5 MPa.

### 2.2.2. Radionuclide Technique

When measuring wear with the radionuclide technique (RNT), one or both samples being in tribological contact are slightly radioactively marked so that also wear debris is radioactive. The activity in the oil is measured and correlated to the wear particle weight using a reference sample of known mass. Advantages of the method are the high resolution of 0.1 micrograms of wear per hour and online wear measurement [9]. To obtain radiotracers, pins were subjected to low-energy neutron radiation at FRM II in Munich. The

oil circuit of the tribometer was connected to a gamma detector (Zyklotron AG, Leopoldshafen, Germany) allowing continuous monitoring of wear in the oil. Cr-51 was used as tracer nuclide. To account for decay effects, a reference measuring device was used. The accuracy of 0.1  $\mu\text{g/h}$  corresponds to a pin wear of 1 nm/h, when considering the pin diameter and the density of Cr.

### 2.2.3. Focused Ion Beam

Focused Ion Beam (FIB) cross sections were done using a FEI Helios 650 Dual Beam instrument (FEI, Hillsboro, Oregon, USA). Ion beam assisted deposition of a platinum containing protective layer was used to protect the surface from ion beam damage at high currents while doing the cross-sectioning.

### 2.2.4. Topography analysis

Topography was measured using a Sensofar Plu2300 white light interferometer (Sensofar, Barcelona, Spain). Ex situ wear rate was determined by measuring the topography on a line perpendicular to and longer than the wear track width, so that wear track depth could be assessed. The pin wear was only measured with RNT, as the loss of pin height of less than a micron as indicated by RNT measurements could not be measured by methods available to the authors.

### 2.2.5. XPS

X-ray photoelectron spectroscopy (XPS) depth profiles were recorded with a PHI 5000 Versaprobe system with 15 keV monochromatic Al-K $\alpha$ -x-ray excitation and an energy resolution of 0.2 eV. Argon ions were used for material removal for depth profiling. The sputter rate was determined by means of a silicon oxide reference. The area exposed to argon ions was about  $2 \times 2 \text{ mm}^2$ . Photoelectrons were excited from an area of  $200 \times 300 \mu\text{m}^2$  so that sample inhomogeneities do not have to be considered.

## 3. Results

### 3.1. Experimental design

Besides friction and wear, it was shown by Scherge et al. [13, 14, 15], that sensitivity is an important criterion for the evaluation of a tribosystem. Scherge et al. [9] showed, that the constant wear rate after running-in can depend on the initial load the tribosystem is subjected to. This means, that different initial or running-in conditions can yield a different tribological

Table 1: Description of pressures and speeds in the different test programs.

|   |   |
|---|---|
| constant pressure and speed                 |   |
| 15 MPa, 1 m/s; 35 MPa, 1 m/s, 35 MPa, 2 m/s |   |
| constant pressure and transient speed       |   |
| 15 MPa                                      | 0.1, 0.2, ... ,0.5, 0.75, 1, 2, 2.5 m/s |
| 35 MPa                                      | 0.1, 0.2, ... ,0.5, 0.75, 1, 2, 2.5 m/s |
| constant speed and transient pressure       |   |
| 2.5, 7.5, 15, 25, 35, 45 MPa                | 0.33 m/s                                |
| 2.5, 7.5, 15, 25, 35, 45 MPa                | 1 m/s                                   |

behavior in terms of friction and wear. For the comparison of friction after a running-in, it is necessary to measure the friction under comparable conditions. This is the reason why, in the present paper, for the comparison of tribosystems that are run-in at different loads and speeds, a testing sequence with a constant load of 15 MPa and speeds that cover the mixed lubrication regime is run at the end of each experiment. The comparison of these in the following called "Stribeck curves" allows an assessment of the influence of the chosen initial parameters on the friction behavior after the running-in.

As mentioned above, (initial) loading conditions can alter the friction and wear behavior of a tribosystem. The goal of the present set of experiments was to study the tribological behavior of a pairing of a modern liner material (thermal spray coating) against a typical piston ring material (Chromium coating) on a model setup of a pin-on-disk machine. In an engine, the piston ring is subjected to a speed variation from zero to several meters per second. In addition, the loads change due to compression and ignition. To approach this change of loads and speeds and to find if there is an effect of these parameters on the running-in behavior, the following experimental setup was tested:

- constant load and speed
- constant load and changes in speed
- constant speed and changes in load

In the case of constant load and speed, the test is performed with constant load and speed for a sliding distance of 220 km, continued with the

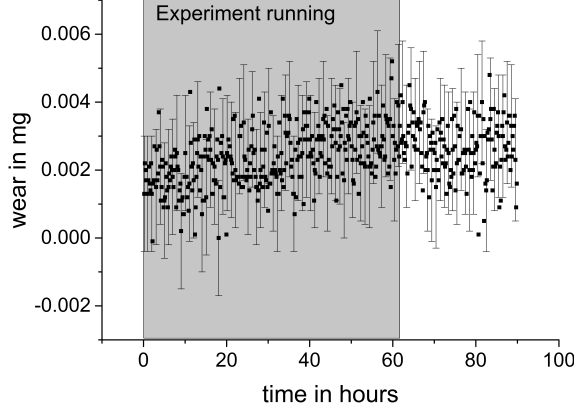


Figure 2: RNT measurement data plotted over experiment duration. The gray box indicates the experiment duration time.

177 measurement of five stribeck curves at a load of 15 MPa and speeds of 0.1,  
 178 0.2, 0.3, 0.4, 0.5, 0.75, 1, 1.5, 2 and 2.5 m/s and a duration of 1 minute for  
 179 each speed.

180 This range of speeds is also used in the transient experiments with a  
 181 constant load. Loads of 15 and 35 MPa have been tested. The overall sliding  
 182 distance was 200 km for this kind of experiment and during the test, 360  
 183 stribeck curves were measured. Independent of the test load, also this kind  
 184 of experiment was finished with a set of stribeck curves measured at a load  
 185 of 15 MPa.

186 For the transient test program with constant speed, friction was measured  
 187 at speeds of 1 m/s and 0.33 m/s with pressures of 2.5, 7.5, 15, 25, 35 and  
 188 45 MPa. The overall sliding distance was 225 km. As in the previous cases,  
 189 the described set of stribeck curves at 15 MPa was measured at the end of  
 190 each experiment. An overview of the different loads and speeds in the test  
 191 programs is given in table 1.

### 192 3.2. Wear results

193 Eight of in total 15 pin-on-disk experiments were carried out using ra-  
 194 dioactively labeled pins and RNT as described above. Pin wear rates of less  
 195 than 0.1  $\mu\text{g}$  per hour were found, which is the resolution limit of the method.  
 196 Figure 2 shows an exemplary result of the RNT measurement.

197 The measurement of the disk wear tracks after the experiment showed

198 wear track depth that yield an average wear rate of less than 10 nm per  
 199 hour. Due to roughness and depth inhomogeneities, no accurate trend of  
 200 wear in the different experiments can be determined. As for the pin wear, all  
 201 disk wear was ultra-low and comparable. On pin and disk, grinding marks  
 202 were still visible after the test, indicating that there was only little amount  
 203 of wear.

### 204 3.3. Friction results

#### 205 3.3.1. Constant load and speed

206 Figure 3(a) shows the coefficient of friction (CoF) over the sliding distance  
 207 for experiments at different loads and speeds. For two of the experiments, a  
 208 pronounced running-in, i.e. a decrease of the CoF over time was observed.  
 209 The experiments at 35 MPa and 2 m/s (CoF approx 0.12) and 15 MPa and 1  
 210 m/s (CoF approx 0.02) didn't show a decrease in CoF during the experiment  
 211 ,but a pronounced difference in the CoF.

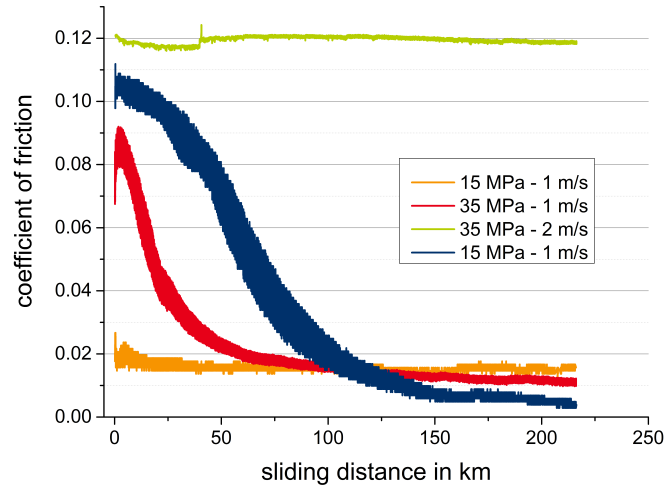
212 For the comparison of the friction behavior, the stribek curves measured  
 213 at 15 MPa after each experiment with constant load are plotted in fig. 3(b).  
 214 Whereas the values for low speeds of 0.1 m/s hardly differ, there is a signif-  
 215 icant difference in the CoF for higher speeds. The three curves after run-in  
 216 at 1 m/s are in elasto-hydrodynamic regime (friction drops because of the  
 217 increased amount of lubricant between pin and disk due to the increase in  
 218 speed) for speeds of 0.6 m/s and higher. In contrast, we found the mixed lu-  
 219 brication regime at 2.5 m/s after the experiment, that didn't show a decrease  
 220 in friction from the CoF of 0.12.

#### 221 3.3.2. Constant load and changes in speed

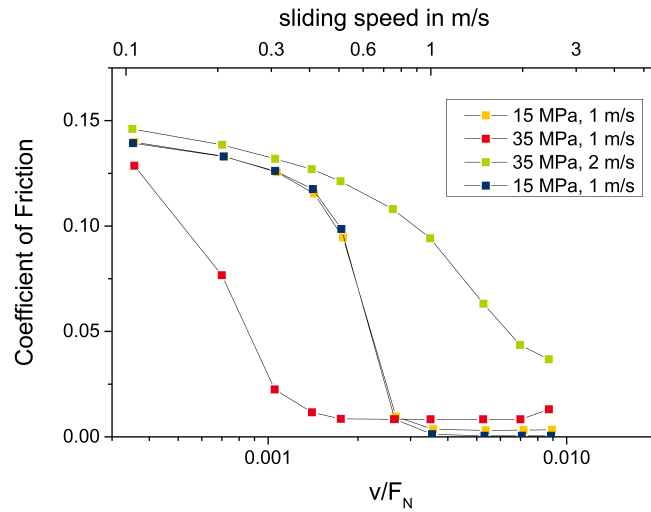
222 Plotting the running-in of transient experiments in a conventional way by  
 223 showing CoF over time or sliding distance isn't as easily possible as it can be  
 224 done for a running-in at constant load and speed. Therefore, we introduce  
 225 a time-dependent friction map or stribek map: The sliding speed on the  
 226 ordinate axis is plotted over the sliding distance on the abscissa. The friction  
 227 coefficient is color coded or plotted in z-direction. As a second ordinate axis,  
 228 the reduced Hersey-parameter, i.e. sliding speed divided by normal load, is  
 229 plotted.

230 Moving the abscissa along the ordinate axis and plotting those values  
 231 yields a "classic" running-in for a constant speed over sliding distance. Plot-  
 232 ting a slice in the diagram from data points along an ordinate axis (i.e. at a  
 233 certain sliding distance) yields a stribek curve.





(a) Coefficient of friction over sliding distances for experiments with constant load and speed as indicated in the graph.



(b) Stribeck curves performed after the running-in at a load of 15 MPa.

Figure 3: Running-in and stribek curves of experiments with constant load and speed, see fig. 3(a).

234 Two representative results of transient experiments with constant load  
235 are plotted in fig. 4.

### 236 3.3.3. Constant speed and changes in load

237 As for the previous case of transient experiments with constant load, the  
238 friction map can also be plotted for constant speed and varying loads. On the  
239 ordinate axis, the load is plotted over the sliding distance and color coding  
240 shows the CoF. In fig. 5, two results of transient experiments under constant  
241 speed are plotted.

## 242 3.4. Analytical results

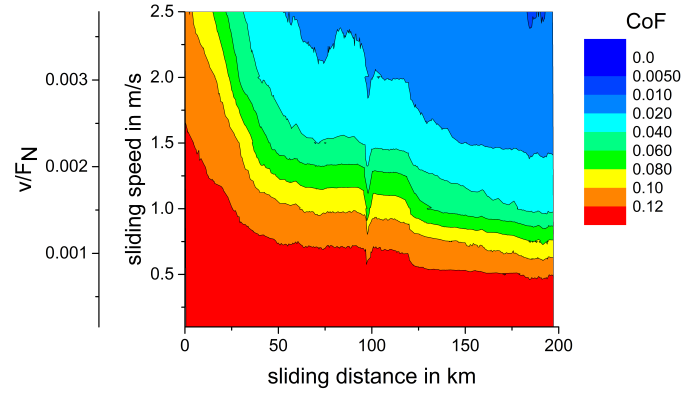
243 Before analysis in devices with vacuum, i.e. scanning electron microscopy  
244 and XPS, the samples were cleaned with 2 vol-% Tickopur R33 cleaner (Dr-  
245 H-Stamm, Berlin, Germany) in ultrasonic bath for 2 minutes, in deionized  
246 water for 5 minutes and in isopropyl alcohol for 5 minutes to remove oil  
247 residuals and other contamination from the surface.

### 248 3.4.1. Subsurface microstructure

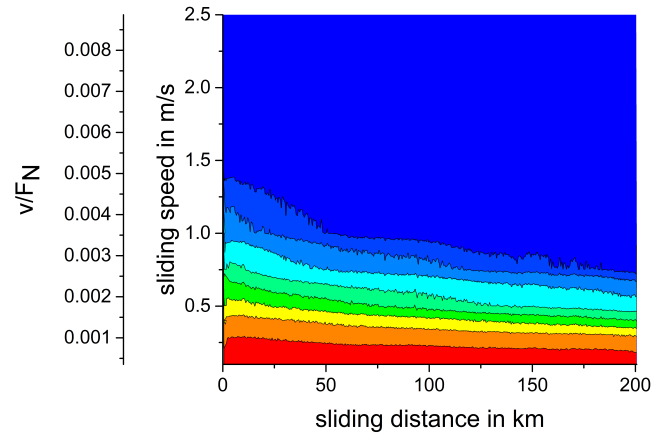
249 FIB-cross sectioning has been done in an unworn disk and pin and in two  
250 worn systems with constant load and speed, i.e. 35 MPa and 1 and 2 m/s.  
251 The system at 35 MPa and 1 m/s showed a running-in behavior, whereas  
252 for the system tested at 2 m/s, no decrease in the coefficient of friction  
253 was observed (see fig. 3(a)). For the system with running-in behavior, no  
254 significant changes in subsurface microstructure compared to the unworn  
255 samples were found neither in the pin nor in the disk. Only minor changes  
256 were detected in the subsurface of the disk without running-in behavior.  
257 Here, the thickness of the grain refined zone was with approx. 2.5  $\mu\text{m}$  slightly  
258 thicker than the one of the machined surface with approx. 1  $\mu\text{m}$ . This trend  
259 also holds true for the pins, where, for the run-in system, no subsurface  
260 microstructural changes were found compared to the unworn pin, whereas  
261 the FIB-cross-sectioning locally showed some recrystallized grains in the pin  
262 of the system without running-in behavior.

### 263 3.4.2. Surface chemical analysis

264 In many tribological questions, XPS depth profiling can yield useful in-  
265 formation on the chemical composition not only at the surface but also in  
266 a few or several nanometers depth, thereby showing possible layering or also  
267 elements that are hidden at the surface, e.g. due to surface contamination or

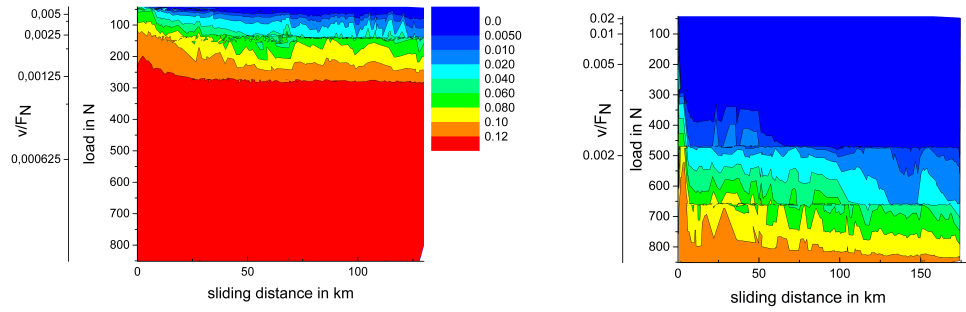


(a) 35 MPa.



(b) 15 MPa

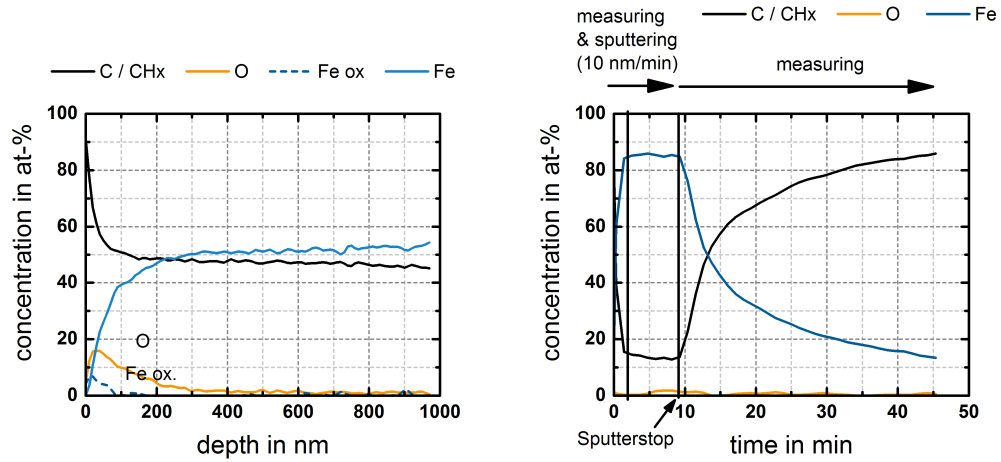
Figure 4: Friction maps of experiments with constant loads and changes in speed. Color coding valid for both plots.



(a) 0.33 m/s.

(b) 1 m/s.

Figure 5: Friction maps of experiments with constant speeds and changes in load. Color coding valid for both plots.



(a) XPS depth profile with alternate sputtering and measuring.

(b) XPS depth profile with continuous sputtering. Sputtering stopped after nine minutes.

Figure 6: XPS depth profiles obtained by alternate and continuous sputtering with argon ions in the wear track of the experiment performed at 35 MPa and 1 m/s, see fig. 3(b).

268 lubricant residuals. The sputter depth refers to  $\text{SiO}_2$ . Typically, we measure  
269 XPS depth profiles by alternating the measurement of photoelectrons and  
270 material removal by sputtering with Argon ions.

271 Such an alternating depth profile is shown in fig. 6(a). We found a carbon  
272 content of 50 at-% up to 1  $\mu\text{m}$  depth which is much higher than expected  
273 from the carbon content of the sprayed metal. Interestingly, we observed an  
274 increase in carbon content when stopping the sputter process but continuing  
275 to measure the photoelectron carbon signal. To get a more realistic measure  
276 of the carbon content on the sample, photoelectron measurements were done  
277 during sputtering with a sputter rate of 2 kV and 2  $\mu\text{A}$ . Fig. 6(b) shows  
278 the result of this measurement. The stop of material removal by sputtering  
279 yields an increase in carbon content of the surface of more than 80 at-% in  
280 35 minutes.

281 Element concentrations in the wear track of the running-in experiment  
282 at 35 MPa and 2 m/s, as shown with a green line in fig. 3(a), have been  
283 measured by XPS as well. In the case of this disk without running-in, no  
284 carbon diffusion was found. The performance of a measurement next to the  
285 wear track in an unworn area did show carbon diffusion comparable to the  
286 result shown in fig. 6(b).

287 Due to measurements done on high purity iron samples before and after  
288 the measurements described above, contamination of the chamber was ex-  
289 cluded. Experiments were done with the described experimental setup and  
290 Cr-plated pin on a gray cast iron disk. XPS-measurements in this wear track  
291 didn't reveal any carbon diffusion.

292 XPS measurements were repeated on a wear track of a transient exper-  
293 iment (35 MPa, transient speed) and carbon diffusion was found again. To  
294 check the influence of surface contamination on the carbon diffusion behav-  
295 ior, the sample was exposed to UV-light / ozone in atmosphere for 30 min  
296 to oxidize possible contaminants on the surface. This procedure showed sig-  
297 nificant reduction from 80 to 20 at-% of carbon contaminants on the surface  
298 of an high-purity iron sample. But in case of the wear track of the tran-  
299 sient experiment, no difference in carbon diffusion was found compared to  
300 the measurement before the UV-light exposure (Bioforce Nanosciences UV-  
301 Ozone cleaner).

302 On the pins of the experiments at 35 MPa and 1 and 2 m/s, XPS analysis  
303 revealed with 1 at-% only traces of Zn in the first 20 nm of the pin of the  
304 run-in system at 35 MPa and 1 m/s. In contrast, oil residuals, i.e. P, S, Ca  
305 and Zn were found in concentrations of less than 5 at-% in depth of up to

306 400 nm on the pin belonging to the experiment without a decrease in the  
307 CoF, 35 MPa and 2 m/s.

## 308 4. Discussion

### 309 4.1. Wear rates

310 Wear rates are classified into "severe" and "mild" wear, with the wear  
311 regime of mild wear being characterized by oxidized wear particles with ap-  
312 proximately 1 to 20  $\mu\text{m}$  of size. In contrast, wear particles of experiments  
313 in the severe wear regime are metallic and larger than 20  $\mu\text{m}$  [16]. The ex-  
314 tension of that definition to the ultra-low wear regime would be, that wear  
315 particles have a size of less than a micron.

316 Alpas et al. [17, 18] define wear regimes with severe (more than  $10^{-2} \text{ mm}^3/\text{m}$ ),  
317 low ( $10^{-3}$  to  $10^{-4} \text{ mm}^3/\text{m}$ ) and ultra-low wear (less than  $10^{-4} \text{ mm}^3/\text{m}$ ). It has  
318 to be noted, that a wear rate of  $10^{-4} \text{ mm}^3/\text{m}$  is still too high for many ap-  
319 plications in modern mechanical systems. In the present system, the pin  
320 wear of less than 1 nm/h corresponds to  $10^{-10} \text{ mm}^3/\text{m}$ . Also, the disk wear  
321 is less than  $10^{-7} \text{ mm}^3/\text{m}$  so that all experiments conducted showed wear  
322 rates in the ultra-low wear regime. This holds true not only for the RNT-  
323 experiments, where wear was proven to be ultra low below 0.1  $\mu\text{g}/\text{h}$  or less  
324 than 1 nm/h, but also for the experiments without radioactive pins, as the  
325 wear track depth for all experiments showed average wear rates of less than  
326 10 nm/h. Grinding marks on pins and disks were still visible after 60 hours  
327 of sliding, indicating that the results of the RNT-technique are realistic.  
328 This remarkably low wear rate of the system under the described conditions  
329 seems to be an intrinsic property of the tribosystem, i.e. due to the first  
330 bodies properties and is not connected to oil additives, as those have hardly  
331 reacted with the surface in the case of the run-in samples. Oil additive resid-  
332 uals were found on the pin with the CoF of 0.12 for a sliding distance of more  
333 than 200 km (see fig. 3(a)), which basically means that the oil additives did  
334 react due to the constant high frictional energy input into the system, but  
335 they didn't contribute to a reduction in friction or wear, either. Addition-  
336 ally, the performance of an experiment with non-additivated PAO 8 at  $65^\circ\text{C}$   
337 (for a viscosity of Polyalphaolefine PAO-8 comparable to 5W30) did yield  
338 comparable results in terms of friction and wear. This proves the minor role  
339 of oil additives in the present system under the tested conditions.

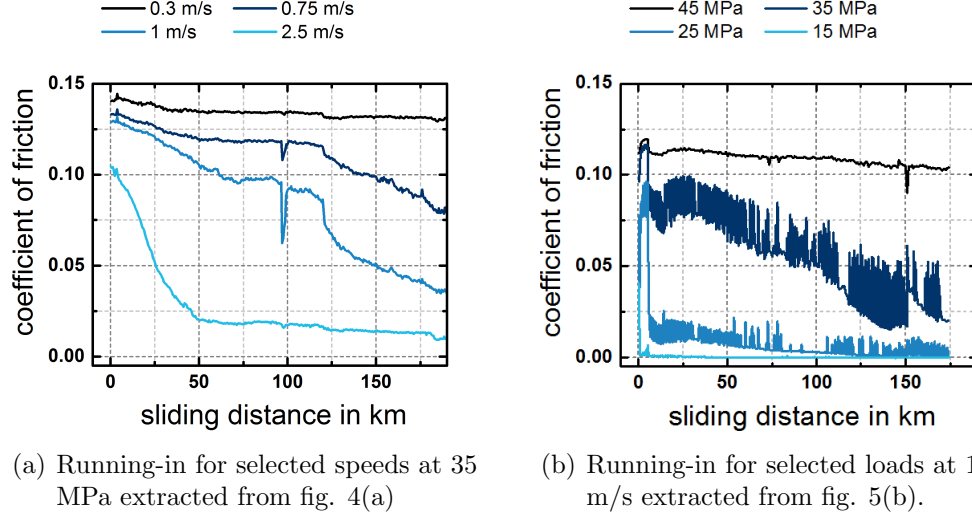


Figure 7: Running-in curves of transient experiments.

#### 4.2. Running-in behavior

In the case of running-in analysis at constant load and speed, two out of four experiments show a pronounced running-in with a decrease in the coefficient of friction between 80 and 90 % (see fig. 3(a)). From the coefficient of friction of less than 0.02 for the experiments tested at 1 m/s, friction behavior of these samples could be considered comparable. A detailed examination by the measurement of stribeck curves at 15 MPa for all experiments yields a more sophisticated result: Although the final coefficient of friction after running-in is comparable for three experiments, the stribeck curves measured at samples run-in at 15 MPa show a significant difference in transition of the mixed to the EHL-regime compared to the samples tested at 35 MPa., see fig. 3(b); yellow and blue lines. As expected from the CoF of 0.12 in the experiment run-in at 2 m/s (green line in fig. 3(a)), the corresponding stribeck curve has the highest friction. Interestingly, the stribeck curves of the experiments run-in at 15 MPa have an almost identical stribeck curve although the development of the CoF during running-in is very different. The stribeck curve of the running-in at 35 MPa and 1 m/s is the lowest one of the four curves measured. This means, that the initial loading condition during running-in significantly influences the friction behavior, but this friction behavior must not be assessed by the final coefficient of friction during

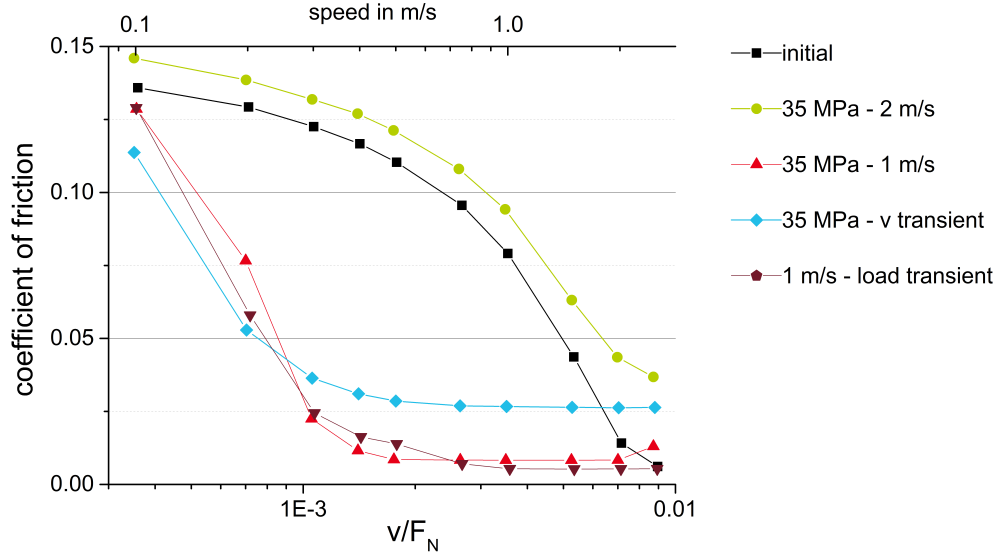


Figure 8: Stribeck curves measured at the end of selected experiments. An initial stribeck curve is plotted for comparison.

the running-in process. It is rather stribeck curves that have to be measured at a comparable condition to evaluate the friction behavior after running-in. The influence of the initial loading conditions on friction and wear behavior (but in this case without the analysis of the friction by stribeck curves) has already been shown by Scherge et al. [9, 11] and Volz [19] and is explained by the formation of a third body [20, 21].

In the case of transient experiments with constant load, running-in behavior can be analyzed for different speeds, that are tested sequentially in the stribeck curves measured. For the experiment of transient speed and a load of 35 MPa (fig. 4(a)), the resulting coefficient of friction as a function of sliding distance is plotted in fig. 7(a) for four different speeds. Considering the speed of 2.5 m/s, running-in seems completed after 50 km of sliding distance, whereas no change in friction is found for the sliding speed of 0.3 m/s. For the intermediate speeds of 0.75 and 1 m/s, running-in isn't completed after 180 km of sliding distance. The same kind of running-in curves can also be extracted for experiments with transient loads, see fig. 7(b). Here, friction drops for 15 and 25 MPa loads after only a few stribeck curves. Comparable to the speed of 0.3 m/s in fig. 7(a), there is almost no decrease in friction for the load of 45 MPa, i.e. in the boundary lubrication regime, and a continuous



379 decrease in friction for the load of 35 MPa.

380 This result indicates that the emerging third body has some kind of strain  
381 rate sensitivity and reacts as a function of speed. Similar results have been  
382 published by Rehl [22], who measured the friction in a floating-liner-engine,  
383 showing that the friction reduction is different for different crank angles.

384 In the mixed lubrication regime of transient experiments with load vari-  
385 ation (fig. 5 and 7(b)), the scatter of the data is higher than in boundary  
386 lubrication and EHL regime. This is due to the fact, that stribek curves  
387 were measured with increasing as well as with decreasing load. Generally  
388 speaking, friction values of the stribek curves with decreasing load were  
389 measured to be significantly higher than the ones measured when coming  
390 from the EHL-regime. The reason for this behavior remains unclear to the  
391 authors. We do not think that it is an artefact of the measurement, because  
392 a similar behavior was found by Braun [23] and Olofinjana et al. [24].

393 The evaluation of the stribek curves measured at the end of each experi-  
394 ment at 15 MPa allows a comparison of the impact of different test programs  
395 on the running-in behavior. Friction at the end of the experiment without  
396 running-in behavior tested at constant load and speed of 35 MPa and 2 m/s  
397 is comparable to the friction measured from an initial stribek curve and is  
398 significantly higher than the friction during the stribek curve of the run-in  
399 experiments (fig. 8). This does not only hold true for the run-in experiments  
400 at constant load and speed (see fig. 3(b)), but also for the experiments with  
401 transient load or speed.

402 Comparing the stribek curves of the run-in experiments, no difference in  
403 friction as a function of the test procedure, i.e. transient conditions or con-  
404 stant load and speed, was found. Stribek curves of experiments performed  
405 with transient speeds show a slightly higher coefficient of friction, but more  
406 experiments would be necessary to confirm this trend.

### 407 *4.3. Materials analysis*

#### 408 *4.3.1. Subsurface microstructure*

409 In many tribological systems, wear is correlated to subsurface plastic  
410 deformation [14, 25]. In this context, the little amount of subsurface plastic  
411 deformation that is indicated by the FIB cross sections correlates to the low  
412 wear behavior of the systems.

#### 413 4.3.2. XPS depth profiles

414 No or only a little amount of elements resulting from oil additives were  
415 found by XPS, either at the surface nor after sputter removal of the first  
416 two nanometers of material. As discussed above (section 4.1), the described  
417 ultra-low wear behavior seems to be an intrinsic property of the system and  
418 does not seem to be connected to the lubricant.

419 The most remarkable effect found by XPS analysis is the carbon diffusion  
420 of the thermal spray coating. The carbon diffusion does not seem to be an  
421 artefact due to the following reasons:

- 422 • Carbon diffusion on the disks have been reproduced on five different  
423 disks with up to four different positions on a single disk.
- 424 • Chamber contamination can be excluded as the carbon diffusion has  
425 not been found on the pin, nor on an iron reference sample or a worn  
426 gray cast iron disk, either.
- 427 • Sample contamination can be excluded due to the fact that the carbon  
428 diffusion isn't found in the wear track with poor running-in but next to  
429 it in an unworn area. Moreover, measurements on a pure iron sample  
430 before and after cleaning with UV / ozone have shown the effective  
431 removal of carbon contaminants from the surface. But this cleaning  
432 method didn't have any influence on the carbon diffusion on the coat-  
433 ing.

434 The coincidence of poor running-in and missing carbon diffusion might  
435 be a first hint to a connection of carbon diffusion, i.e. a carbon film on the  
436 surface and the development of low friction. But this conclusion must not  
437 be drawn yet, as the carbon diffusion still might not be connected to the low  
438 friction values observed. From the XPS signal, it remains unclear if the ana-  
439 lyzed carbon is bound as hydrocarbon or elementary carbon. Moreover, only  
440 one experiment without running-in behavior has been tested so far, mean-  
441 ing that the XPS-measurement showing a connection of missing running-in  
442 and missing carbon diffusion could not be reproduced on a second disk, yet.  
443 The influence of the found carbon on the friction behavior of the introduced  
444 system is subject to further research.

## 445 5. Conclusions

446 For the system of a chromium-coated pin against an thermal spray-coated  
447 disk, we presented a detailed analysis of the running-in behavior. Different  
448 test programs with constant and transient conditions did result in running-in  
449 behavior. With the analysis of stribek curves at the end of the experiment,  
450 no major differences in friction due to those test programs could be identified.  
451 A new kind of friction maps was introduced to image the running-in under  
452 transient conditions. All experiments showed extremely low wear rates that  
453 made a further distinction between different testing conditions impossible.

454 Surface chemical analysis reproducibly showed a carbon diffusion on the  
455 surface that seems to be an intrinsic property of the thermal spray layer. One  
456 experimental result hints at a connection of the carbon diffusion to friction  
457 behavior. This question is subject to further research.

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